

1. Title Page

Hepatic Transporter Alterations by Nuclear Receptor Agonist T0901317 in Sandwich-cultured Human Hepatocytes: Proteomic Analysis and PBPK Modeling to Evaluate Drug-Drug Interaction Risk

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2. Running Title Page

a) Running Title (57 out of 60 characters including spaces): NR Agonist Alters Hepatic Transporters: Proteomics & PBPK

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d) Abbreviations: ABCA1, ATP-binding cassette transporter A1; BCRP, breast cancer resistance protein; BSEP, bile salt export pump; CAR, constitutive androstane receptor; CYP3A4, cytochrome P450 3A4; DDI, drug-drug interaction; FDA, US Food and Drug Administration; EMA, European Medicines Agency; FGF19, fibroblast growth factor 19; FXR, farnesoid X receptor;

GAPDH, glyceraldehyde-3-phosphate dehydrogenase; HBSS, Hank's balanced salt solution; LC-MS/MS, liquid chromatography tandem mass spectrometry; LXR, liver X receptor; MATE, multidrug and toxin extrusion; MDR1, multidrug resistance protein 1; MDR3, multidrug resistance protein 3; MRP2, multidrug resistance-associated protein 2; NR, nuclear receptor; NTCP, Na⁺-taurocholate cotransporting polypeptide; OCT1, organic cation transporter 1; OAT2, organic anion transporter 2; OATP, organic anion transporting polypeptide; PXR, pregnane X receptor; PBPK, physiologically-based pharmacokinetic; PD, pharmacodynamic; PK, pharmacokinetic; qRT-PCR, quantitative real-time PCR; SCHH, sandwich-cultured human hepatocytes; SHP, small heterodimer partner; SREBF1, sterol regulatory element-binding protein 1; T0901317, N-[4-(1,1,1,3,3,3-Hexafluoro-2-hydroxypropan-2-yl)phenyl]-N-(2,2,2-trifluoroethyl) benzenesulfonamide

3. Abstract

In vitro approaches for predicting drug-drug interactions (DDIs) caused by alterations in transporter protein regulation are not well established. However, reports of transporter regulation via nuclear receptor (NR) modulation by drugs are increasing. This study examined alterations in transporter protein levels in sandwich-cultured human hepatocytes (SCHH; n = 3 donors) measured by liquid chromatography-tandem mass spectrometry (LC-MS/MS)-based proteomic analysis after treatment with T0901317, the first described synthetic liver X receptor (LXR) agonist. T0901317 treatment (10 μ M, 48 h) decreased the levels of organic cation transporter (OCT) 1 (0.22-, 0.43- and 0.71-fold of control) and organic anion transporter (OAT) 2 (0.38-, 0.38- and 0.53-fold of control), and increased multidrug resistance protein (MDR) 1 (1.37-, 1.48 and 1.59-fold of control). The induction of NR downstream gene expression support the hypothesis that T0901317 off-target effects on farnesoid X receptor (FXR) and pregnane X receptor (PXR) activation are responsible for the unexpected changes in OCT1, OAT2 and MDR1. Uptake of the OCT1 substrate metformin in SCHH was decreased by T0901317 treatment. Effects of decreased OCT1 levels on metformin were simulated using a physiologically-based pharmacokinetic (PBPK) model. Simulations showed a clear decrease in metformin hepatic exposure resulting in a decreased pharmacodynamic effect. This DDI would not be predicted by the modest changes in simulated metformin plasma concentrations. Altogether, the current study demonstrated that an approach combining SCHH, proteomic analysis, and PBPK modeling is useful for revealing tissue concentration-based DDIs due to unexpected regulation of hepatic transporters by NR modulators.

4. Significance Statement (Max 80 words)

This study utilized an approach combining sandwich-cultured human hepatocytes, proteomic analysis, and physiologically-based pharmacokinetic modeling to evaluate alterations in pharmacokinetics (PK) and pharmacodynamics (PD) due to transporter regulation by nuclear receptor modulators. The importance of this approach from a mechanistic and clinically-relevant perspective is that it can reveal drug-drug interactions (DDIs) due to unexpected regulation of hepatic transporters, and enable prediction of altered PK and PD changes, especially for tissue concentration-based DDIs.

5. Visual Abstract (see supplementary file)

6. Introduction

Nuclear receptors (NRs) comprise a superfamily of transcription factors activated by ligands that can both activate and repress gene expression (Amacher, 2016). Drugs that target NRs are widely used and commercially successful. Indeed, a comprehensive review of US Food and Drug Administration (FDA)-approved small molecule drugs reported that 16% of these target NRs (Santos et al., 2017). NRs remain attractive targets for drug therapies in various diseases. For example, liver X receptors (LXRs), LXR α and LXR β , are involved in cholesterol regulation and lipid metabolism, and are potential therapeutic targets for atherosclerosis or dyslipidemia (Terasaka et al., 2003). Another NR, farnesoid X receptor (FXR), is involved in regulating bile acid, lipid, and glucose metabolism and has been a promising therapeutic target for liver diseases such as primary biliary cirrhosis and non-alcoholic steatohepatitis (Han, 2018). However, the success of drugs targeting LXR and FXR has been limited due to off-target effects (Carotti et al., 2014; Ma et al., 2017; Han, 2018). NRs serve as master regulators and regulate multiple genes; therefore, unexpected effects could occur not only on pharmacological targets, but also on drug metabolizing enzymes and transporters (Amacher, 2016). These off-target effects could alter drug pharmacokinetics (PK) and/or pharmacodynamics (PD) through drug-drug interactions (DDIs) and cause unwanted toxicity or decreased efficacy.

An increasing number of publications report NR-mediated regulation of proteins involved in drug absorption, distribution, metabolism and excretion (ADME)(Amacher, 2016). Clinical DDI risks due to cytochrome P450 (CYP) induction are widely recognized and *in vitro* approaches to evaluate CYP induction are well established (Kenny et al., 2018; Bernasconi et al., 2019). Many transporters involved in drug disposition and excretion are regulated by the same NRs as CYPs and, therefore, NR regulation might alter drug transport (Amacher, 2016). For example, multidrug

resistance protein 1 (MDR1) induction via PXR or constitutive androstane receptor (CAR) is a well-known transporter interaction involving NRs (Staudinger et al., 2013).

To decrease the risk of unexpected DDIs during clinical use, current DDI guidelines from the FDA and European Medicines Agency (EMA), recommend that sponsors conduct *in vitro* CYP induction studies during drug development (European Medicines Agency, 2012; US Food and Drug Administration, 2017). Both the FDA and EMA note DDI risks due to transporter induction via NRs. Although both DDI guidance documents especially mention MDR1 induction, NR-related DDIs also could be mediated by increases or decreases in the abundance of other transporters. Indeed, an induction study using human hepatocytes examined the effect of a wide variety of compounds on the mRNA expression of six hepatic transporters and found that all studied transporters were induced by several compounds and that NRs appeared to be involved (Badolo et al., 2015). However, *in vitro* methods to evaluate DDIs caused by changes in transporter abundance are not well established at this time and are not currently recommended by the FDA (US Food and Drug Administration, 2017).

In vitro strategies need to be developed to predict the effects of alterations in transporters on drug disposition thereby avoiding unnecessary and costly *in vivo* studies. The most relevant system to assess changes in hepatic proteins is primary human hepatocytes. Sandwich-cultured human hepatocytes (SCHH) are considered to be the most physiologically relevant model that maintains transporter function, morphology, and regulatory machinery (LeCluyse et al., 2000; Brouwer et al., 2013). For regulation studies, mRNA quantification is popular because it is a simple and well-established experimental procedure. However, transporter protein abundance is often poorly correlated with mRNA expression (Ohtsuki et al., 2012). Nonsynonymous single-nucleotide polymorphisms and post-transcriptional variability can lead to differences in protein stability

leading to poor transcript and protein correlation (Prasad et al., 2019). Therefore, protein quantification is a better surrogate of *in vivo* activity (Prasad et al., 2017). Recently, there is an increasing number of reports applying liquid chromatography-tandem mass spectrometry (LC-MS/MS)-based proteomic analysis to quantify ADME proteins (Bhatt and Prasad, 2018). Over the last decade, physiologically-based pharmacokinetic (PBPK) modeling has developed rapidly. Among a wide range of applications of PBPK modeling, predicting *in vivo* DDI risks is most popular (Bhatt and Prasad, 2018).

In this study, NR modulation of transporter protein levels in SCHH was examined. T0901317, the first described synthetic LXR agonist (Schultz et al., 2000), was chosen as a model NR agonist due to published data regarding its off-target effects on other NRs potentially involved in transporter regulation (Mitro et al., 2007). An approach combining SCHH, proteomic analysis, and PBPK modeling to simulate alterations in drug exposure was developed to predict effects of transporter alterations mediated by NR modulators.

7. Materials and Methods

Materials

T0901317, metformin hydrochloride and verapamil hydrochloride were purchased from Sigma-Aldrich (St. Louis, MO). Tritium-labeled metformin (27.0 Ci/mmol, radiochemical purity > 98.9%) was purchased from Moravek (Brea, CA). The stable isotope labeled peptides were generated by a peptide synthesis platform (PEPscreen®, Custom Peptide Libraries, Sigma-Genosys). Transporter certified cryopreserved human hepatocytes [Lot No. HU8246, HU8192, and HH1107 purchased from ThermoFisher Scientific (Waltham, MA) and In Vitro ADMET Laboratories

(Columbia, MD)] were obtained from two Caucasian female donors and one Caucasian/Hispanic female donor, respectively (age range, 37–55 years; body mass index range, 22–29). All Applied Biosystems® Taqman assays were purchased from ThermoFisher Scientific (Waltham, MA). QualGro™ Seeding medium, QualGro™ Hepatocyte Culture medium, and QualGro™ Hepatocyte Culture Induction medium were obtained from BioIVT (Durham, NC). Hank's Balanced Salt Solution (HBSS) was purchased from ThermoFisher Scientific. Collagen (type I) coated Corning™ BioCoat™ 24-well cell culture plates and Matrigel® were obtained from ThermoFisher Scientific.

Hepatocyte Culture and T0901317 Treatment

On day 0, hepatocytes were seeded at a density of 0.45×10^6 cells/well in BioCoat™ 24-well plates using QualGro™ Seeding Medium. Hepatocytes were overlaid the next day (day 1) with Matrigel® diluted in QualGro™ Hepatocyte Culture Medium (0.25 mg/ml). On day 2, the culture medium was replaced with QualGro™ Hepatocyte Culture Induction Medium. SCHH were treated with T0901317 (10 μ M) or vehicle (0.1% DMSO) in the induction medium for 48 h starting from day 3. The T0901317 concentration of 10 μ M was chosen because it is the industry standard for initial DDI risk screening *in vitro* for CYP inhibition (Wunberg et al., 2006; Lin et al., 2007). The medium was changed every 24 h. On day 5, SCHH were processed for membrane protein extraction, RNA extraction, or used for the uptake study.

Membrane Protein Extraction from SCHH

The extraction of membrane proteins from SCHH was conducted by using the ProteoExtract™ Native Membrane Protein Extraction Kit (Calbiochem®, EMD Biosciences Inc., Darmstadt, Germany) according to the manufacturer's protocol, with minor modifications: homogenization was conducted after adding the Extraction buffers and the incubation time with Extraction buffer

I was 10-30 min and with Extraction buffer II was 30-60 min. A total of 12-16 wells of hepatocytes were pooled for each treatment group. The protein concentration was determined by using the Pierce™ BCA Protein assay kit (Thermo Fisher Scientific), and the samples were stored at –80 °C until further use.

Preparation of Samples for LC-MS/MS-based Proteomic Analysis

The membrane protein samples were processed by protease digestion as described previously (Malinen et al., 2019) with minor modifications. Briefly, 18-37 µg of each membrane protein sample was mixed in ammonium bicarbonate buffer (25 mM ammonium bicarbonate with 5% deoxycholate and 10 mM dithiothreitol), and incubated at 56 °C for 30 min. Then, after mixing the sample with iodoacetamide (final concentration of 15 mM) and incubating for 30 min at room temperature, the sample was diluted 5-fold with ammonium bicarbonate buffer. Lys-C protease (Thermo Fisher Scientific) was added to the sample to achieve a protein:protease ratio of 20:1 and incubated at 37 °C for 4 h. Then, trypsin was added to the sample at a protein:trypsin ratio of 20:1 followed by overnight incubation at 37 °C. Formic acid was added to a final concentration of 2% (v/v), after which the mixture of stable isotope-labeled peptides (Supplementary Table 1) was added as internal standards. The sample was subjected to solid phase extraction using Oasis HLB (Waters Co., Milford, MA). Briefly, the cartridge was rinsed with methanol and equilibrated with 0.1% (v/v) formic acid in water, and the sample was passed through the cartridge. After rinsing the cartridge with 0.1% (v/v) formic acid in water, the peptides were eluted by acetonitrile with 0.1% (v/v) formic acid. After evaporation in a centrifugal evaporator, the eluate was reconstituted in 0.1% formic acid and 2% acetonitrile in water (v/v/v), centrifuged at 21,000 g for 1 min and the subsequent supernatant was analyzed by LC-MS/MS.

LC-MS/MS-based proteomic analysis was conducted as described previously (Malinen et al.,

2019) with some modifications. The signature peptides for each transporter (Supplementary Table 1) were analyzed by LC-MS/MS [Thermo Scientific™ TSQ Quantum Ultra Triple Quadrupole mass spectrometer (Thermo Fisher Scientific) with nanoAcquity UPLC (Waters Co., Milford, MA)] in the selected reaction monitoring (SRM) mode. Four sets of transitions were monitored for both signature and internal standard peptides. Samples for LC-MS/MS analysis were injected onto the Waters® ACQUITY UPLC M-Class Symmetry C18 Trap Column (5 µm, 180 µm×20 mm, Waters Co.) at a flow rate of 5 µl/min in 98% mobile phase A (0.1% formic acid in water) and 2% B (0.1% formic acid in acetonitrile) for 3 min. After trapping, the peptides were separated on an analytical column (Waters® ACQUITY UPLC HSS T3 nanoACQUITY Column, 1.8 µm, 100 µm×100 mm, Waters Co.) at a flow rate of 0.6 µl/min with linear gradient conditions detailed in Supplementary Table 2. Transporters were considered to be expressed when analyte peaks were detected in at least three sets of transitions. The peak area ratios of the analyte peptide to its internal standard were calculated for each set of transitions. The relative protein levels between T0901317- and DMSO-treated hepatocytes were calculated by dividing the peak area ratio in T0901317-treated hepatocytes by that in DMSO-treated hepatocytes for each lot.

Metformin Uptake in SCHH

On day 5 of culture, a metformin uptake study in SCHH was conducted. SCHH were washed twice and pre-incubated for 10 min at 37°C with HBSS buffer. After pre-incubation, the uptake phase was initiated by incubating SCHH with 2 µM metformin (mixture of unlabeled and [³H]-labeled metformin, 6.75 µCi/ml) in HBSS buffer at 37°C for 10 min in the presence or absence of the OCT1 inhibitor verapamil (50 µM). This verapamil concentration was chosen to obtain complete inhibition of OCT1 based on the reported IC₅₀ value (0.62 µM) of verapamil for OCT1 (Ahlin et al., 2010). At the end of the uptake phase, SCHH were washed with ice-cold HBSS buffer,

and then lysed with lysis solution containing 1 X phosphate buffered saline with 0.5% Triton X-100. The total protein concentration of the cell lysates was determined using the Pierce™ BCA Protein Assay Kit. The radioactivity in the cell lysates was measured by liquid scintillation counting (Tri-Carb 3100TR; PerkinElmer), and the amount of metformin accumulated was normalized to the protein amount in the wells.

Gene Expression Studies

On day 5 of culture, hepatocytes were harvested and total RNA was extracted using the TRI Reagent® according to the manufacturer's protocol (Sigma-Aldrich). A NanoDrop spectrophotometer (Thermo Fisher Scientific, Waltham, MA) was used to measure the concentration and purity of the isolated RNA. Reverse transcription of the RNA (1 µg total RNA) to cDNA was performed using the Applied Biosystems™ High-Capacity cDNA Reverse Transcription Kit (Thermo Fisher Scientific) to study the gene expression of phospholipid-transporting ATPase (*ABCA1*), cytochrome P450 3A4 (*CYP3A4*), fibroblast growth factor 19 (*FGF19*) and sterol regulatory element-binding protein 1 (*SREBF1*). Quantitative real-time PCR (qRT-PCR) was performed for each sample in duplicate with the QuantStudio™ 6 Flex System (Thermo Fisher Scientific) using 40 cycles. The analyzed genes and the gene-specific TaqMan™ assays (Thermo Fisher Scientific) used for qRT-PCR are listed in Table 1. The expression of *SHP* was analyzed as described previously (Jackson et al., 2016). Gene expression was calculated using the $\Delta\Delta C_t$ method, where glyceraldehyde 3-phosphate dehydrogenase (GAPDH) was used as the reference gene, and samples treated with 10 µM T0901317 were normalized to the control, 0.1% DMSO-treated, samples.

PBPK Modeling to Simulate Effects of Transporter Alterations Caused by T0901317

The impact of changes in transporter levels observed in T0901317-treated SCHH was examined using PBPK modeling. It was assumed that the alterations in transporter protein levels were directly translated to changes in transport activity. Two drugs, metformin which is an OCT1 substrate, and digoxin, which is an MDR1 substrate, were used as model compounds for PBPK modeling. The modeling was performed using Simcyp software v18 (Certara Ltd, Sheffield, UK) using the digoxin and metformin compound files. In these compound files, both digoxin and metformin are described by a full PBPK model with permeability-limited distribution to the liver (Neuhoff et al., 2013; Burt et al., 2016).

The OCT1-mediated hepatic uptake clearance of metformin was altered from control according to the extent of OCT1 protein down-regulation that was observed in each of the three lots of SCHH treated with T0901317. For the digoxin simulations, the degree of MDR1 induction in the liver was set as 1.5-fold of control, which is approximately the average level of induction in the three lots of SCHH treated with T0901317. The default values were used for other parameters in the compound files. The population representative of the Simcyp healthy volunteer population ("Sim-Healthy Volunteers") was used for the simulations. The plasma and hepatic concentration-time profiles were simulated with the following dosing regimens: a single oral administration of 390 mg metformin (corresponding to a standard dose of 500 mg metformin hydrochloride) and a single 0.25 mg intravenous bolus of digoxin administered over 5 min. All simulated plasma concentrations refer to the total plasma concentration and all hepatic concentrations refer to the unbound, intracellular concentration in hepatocytes.

To simulate changes in the PD effects of metformin, a PD model was linked to the simulated PK data. An indirect response model for the plasma glucose lowering effect of metformin was

presented previously by Lee and Kwon (2004). However, the model was constructed based on plasma concentrations of metformin, whereas the pharmacological target organ of metformin is the liver. In order to conduct the hepatic concentration-based simulation, the PD parameters (IC_{50} , K_{in} , and K_{out}) of this indirect response model were converted to the corresponding hepatic concentration-based parameters as follows: (1) Using the simulated plasma concentration-time profile of metformin in the "Sim-Healthy Volunteers" population representative, the PD response (plasma glucose concentration-time profile) was simulated. Then, the liver-concentration based PD parameters (IC_{50} , K_{in} , K_{out}) were estimated by linking the simulated PD response to the intracellular unbound liver concentration-time profile of metformin using the indirect response model. The IC_{50} , K_{in} and K_{out} values derived from the estimation were 5.4 $\mu\text{g/ml}$, 64 h^{-1} and 0.53 h^{-1} , respectively. By using these liver concentration-based PD parameters, the PD effects of metformin were simulated in cases where hepatic OCT1 levels were altered. All PD simulations were performed using Phoenix WinNonlin (version 8.1) with the PK data from Simcyp simulations.

Statistical Analysis

Differences in protein abundance were analyzed by Student's *t*-test between T0901317 treatment and control (0.1% DMSO). In all cases, the criterion for statistical significance was $p < 0.05$.

8. Results

Effect of T0901317 Treatment on Transporter Protein Levels in SCHH

Ten transporters including MDR1, the bile salt export pump (BSEP), multidrug resistance protein 3 (MDR3), multidrug resistance-associated protein 2 (MRP2), OCT1, organic anion transporter 2 (OAT2), Na⁺-taurocholate cotransporting polypeptide (NTCP), organic anion transporting polypeptide (OATP) 1B1, OATP1B3, and OATP2B1 were detected by LC-MS/MS-based proteomic analysis in all three lots of SCHH (Fig. 1). Among these transporters, T0901317 treatment significantly decreased OCT1 (0.22-, 0.43- and 0.71-fold of control), and OAT2 (0.38-, 0.38- and 0.53-fold of control), while MDR1 was increased (1.37-, 1.48 and 1.59-fold of control). Breast cancer resistance protein (BCRP), multidrug and toxin extrusion protein 1 (MATE1), MRP3, MRP4, OCT3, organic solute transporter (OST) α , and OST β were not detected.

Effect of T0901317-treatment on Metformin uptake in SCHH

To support the assumption that changes in protein levels correspond to functional changes, an uptake study with the OCT1 substrate metformin was performed using a representative hepatocyte lot. Hepatocyte lot 1 exhibited the largest extent of OCT1 down-regulation. Therefore, metformin uptake was measured in this lot of SCHH exposed to T0901317 or vehicle (control). Uptake in the control SCHH was 13.0 ± 0.8 pmol/mg protein and it was reduced to 8.0 ± 0.7 pmol/mg protein when the OCT1 inhibitor verapamil (50 μ M) was included during the uptake. Uptake in T0901317-treated SCHH was 8.8 ± 1.1 pmol/mg protein. Verapamil at 50 μ M, a concentration that is 80-fold higher than the reported IC₅₀ (0.62 μ M)(Ahlin et al. 2010), was considered to inhibit OCT1 completely; therefore, OCT1-mediated uptake was calculated by subtracting the measured uptake in the presence of verapamil from the uptake in the absence of

verapamil assuming that the baseline, OCT1-independent metformin uptake was similar in control and T0901317-treated SCHH. As shown in Fig. 2, the OCT1-mediated uptake of metformin in the T0901317-treated SCHH was reduced to 0.16-fold of control.

Effect of T0901317 Treatment on mRNA Expression of NR Downstream Genes in SCHH

To verify the activation of NRs by T0901317 treatment in SCHH, several downstream genes of NRs were measured by qRT-PCR (Table 1). All of the studied genes were upregulated in T0901317-treated SCHH (Fig. 3). The mRNA expression of *ABCA1* and *SREBF1* was increased to more than 1.7-fold of control, suggesting LXR activation. As for the downstream genes of FXR, the mRNA expression of *FGF19* and *SHP* was increased to more than 45-fold and 1.5-fold of control, respectively. Finally, *CYP3A4*, a marker of PXR activation, was induced more than 4-fold of control (Fig. 3).

PBPK Model Simulation of Potential Impact of T0901317-mediated Changes in OCT1 Observed in SCHH

The impact of T0901317-mediated changes in hepatic OCT1 and MDR1 protein levels on the PK of the OCT1 substrate metformin and the MDR1 substrate digoxin was simulated. Hepatic OCT1 down-regulation slightly increased the plasma exposure of metformin to 1.07 – 1.21-fold of control for $C_{\max, \text{plasma}}$ and 1.09 – 1.28-fold of control for AUC_{plasma} (Fig. 4A, Table 2). In contrast, the hepatic intracellular AUC of unbound metformin was decreased to 0.34 – 0.79-fold of that in the control subject (Fig. 4B, Table 2), depending on the extent of OCT1 down-regulation (0.22-, 0.43- and 0.71-fold of control) that was observed in each of the T0901317-treated SCHH lots. The impact of OCT1 down-regulation on the PD of metformin was simulated based on unbound metformin concentrations in the hepatocyte intracellular water. Simulations showed up to a 62%

decrease in the maximal plasma glucose lowering effect of metformin with T0901317-mediated OCT1 down-regulation (Fig. 4C). In contrast to metformin, both the plasma and hepatic AUC and C_{max} of digoxin after intravenous administration were decreased to no less than 0.89-fold of control when hepatic MDR1 induction was included in the model (Fig. 5, Table 3).

9. Discussion

In vitro approaches for predicting DDIs caused by alterations in transporter protein regulation is immature even though the number of reports on transporter regulation via NR activation/suppression by drugs is increasing. In this study, proteomic analysis in SCHH revealed that the NR agonist T0901317 altered the protein levels of several important drug transporters. Using this information, PBPK modeling was applied to examine the potential impact of these changes on drug disposition. Importantly, a decrease in simulated metformin liver concentrations and a subsequent decrease in the simulated pharmacodynamic endpoint was revealed by T0901317-mediated OCT1 down-regulation that would not have been predicted based on slight changes in simulated metformin plasma concentrations.

T0901317 was used as a model compound because of its known effects on several NRs. Even though T0901317 was originally developed as an LXR agonist, later studies showed that T0901317 has affinity for other NRs including FXR (EC_{50} : 0.5 μ M) and PXR (EC_{50} : 0.023 μ M) (Mitro et al., 2007). The measurement of downstream genes of FXR and PXR (*FGF19* and *SHP* for FXR; *CYP3A4* for PXR) revealed that all of the evaluated downstream genes were up-regulated by T0901317 treatment in SCHH (Fig. 3). This study is the first to demonstrate the modulation of OCT1, OAT2, and MDR1 protein levels in SCHH by T0901317 (Fig. 1). To the best of our knowledge, LXR has not been reported to be involved in regulation of these transporters (Amacher, 2016). However, the activation of FXR and PXR could explain the observed changes

in transporter levels. Suppression of OCT1 and OAT2 protein levels via FXR activation has been reported previously (Popowski et al., 2005; Saborowski et al., 2006). It also is known that PXR activation induces MDR1 and decreases OCT1 levels (Harmsen et al., 2010; Hyrsova et al., 2016).

The regulation of transporter proteins by NRs is very complex because a single NR may be involved in the regulation of multiple transporters, while one transporter can be regulated by multiple NRs (Staudinger et al., 2013; Amacher, 2016). For instance, OATP1B1 induction is regulated by multiple NRs including PXR, FXR, and CAR in addition to LXR, and there are reports suggesting that FXR regulates multiple transporters including OATP1B1, OATP1B3, OAT2, MRP2, MRP3, and BSEP (Amacher, 2016). Due to the lack of direct one-to-one relationships between NR regulation and transporters, an *in vitro* system that maintains relevant *in vivo*-like NR regulation and transporter levels, localization and function (e.g., SCHH) is essential to evaluate the complexities of transporter regulation by drugs. Choosing the appropriate *in vitro* system is critical, since results may vary depending on the test system used.

Synthetic LXR agonists have been proposed to have potential utility in the treatment of metabolic diseases such as dyslipidemia, atherosclerosis, and diabetes (Mohan and Heyman, 2003). DDIs are a major concern in the treatment of these diseases because concomitant medications are often needed. Metformin, which is a first-line drug in the treatment of type 2 diabetes, is primarily taken up by OCT1 into hepatocytes where its major pharmacological target resides (Gong et al., 2012). Simulations incorporating the observed OCT1 down-regulation in T0901317-treated SCHH revealed that the hepatic exposure of metformin (AUC) and its maximal plasma glucose lowering effect decreased to 0.34-fold and 0.38-fold of control, respectively, with the highest level of OCT1 down-regulation (Fig. 4, Table 2). This is consistent with clinical studies reporting a decrease in metformin liver exposure and glucose-lowering effects in subjects with reduced function OCT1

genotypes (Shu et al., 2008; Sundelin et al., 2017). The predicted minor effects on the systemic exposure of metformin can be explained by predominant renal clearance of metformin (Gong et al., 2012). This interaction would, therefore, be missed by only monitoring plasma concentrations. Similarly, using plasma concentrations as the driving force for PD effects would have led to erroneous conclusions, because plasma concentrations of metformin were actually slightly increased. Thus, this study demonstrated the ability of PBPK modeling incorporating proteomics data to simulate tissue-concentration driven DDIs in both PK and PD, which are caused by transporter regulation by NR modulators.

MDR1 induction is the transporter regulation interaction highlighted by both the FDA and EMA DDI guidance (European Medicines Agency, 2012; US Food and Drug Administration, 2017). Simulations using the MDR1 substrate digoxin, a cardiac medication with a narrow therapeutic range, were conducted. Although T0901317 increased MDR1 protein levels by 1.5-fold of control in SCHH, the PBPK model incorporating hepatic MDR1 induction revealed that this change did not impact hepatic or systemic concentrations of digoxin (Fig. 5, Table 3).

The PBPK simulations conducted in this study assumed that alterations in protein levels quantitatively represented changes in activity. Consistent with this assumption, the decrease in OCT1-mediated metformin uptake in T0901317-treated SCHH was similar to the decrease in OCT1 protein levels (Figs. 1 and 2). A recent proteomics study reported that transporter protein levels quantitatively represent observed changes in *in vitro* activity for OATP1B1 and BCRP (Kumar et al., 2015). Using proteomic data and SCHH could facilitate investigation of functional changes in hepatic transporters. LC-MS/MS-based proteomic analysis can quantify multiple proteins simultaneously in one analysis with high specificity over a wide quantification range without the use of antibodies (Aebbersold et al., 2013). This data can be further utilized in PBPK

modeling to evaluate the combined effects of NR-mediated transporter regulation. This approach is supported by the increasing number of publications describing PBPK modeling using transporter level changes obtained by proteomic analysis. These models have been able to describe pharmacokinetic alterations caused by changes related to genotype, disease, as well as ontogeny (Prasad et al., 2014; Wang et al., 2016; Emoto et al., 2018).

This study was designed to demonstrate the utility of the outlined approach for identifying drug-mediated alterations in transporter regulation and predicting subsequent DDI risks, especially for initial DDI risk assessment in drug development. The results reveal that NR-mediated alterations are not limited to induction, but also can manifest as suppression of protein expression leading to decreased protein levels. The use of *in vitro* techniques to detect the mechanism of NR-mediated DDIs is important because changes observed in clinical data could be falsely attributed to interindividual variability. In addition, the results from this study serve as a reminder that NR effects can impact the levels of not only MDR1, but other transporters, in this case OCT1 and OAT2. Recently, PBPK modeling was used to suggest induction of OATP1B by rifampicin during repeated dosing (Asaumi et al. 2019). These examples demonstrate that the true role of induction/suppression of protein expression and/or levels in DDIs will only be uncovered when effects are studied for a range of transporters instead of focusing on single proteins.

In conclusion, an approach combining SCHH, proteomic analysis, and PBPK modeling was used to predict alterations in PK and PD due to transporter regulation by the NR agonist T0901317.

This approach is useful because it can reveal DDIs due to off-target effects of NR modulators and subsequent unexpected regulation of hepatic transporters. The changes in transporter levels observed *in vitro* can be incorporated into PBPK models and used to simulate potential alterations

in PK and PD, which is important especially for tissue concentration-based DDIs.**10.**

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11. Authorship Contributions

Participated in research design: Ito, Sjöstedt, Malinen, Brouwer.

Conducted experiments: Ito, Sjöstedt, Guo, Malinen.

Performed data analysis: Ito, Sjöstedt, Guo, Malinen, Brouwer.

Wrote or contributed to the writing of the manuscript: Sjöstedt, Ito, Malinen, Guo, Brouwer.

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13. Footnotes

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Dr. Kim Brouwer is a co-inventor of the sandwich-cultured hepatocyte technology for quantification of biliary excretion (B-CLEAR[®]) and related technologies, which have been licensed exclusively to Qualyst Transporter Solutions, recently acquired by BioIVT. B-CLEAR[®] is covered by U.S. patent 6,780,580 and other U.S. and international patents both issued and pending.

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14. Legends for Figures

Fig. 1. Changes in protein levels of transporters in sandwich-cultured human hepatocytes (SCHH) treated with T0901317 compared to vehicle control. Three lots of SCHH were treated with T0901317 (10 μ M) or vehicle (0.1% DMSO) for 48 h, and then processed by LC-MS/MS-based proteomics analysis. Data are expressed as a relative value of protein abundance in T0901317-treated SCHH compared to the control and presented as mean \pm SD (n = 3 – 4 transitions from one analysis). * $p < 0.05$ by Student's *t*-test between T0901315 treatment and DMSO treatment.

Fig. 2. Effect of T0901317 treatment on the OCT1-mediated uptake of [3 H]-metformin in sandwich-cultured human hepatocytes (SCHH). SCHH (Lot 1) were treated with T0901317 (10 μ M) or vehicle (0.1% DMSO) for 48 h, after which a 10-min uptake study with [3 H]-metformin (2 μ M) was conducted. OCT1-mediated uptake was calculated by subtracting measured uptake in the presence of OCT1 inhibitor verapamil (50 μ M) from the uptake in the absence of verapamil. Data represent mean \pm SD (n = 3 wells).

Fig. 3. Effect of T0901317 treatment on mRNA expression levels of LXR downstream genes (*ABCA1* and *SREBF1*), FXR downstream genes (*FGF19* and *SHP*), and the PXR downstream gene *CYP3A4* in three lots of sandwich-cultured human hepatocytes (SCHH). SCHH were treated

with T0901317 (10 μ M) or vehicle (0.1% DMSO) for 48 h. Data are presented as relative gene expression using the $\Delta\Delta$ CT method with the expression in T0901317-treated compared to control SCHH as mean \pm SD (n = 3 wells).

Fig. 4. Simulated plasma (A) and liver (B) concentration-time profiles of metformin and the plasma glucose lowering effect of metformin (C) using a physiologically-based pharmacokinetic model incorporating the down-regulation of OCT1 protein levels by T0901317 treatment (10 μ M, 48 h) observed in three separate lots of sandwich-cultured human hepatocytes. Changes in OCT1 levels are shown as fold of control in the legend. A 390 mg oral dose of metformin was simulated using the “Sim-Healthy volunteers” population representative in Simcyp v18. The pharmacodynamic simulations were based on the unbound intracellular hepatic concentrations (B) using an indirect response model modified from Lee and Kwon (2004).

Fig. 5. Simulated plasma (A) and liver (B) concentrations of digoxin using a physiologically-based pharmacokinetic model incorporating the 1.5-fold induction of hepatic MDR1 expression by T0901317 treatment (10 μ M, 48 h) observed in sandwich-cultured human hepatocytes. A 0.25 mg intravenous bolus dose of digoxin administered over 5 min was simulated using the “Sim-Healthy volunteers” population representative in Simcyp v18.

Table 1. The target genes and TaqMan™ assays used in qRT-PCR experiments.

Target gene	Assay #	Purpose
<i>GAPDH</i>	Hs02758991_g1	Reference gene
<i>ABCA1</i>	Hs01059115_m1	Marker of LXR α activation
<i>SREBF1</i>	Hs00231674_m1	Marker of LXR α activation
<i>FGF19</i>	Hs00192780_m1	Marker of FXR activation
<i>SHP</i>	Hs00222677_m1	Marker of FXR activation
<i>CYP3A4</i>	Hs00604506_m1	Marker of PXR activation

Table 2. Plasma and hepatic exposure of metformin simulated using a physiologically-based pharmacokinetic model incorporating T0901317-mediated changes in organic cation transporter 1 (OCT1) protein levels observed in three lots of sandwich-cultured human hepatocytes. A 390 mg oral dose of metformin was simulated using the “Sim-Healthy volunteers” population representative in Simcyp v18. Control simulations represent the conditions without any changes to OCT1.

	Control	Hepatic OCT1 downregulation		
		0.22-fold of control (Lot 1)	0.43-fold of control (Lot 2)	0.71-fold of control (Lot 3)
Plasma exposure				
$C_{\max, \text{plasma}}$ (mg/l)	0.65	0.79	0.75	0.70
$AUC_{\text{plasma}, 0-24\text{h}}$ (mg/l · h)	4.92	6.30	5.86	5.36
Hepatic exposure^a				
$C_{\max, \text{liver}}$ (mg/l)	1.89	0.60	0.99	1.46
$AUC_{\text{liver}, 0-24\text{h}}$ (mg/l · h)	12.4	4.22	6.84	9.81
Effect				
Maximal change in plasma glucose (% of baseline)	-19.7	-7.60	-11.8	-16.2

^a Liver concentrations are expressed as unbound concentration in liver intracellular water.

Table 3. Plasma and hepatic exposure of digoxin simulated using a physiologically-based pharmacokinetic model incorporating the average induction of hepatic multidrug resistance protein 1 (MDR1) observed in T0901317-treated sandwich-cultured human hepatocytes. A 0.25 mg intravenous bolus dose of digoxin administered over 5 min was simulated using the “Sim-Healthy volunteers” population representative in Simcyp v18.

Hepatic MDR1 induction	Plasma exposure		Liver exposure ^a	
	$C_{\max, \text{plasma}}$ ($\mu\text{g/l}$)	$\text{AUC}_{\text{plasma}, 0-24\text{h}}$ ($\mu\text{g/l} \cdot \text{h}$)	$C_{\max, \text{liver}}$ ($\mu\text{g/l}$)	$\text{AUC}_{\text{liver}, 0-24\text{h}}$ ($\mu\text{g/l} \cdot \text{h}$)
Control	10.1	7.80	5.06	4.98
1.5-fold of control	9.92	7.23	4.56	4.43

^a Liver concentrations expressed as unbound concentration in liver intracellular water

Fig. 1

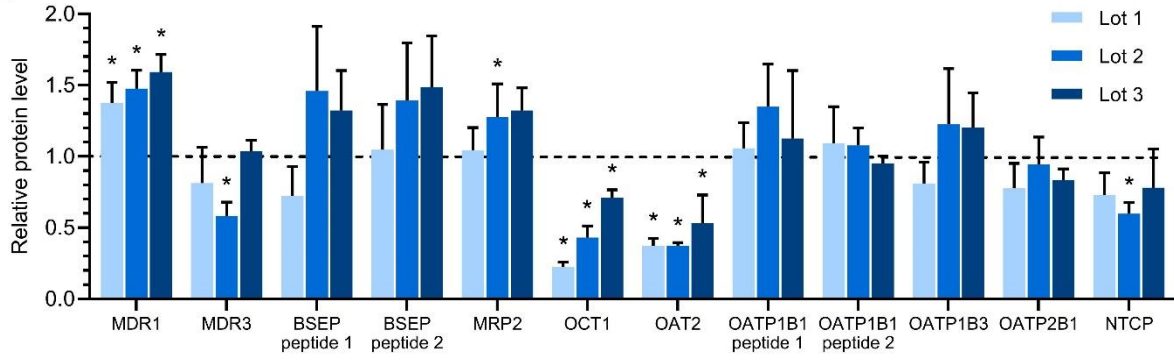


Fig. 2

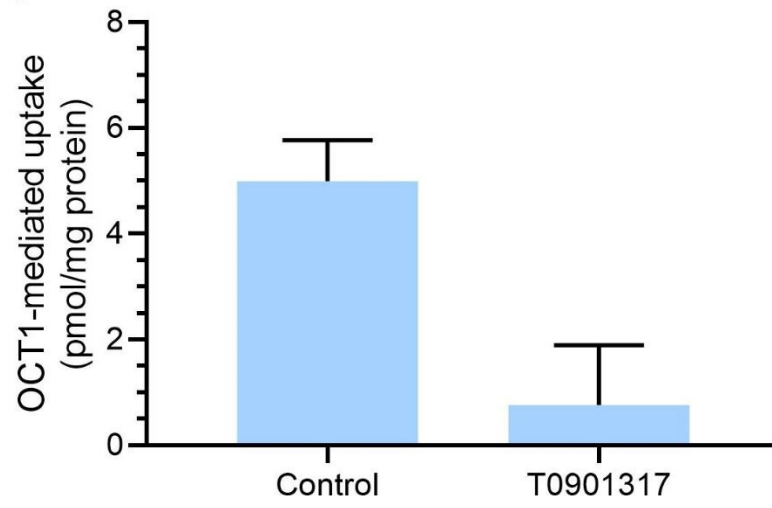


Fig. 3

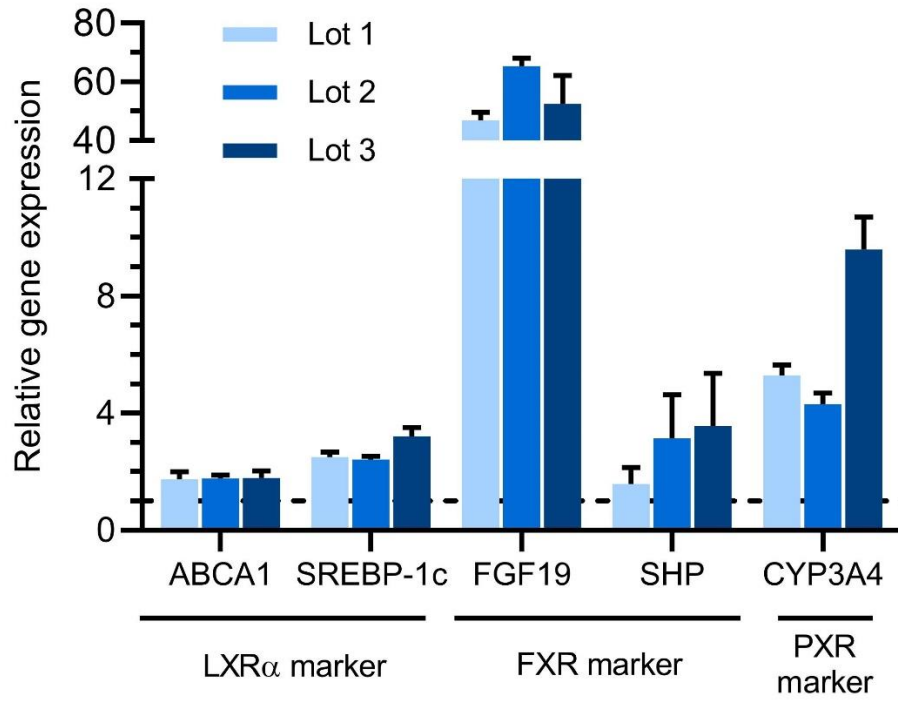
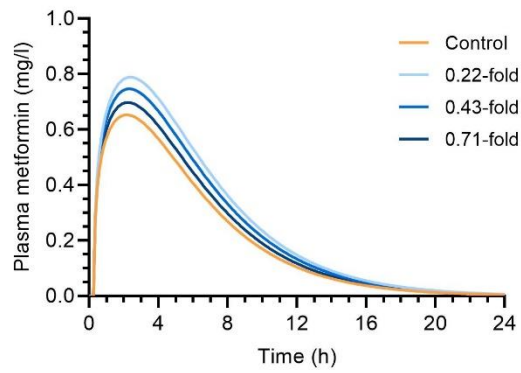
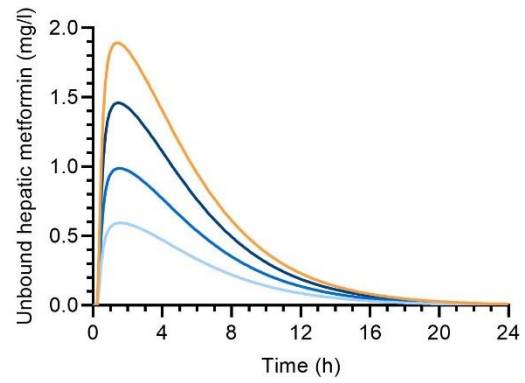


Fig. 4
A.



B.



C.

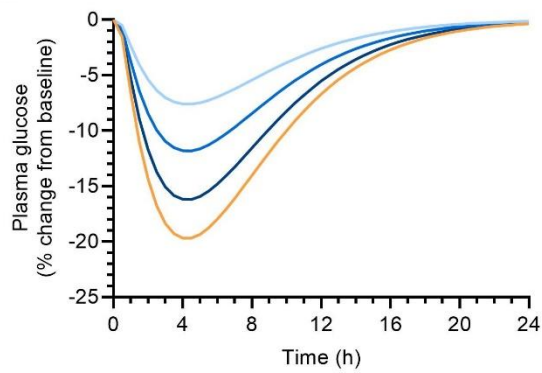
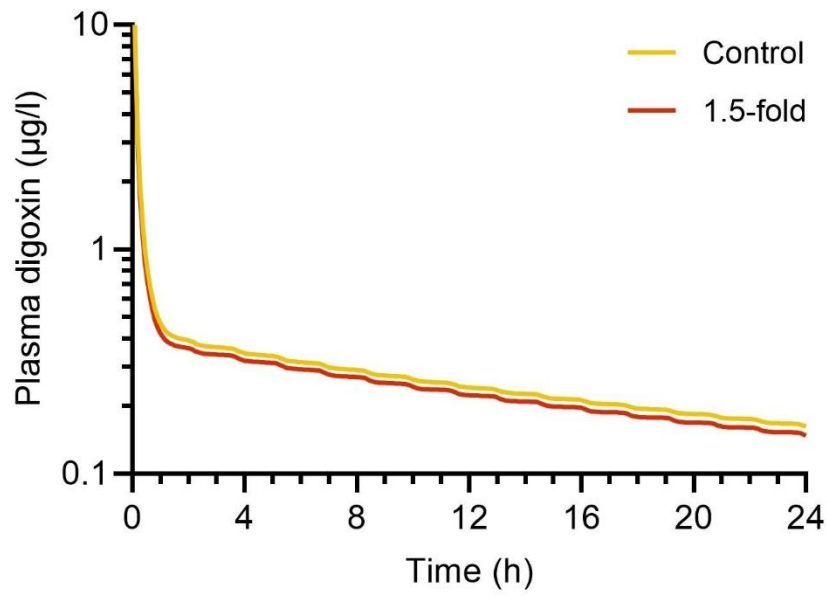


Fig. 5
A.



B.

