

Pharmacokinetic Assessment of Cooperative Efflux of the Multitargeted Kinase Inhibitor Ponatinib Across the Blood-Brain Barrier

Janice K. Laramy, Minjee Kim, Karen E. Parrish, Jann N. Sarkaria, and William F. Elmquist

Brain Barriers Research Center, Department of Pharmaceutics, College of Pharmacy, University of Minnesota, Minneapolis, Minnesota (J.K.L., M.K., K.E.P., W.F.E.); and Department of Radiation Oncology, Mayo Clinic, Rochester, Minnesota (J.N.S.)

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ABSTRACT

A compartmental blood-brain barrier (BBB) model describing drug transport across the BBB was implemented to evaluate the influence of efflux transporters on the rate and extent of the multitargeted kinase inhibitor ponatinib penetration across the BBB. In vivo pharmacokinetic studies in wild-type and transporter knockout mice showed that two major BBB efflux transporters, P-glycoprotein (P-gp) and breast cancer resistance protein (Bcrp), cooperate to modulate the brain exposure of ponatinib. The total and unbound (free) brain-to-plasma ratios were approximately 15-fold higher in the triple knockout mice lacking both P-gp and Bcrp [*Mdr1a/b(-/-)Bcrp1(-/-)*] compared with the wild-type mice. The triple knockout mice had a greater than an additive increase in the brain exposure of ponatinib when

compared with single knockout mice [*Bcrp1(-/-)* or *Mdr1a/b(-/-)*], suggesting functional compensation of transporter-mediated drug efflux. Based on the BBB model characterizing the observed brain and plasma concentration-time profiles, the brain exit rate constant and clearance out of the brain were approximately 15-fold higher in the wild-type compared with *Mdr1a/b(-/-)Bcrp1(-/-)* mice, resulting in a significant increase in the mean transit time (the average time spent by ponatinib in the brain in a single passage) in the absence of efflux transporters (P-gp and Bcrp). This study characterized transporter-mediated drug efflux from the brain, a process that reduces the duration and extent of ponatinib exposure in the brain and has critical implications for the use of targeted drug delivery for brain tumors.

Introduction

Nearly 12,000 new cases of glioblastoma (GBM), the most common malignant primary brain cancer, are projected for 2017 (Ostrom et al., 2016). Currently, the 2-year survival rate

of GBM patient is only approximately 17%, despite aggressive treatment that combines surgery, radiation, and adjuvant chemotherapy (Ostrom et al., 2016). Many potent anticancer agents, targeting known drivers of GBM, have failed to demonstrate efficacy in clinical trials in the past decade (De Witt Hamer, 2010). Our proteomic analysis of patient-derived xenograft (PDX) GBM tumors has identified over-expression of platelet-derived growth factor receptor- α (PDGFR- α) and rearranged during transfection (RET) as a possible mechanism of drug resistance (erlotinib and temozolomide) upon orthotopic implantation of epidermal growth factor receptor-driven GBM tumors (unpublished data) (Laramy et al., 2017). Based on literature review, a multitargeted kinase inhibitor (ponatinib), a Food and Drug

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ABBREVIATIONS: aECF, artificial extracellular fluid; AUC, area under the curve; $AUC_{(0 \rightarrow \infty)}$, trapezoidal rule integration to the time infinity including the extrapolated area; $AUC_{(0 \rightarrow \infty), \text{brain}}$, trapezoidal rule integration to the time infinity including the extrapolated area of brain concentration-time profile; $AUC_{(0 \rightarrow \infty), \text{plasma}}$, trapezoidal rule integration to the time infinity including the extrapolated area of plasma concentration-time profile; $AUC_{(0 \rightarrow t)}$, trapezoidal rule integration to the last time point; BBB, blood-brain barrier; Bcrp, breast cancer resistance protein; C_{brain} , total drug concentration in brain; CL, clearance; CL_{apparent} , apparent clearance; CL_{in} , clearance of total drug into the brain; CL_{out} , clearance of total drug out of the brain; CL_{systemic} , clearance of total drug from the systemic circulation (body); $CL_{\text{u, in}}$, clearance of unbound (free) drug into the brain; $CL_{\text{u, out}}$, clearance of unbound (free) drug out of the brain; $C_{\text{max, brain}}$, C_{max} of brain concentration; CNS, central nervous system; C_{plasma} , total drug concentration in plasma; $C_{\text{p, tss}}$, plasma concentration corresponding to C_{max} for brain; $C_{\text{u, brain}}$, unbound (free) drug concentration in brain; $C_{\text{u, plasma}}$, unbound (free) drug concentration in plasma; DA, distribution advantage; DA_{pred} , predicted distribution advantage; F, bioavailability; $f_{\text{u, brain}}$, unbound (free) drug fraction in brain homogenate; $f_{\text{u, plasma}}$, unbound (free) drug fraction in plasma; FVB, Friend leukemia virus strain B; GBM, glioblastoma; K_{a} , absorption rate constant; K_{a} , absorption rate constant after oral dosing; K_{elim} , terminal elimination rate constant; K_{in} , tissue transfer rate constant into the brain; K_{out} , tissue transfer rate constant out of the brain; Kp, total brain-to-plasma ratio; $K_{\text{p, pred}}$, model-predicted total brain-to-plasma ratio; $K_{\text{p, uu}}$, unbound (free) brain-to-plasma ratio; $K_{\text{p, uu, pred}}$, model-predicted unbound (free) brain-to-plasma ratio; LC-MS/MS, liquid chromatography-tandem mass spectrometry; Mdr1, murine p-glycoprotein; MTT, mean transit time; MTT_{brain} , mean transit time in the brain; NCA, noncompartmental analysis; PDX, patient-derived xenograft; P-gp, p-glycoprotein; T_{max} , transient steady state at the time of C_{max} ; V_{brain} , volume of distribution of total drug in the brain; V_{central} , volume of distribution of total drug in the central compartment; V_{i} , residual volume of buffer that can remain on the slice surface; $V_{\text{u, brain}}$, volume of distribution of unbound (free) drug; $V_{\text{u, central}}$, volume of distribution of unbound (free) drug in the central compartment.

Administration-approved leukemia therapy, has activities against (PDGFR- α) and RET, resulting in proteomic-guided drug selection for GBM6, one of the drug-resistant PDX GBM tumors (Laramy et al., 2017). Interestingly, depending on the characteristics of an individual brain tumor, the same drug can lead to differential orthotopic efficacy. Ponatinib represents an interesting case because our previous preclinical study showed negative preclinical efficacy in an adult GBM xenograft model (GBM6) (Laramy et al., 2017), whereas a positive outcome was observed in another brain tumor model, pediatric brain tumor xenograft (D-2159MG) (Keir et al., 2012). The tumor-dependent preclinical efficacy indicates the potential influence of the oncogenic makeup of GBM tumor on the blood-brain barrier (BBB) integrity (i.e., the extent of BBB leakiness). This can also subsequently lead to differential drug distribution and resultant orthotopic efficacy, warranting further in-depth assessment of the brain penetration of ponatinib.

Free tissue drug concentration is often considered to be the therapeutically relevant concentration, not the total drug concentration, based on the free drug hypothesis (Dubey et al., 1989; Hammarlund-Udenaes et al., 2008). At the BBB, the net “clearance” or transport (i.e., influx vs. efflux at the BBB) governs the delivery of free drug concentration from plasma to the brain. Drug distribution across the BBB is often restricted by the physical and biochemical barriers, such as tight junctions and efflux transporters, respectively. Tight junctions located between the BBB epithelial cells often prevent paracellular drug transport, and efflux transporters can actively reduce the brain penetration of a compound (Abbott et al., 2006). Functional compensation by breast cancer resistance protein (Bcrp) and P-glycoprotein (P-gp) has been commonly reported for various compounds (Kodaira et al., 2010), including tyrosine kinase inhibitors (Agarwal et al., 2011a; Mittapalli et al., 2012; Oberoi et al., 2013). The triple knockout genotype [*Mdr1a/b(-/-)/Bcrp1(-/-)*] often exhibits a brain-to-plasma ratio that is higher than what would be expected from brain-to-plasma ratios observed in the *Bcrp1(-/-)* and *Mdr1a/b(-/-)* genotypes individually. Restricted drug distribution across the BBB, and thus subtherapeutic drug exposure at the GBM tumor-bearing brain, can lead to a lack of efficacy even for a potent, highly lipophilic compound that would readily diffuse across the BBB cell layer if efflux transporters were not involved. Utilization of genetic knockout mice has been useful in determining the mechanism by which BBB efflux transporters limit CNS drug delivery and in quantifying the rate and extent of CNS penetration of a compound (Agarwal et al., 2011a; Mittapalli et al., 2012; Oberoi et al., 2013).

A compound exhibiting a greater extent and duration of tissue exposure within the therapeutic window is assumed to elicit a superior therapeutic outcome at the targeted tissue site, such as a tumor (Suzuki et al., 2009). The BBB efflux transporters, however, may reduce both the extent and duration of drug exposure in the brain, which can lead to suboptimal efficacy in the tumor-bearing brain, despite the tumor-inhibitory potency of a compound. The present study aimed to quantitatively assess the influence of BBB efflux transporters on the extent and duration of CNS penetration of ponatinib. Experimental approaches to achieve this included in vivo studies utilizing the transporter knockout and wild-type mice, in conjunction with in vitro brain slices, to

determine brain-specific volumes of distribution. Subsequently, quantitative analyses of CNS distribution employed noncompartmental analysis (NCA) and mechanistic modeling to quantify the extent and duration of CNS penetration of ponatinib by estimating several parameters, including the tissue transfer rate constants, unbound (free) brain-to-plasma ratio ($K_{p,uu}$), and total brain-to-plasma ratio (K_p), and the mean transit time (MTT; i.e., the mean time spent in the brain [MTT in the brain (MTT_{brain})] by ponatinib in a single passage). The results of this study provide insight into the role of efflux transporters on the CNS penetration of ponatinib, and the implication of limited CNS delivery on the efficacy of ponatinib for GBM.

Materials and Methods

Chemicals and Reagents

Ponatinib hydrochloride [3-(2-imidazo[1,2-b]pyridazin-3-ylethynyl)-4-methyl-N-[4-[(4-methylpiperazin-1-yl)methyl]-3-(trifluoromethyl)phenyl]-benzamide hydrochloride] was purchased from Chemietek (Indianapolis, IN), imatinib methanesulfonate [4-[(4-methylpiperazin-1-yl)methyl]-N-[4-methyl-3-[(4-pyridin-3-ylpyrimidin-2-yl)amino]phenyl]-benzamide] (>99% purity) from LC Laboratories (Woburn, MA), and [3H]-ponatinib (>98% purity) from Alsachim SAS (Illkirch, France). Analytical-grade reagents were purchased from Thermo Fisher Scientific (Waltham, MA).

Animals

Pharmacokinetic studies were conducted using Friend leukemia virus strain B (FVB) wild-type, *Bcrp1(-/-)*, *Mdr1a/b(-/-)*, and *Mdr1a/b(-/-)Bcrp1(-/-)* mice (Taconic Biosciences, Inc., Germantown, NY). Animals were sourced from Taconic Biosciences, Inc., which has maintained an animal colony following the established procedures of breeding and back-crossing. Mice were bred and maintained in the American Association for the Accreditation of Laboratory Animal Care International-accredited animal housing facility at the Academic Health Center at the University of Minnesota. Animals were housed in a standard 12-hour dark/light cycle with unlimited access to food and water. For in vivo pharmacokinetic studies, we used both female and male mice (50% male and 50% female) ranging in age from 8 to 14 weeks. All animal experiments were approved by the University of Minnesota Institutional Animal Care and Use Committee and conducted in accordance with the *Guide for the Care and Use of Laboratory Animals* established by the U.S. National Institutes of Health (Bethesda, MD).

Plasma and Brain Concentration-Time Profiles of Ponatinib

The oral dose of 30 mg/kg, the optimal dose used in mice in several studies (Gozgit et al., 2012; De Falco et al., 2013), was selected in the current study to examine the CNS distribution of ponatinib. This was the dose previously used to test the in vivo efficacy of ponatinib in a PDX GBM model (Laramy et al., 2017). Equivalent doses for the distribution and efficacy studies allow assessment of how the extent of brain penetration of ponatinib affects efficacy in the PDX GBM model. The dose was reduced to 3 mg/kg in the intravenous cohort to achieve similar exposures following the various modes of administration. The dosing formulation of ponatinib was prepared in a vehicle of dimethyl sulfoxide/Tween 80 (Sigma-Aldrich, St. Louis, MO)/water (2:1:7) for intravenous administration, and 0.5% methylcellulose (percentage grams per volume) and 0.2% Tween 80 for oral administration on the day of the animal experiment. A single intravenous bolus dose (3 mg/kg) was administered to FVB wild-type and *Mdr1a/b(-/-)Bcrp1(-/-)* mice via tail vein injection, followed by serial sacrifice at 0.25, 0.5, 1, 2, 4, 8, and 16 hours ($N = 3$ to 4 at each time point). In a separate study, a single oral dose (30 mg/kg) of ponatinib was

administered to FVB wild-type, *Bcrp1(-/-)*, *Mdr1a/b(-/-)*, and *Mdr1a/b(-/-)Bcrp1(-/-)* mice via oral gavage followed by serial sacrifice at 0.5, 2, 4, 8, 12, 16, and 24 hours ($N = 4$ at each time point). The mice were euthanized in a carbon dioxide chamber, followed by the collection of blood via cardiac puncture and rapid surgical removal of the brain. The brain was rinsed with water and blotted to remove superficial meninges. Plasma was obtained by centrifuging the blood at 3500 rpm for 15 minutes at 4°C. Plasma and brain samples were stored at -80°C until LC-MS/MS analysis.

Steady-State Brain Distribution of Ponatinib after Intraperitoneal Infusion

A continuous intraperitoneal infusion was achieved by surgical implantation of an Alzet osmotic mini pump (model 1000D; DURECT Corporation, Cupertino, CA) in the intraperitoneal cavity of FVB wild-type, *Bcrp1(-/-)*, *Mdr1a/b(-/-)*, and *Mdr1a/b(-/-)Bcrp1(-/-)* mice as described previously (Agarwal et al., 2010). Ponatinib was dissolved in dimethyl sulfoxide at a concentration of 40 $\mu\text{g}/\mu\text{l}$, and the solution was loaded into the mini pumps. The drug-loaded pumps were primed overnight by soaking them in sterile saline at 37°C. Mice were anesthetized with isoflurane, and, after surgical implantation, the mice were infused with ponatinib at a constant rate of 1 $\mu\text{l}/\text{h}$ (40 $\mu\text{g}/\text{h}$) for 48 hours. Plasma and brain samples were collected and stored following the same procedure as described earlier.

Brain Slice Method to Estimate the Volume of Distribution of Free (Unbound) Drug in the Brain

Brain slice experiment was conducted as previously reported (Friden et al., 2009; Loryan et al., 2013) with the following modifications. Briefly, drug-native FVB wild-type animals were sacrificed under isoflurane anesthesia, and the brain was immediately harvested and immersed in ice-cold, oxygenated, HEPES-buffered artificial fluid or artificial extracellular fluid (aECF) (129 mM NaCl, 3 mM KCl, 1.4 mM CaCl_2 , 1.2 mM MgSO_4 , 0.4 mM K_2HPO_4 , 25 mM HEPES, 10 mM glucose, and 0.4 mM ascorbic acid). Two consecutive 300- μm coronal sections were cut using a Vibratome at a cutting speed of 5 and an amplitude (vibration) of 10 (Lancer TPI Vibratome Series 1000 Sectioning Microtome System; The Vibratome Company, St. Louis, MO). The slices were transferred into a 50-mm-high, 90-mm-diameter, flat-bottomed glass dish containing 200 nM ponatinib (10 ml) in aECF. A parafilm-covered beaker containing the aECF was gently infused with 100% oxygen. The beaker was gently shaken (UVP Multidizer Hybridization Oven; UVP, LLC, Upland, CA) and incubated for 5 hours at 37°C with a rotation speed of 90 cycles/min. The slices were removed, dried on filter paper, and weighted in an Eppendorf tube. Each slice was individually homogenized with nine volumes (w/v) of aECF buffer by vigorous vortexing. The 200 μl of buffer was collected in an Eppendorf tube containing 200 μl of blank brain homogenate (1:3 volume of aECF buffer). The samples were stored at -80°C until LC-MS/MS analysis. The volume of distribution of unbound (free) drug ($V_{u,\text{brain}}$) was calculated by the ratio of drug amount in the brain slice (A_{slice}) to the buffer concentration (C_{buffer}):

$$V_{u,\text{brain}} = \frac{A_{\text{slice}}}{C_{\text{buffer}}} \quad (1a)$$

$$V_{u,\text{brain}} = \frac{A_{\text{slice}} - V_i \times C_{\text{buffer}}}{C_{\text{buffer}} (1 - V_i)} \quad (1b)$$

The eqs. 1a and 1b, are with or without, respectively, correction for the residual volume of buffer that can remain on the slice surface (V_i). The value of V_i found in the literature is 0.094 ml/g of brain slice (Kakee et al., 1996; Friden et al., 2009; Loryan et al., 2013). The K_p,uu values of ponatinib were calculated using the following equations adapted from Loryan et al. (2013). These equations incorporate the parameters, including the unbound (free) drug fraction in plasma ($f_{u,\text{plasma}}$) of

ponatinib ($f_{u,\text{plasma}} = 0.0023$) and unbound (free) drug fraction in brain homogenate ($f_{u,\text{brain}} = 0.00029$), as follows:

Free (unbound) brain-to-plasma concentration ratio = K_p,uu

$$= \frac{K_p}{V_{u,\text{brain}} \cdot f_{u,\text{plasma}}}$$

(2a using $V_{u,\text{brain}}$)

Free (unbound) brain-to-plasma concentration ratio = K_p,uu

$$= \frac{f_{u,\text{brain}}}{f_{u,\text{plasma}}} \cdot K_p \quad (2b \text{ using } f_{u,\text{brain}})$$

LC-MS/MS Assay to Measure Ponatinib Concentration

Total drug concentrations of ponatinib in plasma and brain specimens were measured using the LC-MS/MS method previously reported (Laramy et al., 2017). In summary, brain samples resulting from in vivo animal studies were homogenized with three tissue volumes of 5% bovine serum albumin (grams per volume) solution using a homogenizer (PowerGen 125; Thermo Fisher Scientific). Liquid-liquid extraction was performed for an aliquot of 25 μl of plasma or 50 μl of brain homogenate by adding 75 ng of internal standard (imatinib), 10 volumes of ice-cold ethyl acetate, and five volumes of 0.2 M sodium hydroxide (pH 13). The mixture was vortexed for 5 minutes, followed by centrifugation at 7500 rpm for 5 minutes (4°C). The organic layer was dried under nitrogen and reconstituted with 150 μl of mobile phase (acetonitrile and 20 mM ammonium acetate with 0.05% formic acid), followed by centrifugation at 14,000 rpm for 5 minutes (4°C). Five microliters of the sample was injected into the Zorbax XDB Eclipse C18 column (4.6 \times 50 mm, 1.8 μm ; Agilent Technologies, Santa Clara, CA) for liquid chromatography. Gradient elution was performed to separate analyte for the samples resulting from all studies except of those from intravenous bolus dosing. For the samples from in vivo pharmacokinetic studies utilizing the intravenous bolus dosing, a new isocratic method with a deuterated internal standard ($^2\text{H}_8$ -ponatinib) was used to reduce the total run time to 7 minutes. For each of these LC-MS/MS methods, the calibration curve was sensitive and linear over the range of 0.4–2000 ng/ml (weighting factor of $1/Y^2$) with a CV of less than 15%. All of the measured concentrations were within the range of the calibration curve.

Pharmacokinetic Data Analysis

Noncompartmental Analysis. Plasma and brain concentration-time profiles resulting from the administration of an oral or intravenous bolus of ponatinib in different genotypes of mice were analyzed using Phoenix WinNonlin version 6.4 (Certara USA, Inc., Princeton, NJ). NCA was performed by trapezoidal rule integration [i.e., area under the curve (AUC)] to the last time point [$\text{AUC}_{(0 \rightarrow t)}$] and the AUC to the time infinity including the extrapolated area [$\text{AUC}_{(0 \rightarrow \infty)}$]. The Phoenix NCA module also reported other parameters/metrics, such as clearance (CL) or apparent CL ($\text{CL}_{\text{apparent}}$), volume of distribution (V_d) or apparent volume of distribution, bioavailability (F), half-life, and C_{max} . The K_p value was calculated using the following three approaches for comparison: 1) the ratio of $\text{AUC}_{(0 \rightarrow \infty)}$ of brain concentration-time profile ($[\text{AUC}_{(0 \rightarrow \infty),\text{brain}}]$) to that of plasma concentration-time profile ($[\text{AUC}_{(0 \rightarrow \infty),\text{plasma}}]$); 2) the ratio of steady-state brain concentration to steady-state plasma concentration; and 3) the ratio of the C_{max} of brain concentration ($C_{\text{max,brain}}$) to the corresponding plasma concentration at transient steady state ($C_{p,\text{tss}}$) at that time point, since a “transient” steady state occurs at the time of $C_{\text{max,brain}}$ (Oberoi et al., 2013). The free derivative of K_p (K_p,uu) was calculated by multiplying the K_p value by the relative magnitude of the free fraction of ponatinib in brain homogenate to plasma ($f_{u,\text{brain}}/f_{u,\text{plasma}} = 0.00029/0.0023 = \text{approximately } 0.1$) (Laramy et al., 2017). A distribution advantage (DA) due to the lack of efflux transporters was quantitated by the ratio of $K_p,\text{knockout}$ to

Kp,wild-type (Oberoi et al., 2013; Parrish et al., 2015a; Vaidhyanathan et al., 2016) or Kp,uu,knockout to Kp,uu,wild-type. Using the calculated $AUC_{(0-\infty)}$ values, the oral bioavailability (F) values in the wild-type and *Mdr1a/b(-/-)Bcrp1(-/-)* mice were calculated using the following equation (Rowland and Tozer, 2011):

$$\text{Oral bioavailability (F)} = \left\{ \frac{[AUC_{(0-\infty),\text{plasma}}]_{\text{oral}}}{[AUC_{(0-\infty),\text{plasma}}]_{\text{IV}}} \right\} \left\{ \frac{\text{Dose}_{\text{IV}}}{\text{Dose}_{\text{oral}}} \right\} \quad (3)$$

Compartmental Analysis with a BBB Model. A compartmental BBB model (Fig. 1) was adapted from the literature (Wang and Welty, 1996; Hammarlund-Udenaes et al., 1997) and was implemented to quantitatively assess CNS distributional kinetics of ponatinib into and out of the brain. The model was fitted to the observed data (total plasma and brain concentration-time profiles) resulting from intravenous bolus and oral administration in the wild-type and genetic knockout mice. The assumptions for this model included the following: 1) instantaneous equilibrium exists between the bound and free (unbound) drug in each compartment; 2) only free drug is available to move into and out of the brain at the BBB; and 3) the extent of drug binding in plasma and brain homogenates does not differ among the four genotypes. Nonlinear regression analysis for the BBB model was performed using SAAM II (version 2.3; The Epsilon Group, Charlottesville, VA).

The implementation of the BBB model was completed in two stages. The first stage involved describing the total plasma concentration with an open two-compartment model with drug elimination from the central compartment (Fig. 1A). For the total plasma concentration resulting from intravenous or oral administration, the intercompartmental rate constants (K_{12} and K_{21}), the elimination rate constant from the central compartment (K_{10}), the volume of distribution in the central compartment (V_{central}), and the absorption rate constant after oral dosing (K_a) were estimated. The terminal elimination rate constant (K_{elim}) for drug elimination from the body was calculated by using the following equation (Gibaldi and Perrier, 1998):

$$k_{\text{elim}} = \frac{1}{2} \left[(k_{12} + k_{21} + k_{10}) - \sqrt{(k_{12} + k_{21} + k_{10})^2 - 4k_{21} * k_{10}} \right] \quad (4)$$

The half-life and clearance of ponatinib (total drug) from the systemic circulation (body) (CL_{systemic}) were calculated using k_{elim} .

In the second stage, the forcing function, or the analytical solution to the open two-compartment model describing the total plasma concentration, was input into the compartmental BBB model to estimate model parameters that describe the distribution of drug to the brain (Fig. 1A).

over time was the following:

$$V_{\text{brain}} * \frac{C_{\text{brain}}}{dt} = (k_{\text{in}} * V_{\text{central}}) * C_{\text{plasma}} - (k_{\text{out}} * V_{\text{brain}}) * C_{\text{brain}} \quad (5)$$

where V_{brain} is the volume of distribution of total drug in the brain, k_{in} is the tissue transfer rate constant into the brain, and k_{out} is the tissue transfer rate constant out of the brain, and C_{plasma} and C_{brain} are the total drug concentrations in plasma and brain, respectively.

After these parameters were estimated, the differential equation for the brain compartment was rewritten to describe the movement of free drug as follows (Fig. 1B):

$$V_{\text{u,brain}} * \frac{C_{\text{u,brain}}}{dt} = (k_{\text{in}} * V_{\text{u,central}}) * C_{\text{u,plasma}} - (k_{\text{out}} * V_{\text{u,brain}}) * C_{\text{u,brain}} \quad (6)$$

where $V_{\text{u,central}}$ (milliliters per kilogram) is the volume of distribution of unbound (free) drug in the central compartment, $C_{\text{u,plasma}}$ is the unbound (free) drug concentration in plasma, and $C_{\text{u,brain}}$ is the unbound (free) drug concentration in brain.

Clearance of total drug into the brain (CL_{in}), CL of unbound (free) drug into the brain ($CL_{\text{u,in}}$), CL of total drug out of the brain (CL_{out}), and CL of unbound (free) drug out of the brain ($CL_{\text{u,out}}$) can be expressed as a product of the rate constants and the corresponding volumes of distribution terms as follows:

$$CL_{\text{in}} = k_{\text{in}} * V_{\text{central}} \quad \text{where } V_{\text{central}} = V_{\text{u,central}} * f_{\text{u,plasma}} \quad (7)$$

$$CL_{\text{out}} = k_{\text{out}} * V_{\text{brain}} \quad \text{where } V_{\text{brain}} = V_{\text{u,brain}} * f_{\text{u,brain}} \quad (8)$$

$$CL_{\text{u,in}} = k_{\text{in}} * V_{\text{u,central}} \quad (9)$$

$$CL_{\text{u,out}} = k_{\text{out}} * V_{\text{u,brain}} \quad (10)$$

The clearance terms CL_{in} and $CL_{\text{u,in}}$ (milliliters per hour per kilogram) represent the net drug clearance of total and free drug, respectively, from plasma to the brain. The clearance terms, CL_{out} and $CL_{\text{u,out}}$ (milliliters per hour per kilogram), represent the sum of all drug clearances out of the brain resulting from efflux transport, drug metabolism, and flow processes.

During the second stage of the model-fitting procedure (BBB model), the rate constants (k_{in} and k_{out}) were estimated, and other parameters (V_{central} , $V_{\text{u,central}}$, $f_{\text{u,plasma}}$, V_{brain} , $V_{\text{u,brain}}$, $f_{\text{u,brain}}$) were fixed. The V_{central} (1478.3 ml/kg body weight) was obtained from the first stage of the model-fitting procedure (a two-compartment model describing the plasma concentration-time profiles). Given that the weight of the brain constitutes approximately 1.8% of body weight in mice (Davies and Morris, 1993), the experimental value of $V_{\text{u,brain}}$ (1.62 ml/g of brain slices from the brain slice method) was converted to

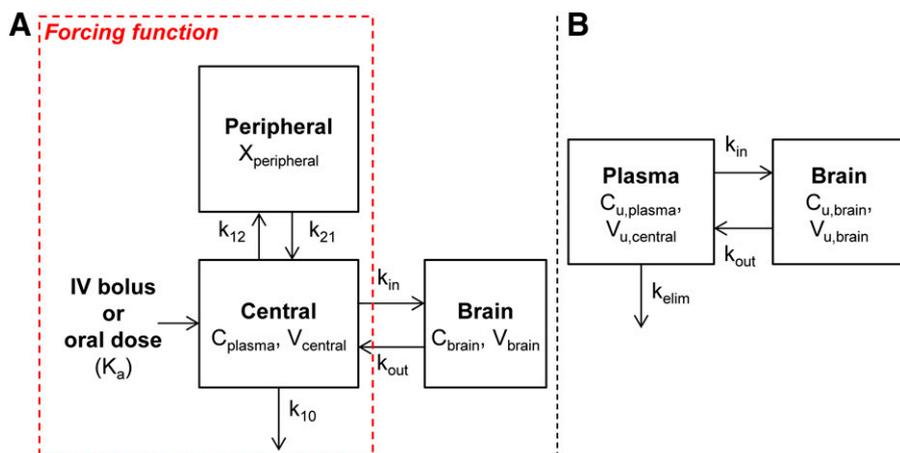


Fig. 1. A compartmental BBB model was adapted from the literature (Wang and Welty, 1996; Hammarlund-Udenaes et al., 1997) to describe drug movement between plasma and brain tissue after administration of a single intravenous bolus or oral dose. (A) An open two-compartment model described the total drug concentration-time profile in plasma with the parameters (k_{12} , k_{21} , k_{10} , and/or K_a) that were used to generate a forcing function. The forcing function was subsequently input and used in the BBB model, which simultaneously described the C_{plasma} and C_{brain} values. (B) A BBB model for free (unbound) drug in plasma and brain compartments. $X_{\text{peripheral}}$, total drug amount in the peripheral compartment.

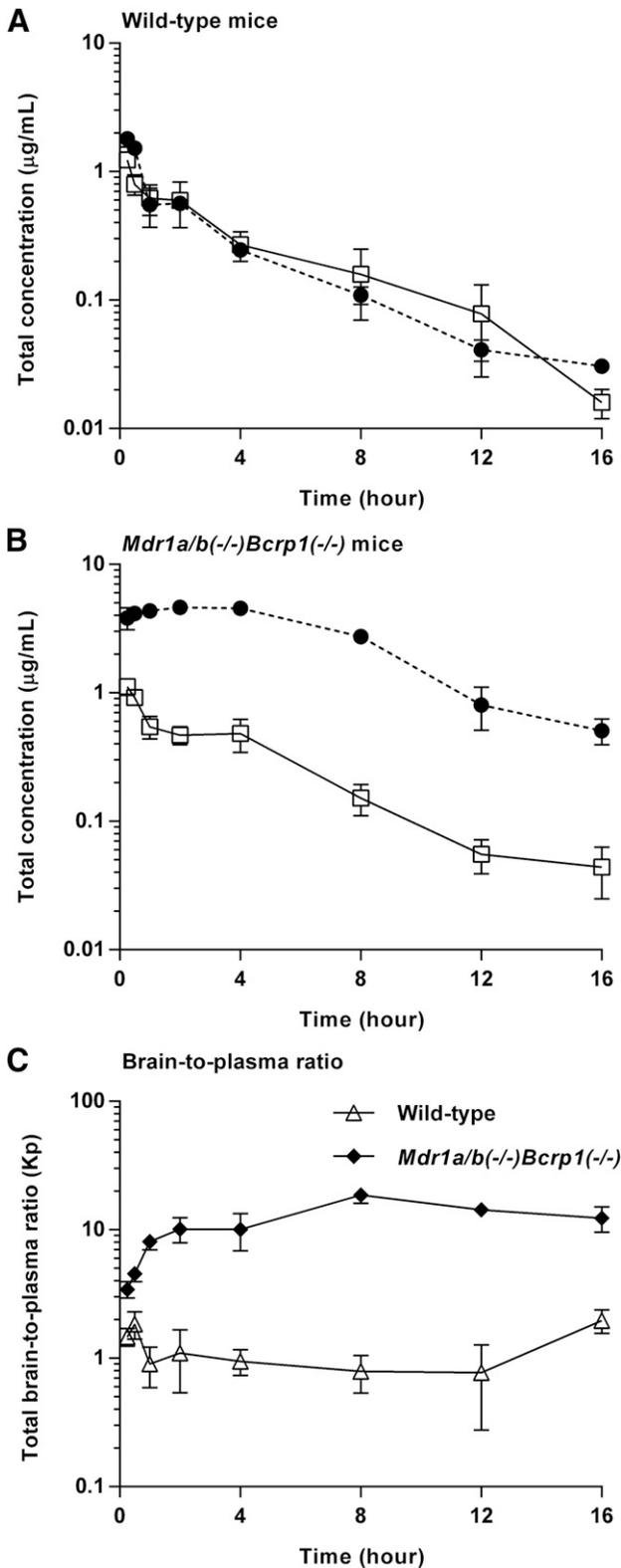


Fig. 2. Total plasma (solid line with square) concentration and brain (dashed line with circle) concentration in wild-type mice (A) and *Mdr1a/b(-/-)Bcrp1(-/-)* mice (B), and brain-to-plasma ratio time course of ponatinib after administration of a single intravenous bolus (3 mg/kg) in FVB wild-type and *Mdr1a/b(-/-)Bcrp1(-/-)* mice ($N = 3$ to 4 at each time point) (C). Data are presented as the mean \pm S.D.

29.2 ml/kg body weight and fixed in the BBB model (assuming 20% CV). Using the free fraction values of ponatinib in plasma ($f_{u,plasma} = 0.0023$) and brain homogenate ($f_{u,brain} = 0.00029$) that were previously reported (Laramy et al., 2017), the $V_{u,central}$ (6.458×10^5 ml/kg, or 645.8 l/kg) and V_{brain} (0.0086 ml/kg) were calculated according to eqs. 7 and 8.

The duration of brain tissue exposure to ponatinib was quantitated with the MTT using the following equation (Kong and Jusko, 1988):

$$\text{Mean transit time in the brain (MTT}_{\text{brain}}) = \frac{1}{k_{\text{out}}}. \quad (11)$$

The BBB model-predicted K_p ($K_{p,pred}$) or model-predicted $K_{p,uu}$ ($K_{p,uu,pred}$) value was calculated by the ratio of model-predicted areas under the curve (ratio of AUC for the brain to the AUC for plasma). These model-predicted K_p and $K_{p,uu}$ values are expected to equal the ratio of the clearance of total or free drug in and out of the brain, as follows:

$$\begin{aligned} K_{p,pred} &= \frac{\text{AUC}_{(0 \rightarrow \infty), \text{total brain, predicted}}}{\text{AUC}_{(0 \rightarrow \infty), \text{total plasma, predicted}}} \\ &\approx \frac{\text{Total } C_{\text{max, brain}}}{\text{Corresponding total plasma concentration at that time } (C_{p, \text{tss}})} \\ &\approx \frac{CL_{\text{in}}}{CL_{\text{out}}} \end{aligned} \quad (12)$$

$$\begin{aligned} K_{p,uu,pred} &= \frac{\text{AUC}_{(0 \rightarrow \infty), \text{free brain, predicted}}}{\text{AUC}_{(0 \rightarrow \infty), \text{free plasma, predicted}}} \\ &\approx \frac{\text{Free } C_{\text{max, brain}}}{\text{Corresponding free plasma concentration at that time } (C_{p, \text{tss}})} \\ &\approx \frac{CL_{u, \text{in}}}{CL_{u, \text{out}}} \end{aligned} \quad (13)$$

The predicted DA (DA_{pred}) due to the lack of efflux transporters (the ratio of $K_{p,pred, \text{knockout}}$ and $K_{p,pred, \text{wild-type}}$, or $K_{p,uu,pred, \text{knockout}}$ and $K_{p,uu,pred, \text{wild-type}}$) was also calculated using the model-predicted brain-to-plasma ratio values.

Statistical Analysis

All experimental data were presented as the mean \pm S.D. or S.E.M. The sample size in this study was determined from a power analysis assuming 20% variance and an alpha (α) value of 0.05, where the power is about 80% to detect a true difference between the anticipated means (about 50%) in drug distribution studies. Two-sample t test or analysis of variance with Bonferroni correction for multiple testing was used to compare the drug concentration or K_p values of wild-type animals with each of the three other genotypes [*Bcrp1(-/-)*, *Mdr1a/b(-/-)*, and *Mdr1a/b(-/-)Bcrp1(-/-)*]. Visual display of data and statistical tests was completed using GraphPad Prism (version 6; GraphPad Software, La Jolla, CA). A significance level at $P < 0.05$ was applied to all statistical tests.

Results

Plasma and Brain Concentration-Time Profiles of Ponatinib after a Single Intravenous Bolus Dose. The total plasma and brain concentration-time profiles and brain-to-plasma ratio profiles after the administration of a single intravenous bolus dose of ponatinib (3 mg/kg) are presented in Fig. 2. The total plasma concentration exhibited a biexponential decline with respect to time for both wild-type and *Mdr1a/b(-/-)Bcrp1(-/-)* mice, suggesting that a two-compartmental model may describe the systemic disposition of ponatinib. The terminal slopes in the total plasma

TABLE 1

Pharmacokinetic/metric parameters estimated from NCA of total brain and plasma concentration-time profiles after administration of a single intravenous bolus of ponatinib (3 mg/kg) in FVB wild-type and *Mdr1a/b(-/-)Bcrp1(-/-)* mice ($N = 3$ to 4 at each time point)

Data are presented as mean or mean \pm S.E.M, unless otherwise indicated.

Metric/Parameters	Plasma		Brain	
	FVB Wild-Type	<i>Mdr1a/b(-/-)Bcrp1(-/-)</i>	FVB Wild-Type	<i>Mdr1a/b(-/-)Bcrp1(-/-)</i>
C_{\max} ($\mu\text{g/ml}$)	1.2 \pm 0.05	1.1 \pm 0.08	1.8 \pm 0.1	4.7 \pm 0.2
T_{\max} (h)	0.25	0.25	0.25	2
CL (ml/min per kilogram)	12.3	11.1		
V_d (l/kg)	3.2	3.4		
Half-life (h)	3.0	3.5	3.3	3.6
$\text{AUC}_{(0 \rightarrow t)}$ ($\text{h}^* \mu\text{g/ml}$)	4.0 \pm 0.3	3.96 \pm 0.1	4.27 \pm 0.2	42.1 \pm 1.2
$\text{AUC}_{(0 \rightarrow \infty)}$ ($\text{h}^* \mu\text{g/ml}$)	4.1	4.5	4.1	44.8
AUC-based Kp^e			1.0	10.0
AUC-based Kp_{uu}^b			0.11	1.1
Transient steady-state Kp^c			1.7	11.6
Transient steady-state Kp_{uu}^d			0.11	1.3
AUC based DA^e				9.9
Transient steady-state DA^e				6.9

^aCalculated by $[\text{AUC}_{(0 \rightarrow \infty), \text{brain}}] / [\text{AUC}_{(0 \rightarrow \infty), \text{plasma}}]$.

^bCalculated by $[\text{AUC}_{(0 \rightarrow \infty), \text{brain}}] / [\text{AUC}_{(0 \rightarrow \infty), \text{plasma}}] \times [f_{\text{u, brain}} / f_{\text{u, plasma}}]$.

^cCalculated by $C_{\max, \text{brain}} / \text{corresponding plasma concentration at that time } (C_{\text{p, tss}})$.

^dCalculated by $(C_{\max, \text{brain}} / C_{\text{p, tss}}) \times (f_{\text{u, brain}} / f_{\text{u, plasma}})$.

^eDA due to the lack of efflux transporters, or $\text{Kp}_{\text{knockout}} / \text{Kp}_{\text{wild-type}}$ or $\text{Kp}_{\text{uu, knockout}} / \text{Kp}_{\text{uu, wild-type}}$.

and brain concentrations were similar (Fig. 2A) regardless of the genotype, as reflected in the similar half-life calculated for plasma and brain concentration-time profiles (Table 1). In the wild-type mice, there was no consistent difference between the total plasma and brain concentrations at each time point ($P > 0.05$), and the $\text{AUC}_{(0 \rightarrow \infty), \text{plasma}}$ and $\text{AUC}_{(0 \rightarrow \infty), \text{brain}}$ values were comparable (Table 1), resulting in the AUC-based Kp value of 1 (unity) for total drug concentrations (bound plus free). On the other hand, the *Mdr1a/b(-/-)Bcrp1(-/-)* mice had total brain concentrations that were consistently higher than the plasma concentrations at all time points ($*P < 0.05$; *statistical significance) (Fig. 2B), resulting in an $\text{AUC}_{(0 \rightarrow \infty), \text{brain}}$ value that was 10 times higher than the $\text{AUC}_{(0 \rightarrow \infty), \text{plasma}}$ value

(Table 1). The total brain ponatinib concentration showed a relative time delay in reaching the maximum concentration in *Mdr1a/b(-/-)Bcrp1(-/-)* mice, as the time of $C_{\max, \text{brain}}$ was 2 hours postdose in *Mdr1a/b(-/-)Bcrp1(-/-)* mice and 0.25 hour (15 minutes) in the wild-type mice. The brain-to-plasma ratio profile reached a plateau at an earlier time point (between 0.5 and 1 hour postdose) in the wild-type mice compared with the *Mdr1a/b(-/-)Bcrp1(-/-)* mice (approximately 2 hours postdose) (Fig. 2C). At each time point, the brain-to-plasma ratio was consistently higher in the *Mdr1a/b(-/-)Bcrp1(-/-)* mice than the wild-type mice ($*P < 0.05$; *statistical significance). The brain-to-plasma ratio (AUC-based Kp) was approximately 10-fold higher in *Mdr1a/b(-/-)*

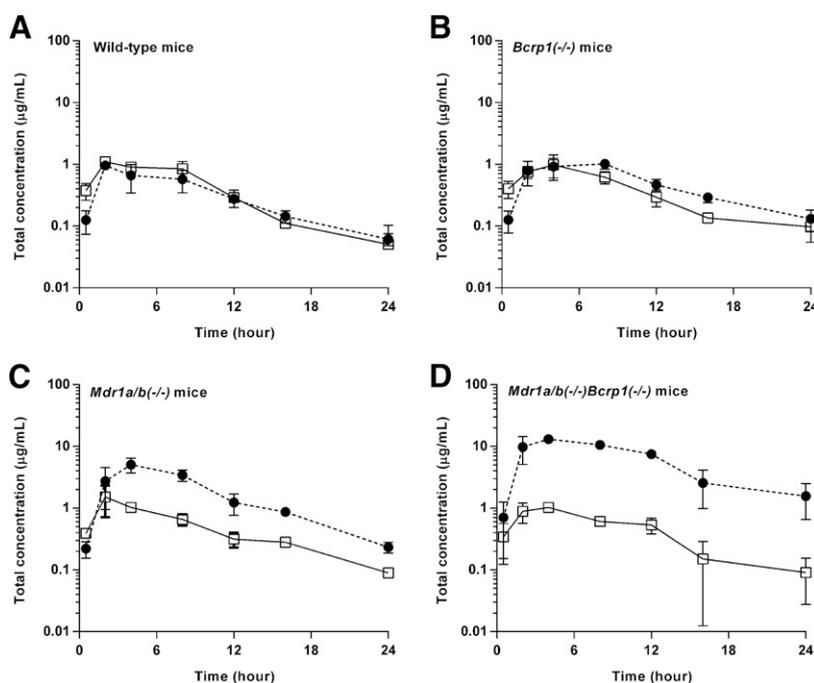


Fig. 3. Total plasma (solid line with square) and brain (dashed line with circle) concentration-time profiles after administration of a single oral dose (30 mg/kg) of ponatinib in FVB wild-type (A), *Bcrp1(-/-)* (B), *Mdr1a/b(-/-)* (C), and *Mdr1a/b(-/-)Bcrp1(-/-)* (D) mice ($N = 4$ at each time point). Data are presented as mean \pm S.D. Data for the wild-type mice were previously reported (Laramy et al., 2017) and included in this present study to compare the wild-type genotype with the three other genotypes that lack efflux transporters.

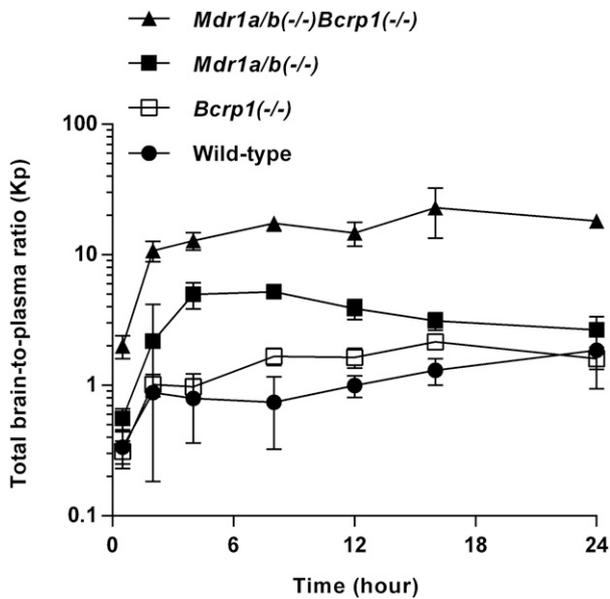


Fig. 4. Total brain-to-plasma ratio profiles after administration of a single oral dose (30 mg/kg) in FVB wild-type, *Bcrp1*(-/-), *Mdr1a/b*(-/-), and *Mdr1a/b*(-/-)*Bcrp1*(-/-) mice ($N = 4$ at each time point). Data are presented as the mean \pm S.D. Data for the wild-type were previously reported (Laramy et al., 2017) and were included in this present study to compare the wild-type genotype with the three other genotypes that lack efflux transporters.

Bcrp1(-/-) mice than in the wild-type mice, as reflected in the DA value of 10 (Fig. 2C; Table 1). The Kp and DA values estimated using either $AUC_{(0 \rightarrow t)}$ or $AUC_{(0 \rightarrow \infty)}$ provided similar results, as the percentage of extrapolated area between $AUC_{(0 \rightarrow t)}$ or $AUC_{(0 \rightarrow \infty)}$ was below 10%. The wild-type and *Mdr1a/b*(-/-)*Bcrp1*(-/-) mice had systemic clearance values of 12.3 and 11.1 ml/min per kilogram, V_d values of 3.2 and 3.4 l/kg, and plasma half-life of 3.0 and 3.5 hours, respectively, indicating no difference in the systemic elimination of ponatinib

between the two genotypes. Further supporting that genotype has minimal influence on systemic exposure of ponatinib, the two genotypes had comparable $AUC_{(0 \rightarrow \infty), \text{plasma}}$ values and similar total plasma concentrations at all time points ($P > 0.05$). However, unlike the total plasma concentrations, the total brain concentrations statistically differed between the two genotypes at all time points ($*P < 0.05$; *statistical significance).

Plasma and Brain Concentration-Time Profiles of Ponatinib after a Single Oral Dose. The total plasma and brain concentration-time profiles, and brain-to-plasma ratio profiles after administration of a single oral dose of ponatinib (30 mg/kg) in the wild-type mice were previously reported (Laramy et al., 2017). The present study compared the pharmacokinetic data resulting from the three genotypes that lack efflux transporters and those from the wild-type mice that were previously reported. In the wild-type mice, the total plasma and brain concentrations were similar at almost all time points ($P > 0.05$ except for the 0.5-hour time point) (Fig. 3A), resulting in an $AUC_{(0 \rightarrow \infty), \text{brain}}/AUC_{(0 \rightarrow \infty), \text{plasma}}$ ratio of 0.82 (Fig. 4; Table 2). The three other genotypes, including *Bcrp1*(-/-), *Mdr1a/b*(-/-), and *Mdr1a/b*(-/-)*Bcrp1*(-/-), had the AUC-based Kp values of 1.3, 3.6, and 14.2, respectively (Fig. 4; Table 2), as the total brain concentration differed from the plasma concentration at most of the time points ($*P < 0.05$; *statistical significance) (Fig. 3, B–D). The brain-to-plasma ratio profile reached a plateau at a relatively earlier time point (approximately 2 hours postdose) in the wild-type and *Bcrp1*(-/-) genotypes. A later plateau (4–8 hours postdose) was observed in the *Mdr1a/b*(-/-) and *Mdr1a/b*(-/-)*Bcrp1*(-/-) genotypes (Fig. 4). The Kp values did not statistically differ between the wild-type and *Bcrp1*(-/-) genotypes ($P > 0.05$). However, *Mdr1a/b*(-/-) and *Mdr1a/b*(-/-)*Bcrp1*(-/-) genotypes, respectively, had a significantly higher Kp value compared with the wild type ($*P < 0.05$; *statistical significance). The Kp value in the *Mdr1a/b*(-/-)*Bcrp1*(-/-) genotype was greater than the additive value of Kp in *Bcrp1*(-/-) and *Mdr1a/b*(-/-) genotypes (Fig. 4; Table 2), indicating functional compensation

TABLE 2

Pharmacokinetic/metric parameters determined by NCA of total brain and plasma concentration-time profiles after a single oral dose (30 mg/kg) of ponatinib in FVB wild-type, *Bcrp1*(-/-), *Mdr1a/b*(-/-), and *Mdr1a/b*(-/-)*Bcrp1*(-/-) mice ($N = 4$ at each time point)

Data are presented as the mean or mean \pm S.E.M, unless otherwise indicated. Data for the wild-type mice were previously reported (Laramy et al., 2017) and are included in this present study to compare with the three other genotypes that lack efflux transporters.

Metric/Parameters	Plasma				Brain			
	FVB Wild-Type	<i>Bcrp1</i> (-/-)	<i>Mdr1a/b</i> (-/-)	<i>Mdr1a/b</i> (-/-) <i>Bcrp1</i> (-/-)	FVB Wild-Type	<i>Bcrp1</i> (-/-)	<i>Mdr1a/b</i> (-/-)	<i>Mdr1a/b</i> (-/-) <i>Bcrp1</i> (-/-)
C_{max} ($\mu\text{g/ml}$)	1.1 \pm 0.1	0.98 \pm 0.2	1.5 \pm 0.4	1.0 \pm 0.04	0.96 \pm 0.07	1.0 \pm 0.09	5.1 \pm 0.7	13.1 \pm 0.8
T_{max} (h)	2	4	2	4	2	8	4	4
CL/F (ml/min per kilogram)	46.6	48.2	39.1	43.3				
V_d/F (l/kg)	17.6	25.4	19.6	20.0				
Half-life (h)	4.4	6.1	5.8	5.3	5.7	6.6	4.3	5.5
$AUC_{(0 \rightarrow t)}$ ($\text{h}^* \mu\text{g/ml}$)	10.4 \pm 0.6	9.5 \pm 0.8	12.1 \pm 0.8	10.8 \pm 0.6	8.3 \pm 0.7	12.5 \pm 0.7	45.0 \pm 3.1	151.1 \pm 7.4
$AUC_{(0 \rightarrow \infty)}$ ($\text{h}^* \mu\text{g/ml}$)	10.7	10.4	12.8	11.5	8.8	13.7	46.4	163.6
AUC-based Kp ^a					0.82	1.3	3.6	14.2
AUC-based Kp,uu ^b					0.11	0.14	0.40	1.6
Transient steady-state Kp ^c					0.87	1.6	4.9	12.7
Transient steady-state Kp,uu ^d					0.11	0.18	0.54	1.4
AUC-based DA ^e						1.6	4.4	17.3
Transient steady-state DA ^e						1.9	5.7	14.6

^aCalculated by $[AUC_{(0 \rightarrow \infty), \text{brain}}]/[AUC_{(0 \rightarrow \infty), \text{plasma}}]$.

^bCalculated by $[AUC_{(0 \rightarrow \infty), \text{brain}}]/[AUC_{(0 \rightarrow \infty), \text{plasma}}] \times [f_{u, \text{brain}}/f_{u, \text{plasma}}]$.

^cCalculated by $C_{\text{max, brain}}/\text{corresponding plasma concentration at that time } (C_{p, \text{tss}})$.

^dCalculated by $[C_{\text{max, brain}}/\text{corresponding plasma concentration at that time } (C_{p, \text{tss}})] \times (f_{u, \text{brain}}/f_{u, \text{plasma}})$.

^eDA due to lack of transporters, or Kp, knockout/Kp, wild-type or Kp, uu, knockout/Kp, uu, wild-type.

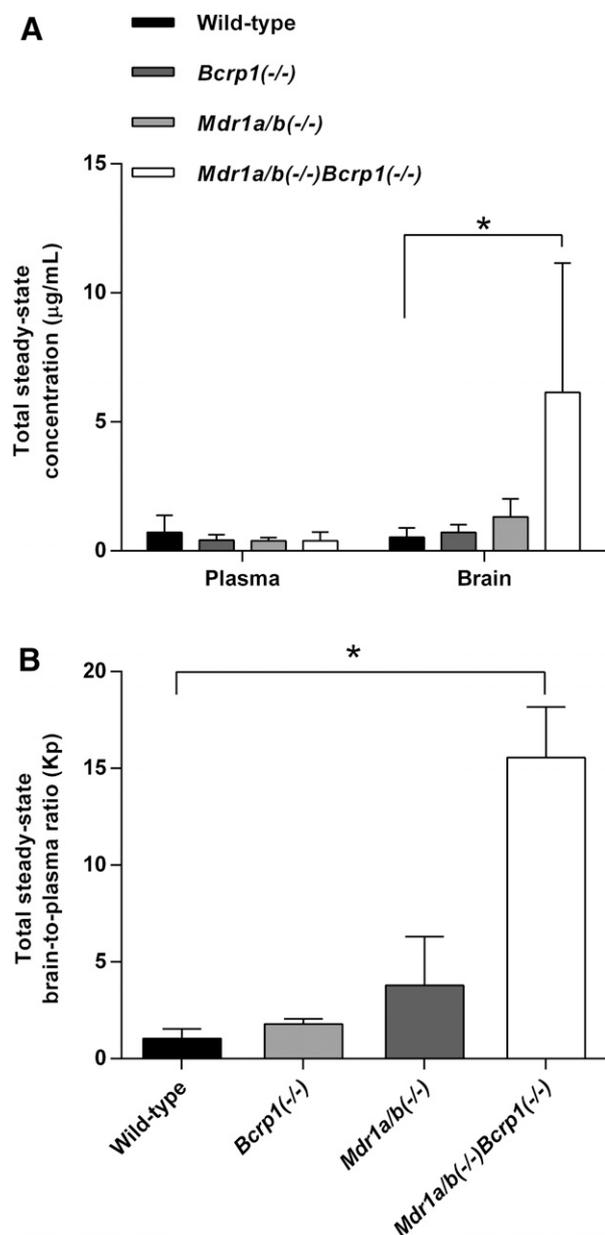


Fig. 5. Total steady-state plasma and brain concentrations (A) and corresponding total steady-state brain-to-plasma ratios (B) of ponatinib after continuous intraperitoneal infusion (40 µg/h) for 48 hours in FVB wild-type, *Bcrp1*(-/-), *Mdr1a/b*(-/-), and *Mdr1a/b*(-/-)*Bcrp1*(-/-) mice ($N = 4$ in each genotype) ($*P < 0.05$; *statistical significance). Data are presented as the mean \pm S.D.

between P-gp and Bcrp in modulating the brain distribution of ponatinib. The corresponding DA values (AUC based) were 1.6, 4.4, and 17.3, respectively (Table 2). Regardless of the methods of calculation (AUC or transient steady state), the estimated values of Kp and DA values were consistent. The use of either $AUC_{(0 \rightarrow t)}$ or $AUC_{(0 \rightarrow \infty)}$ also led to consistent values of Kp and DA, as the percentage of extrapolated areas between $AUC_{(0 \rightarrow t)}$ and $AUC_{(0 \rightarrow \infty)}$ was below 10%.

As observed upon intravenous bolus dosing, the total plasma concentrations at each time point ($P > 0.05$), and thus the $AUC_{(0 \rightarrow \infty), \text{plasma}}$ values, were comparable between the genotypes (Fig. 3; Table 2) upon oral dosing, suggesting a lack of influence of P-gp and Bcrp on the systemic exposure of

ponatinib. The calculated oral bioavailability of ponatinib (26.5% based on eq. 3) was identical between the wild-type and *Mdr1a/b*(-/-)*Bcrp1*(-/-) genotypes. The total brain concentration at each time point significantly differed between the wild-type genotype and each of the three other genotypes ($*P < 0.05$; *statistical significance). This demonstrates that whereas P-gp and Bcrp dramatically affect the targeted bioavailability of ponatinib to the brain, the efflux transporters do not influence the oral bioavailability of ponatinib at the doses administered.

Steady-State Brain Distribution of Ponatinib after Continuous Intraperitoneal Infusion. After continuous intraperitoneal infusion of 40 µg/h of ponatinib for 48 hours, the steady-state total plasma and brain concentrations, and the corresponding total brain-to-plasma ratios were compared between the wild-type and each of the three genotypes [*Bcrp1*(-/-), *Mdr1a/b*(-/-), and *Mdr1a/b*(-/-)*Bcrp1*(-/-)] using analysis of variance with Bonferroni correction for multiple testing. The steady-state total plasma concentration of ponatinib did not differ between the wild-type and each of the three genotypes ($P = 0.58$), whereas the total brain concentration differed between the wild-type and *Mdr1a/b*(-/-)*Bcrp1*(-/-) genotypes ($*P = 0.03$ *statistical significance) (Fig. 5A). The steady-state total brain-to-plasma ratios were approximately 1.7-, 3.7-, and 15-fold higher in the *Bcrp1*(-/-), *Mdr1a/b*(-/-), and *Mdr1a/b*(-/-)*Bcrp1*(-/-) genotypes, respectively, compared with the wild-type genotype (Fig. 5B; Table 3), as reflected in the calculated DA values (Table 3). The *Mdr1a/b*(-/-)*Bcrp1*(-/-) genotype had a Kp value that was greater than the additive value of the Kp values of *Bcrp1*(-/-) and *Mdr1a/b*(-/-) genotypes. A data summary table (Table 4) compares the Kp estimates resulting from the different routes of administration (intravenous bolus, oral dosing, or steady-state continuous intraperitoneal infusion) and methods of calculation (based on AUC, transient steady state, or steady state). The Kp estimates were consistent and robust, regardless of the route of administration and the method of calculation.

Ponatinib Distribution in the Brain Based on the Brain Slice Method. The brain slice method was conducted to determine the volume of distribution of free (unbound) ponatinib in the brain. The $V_{u, \text{brain}}$ value of ponatinib was estimated to be 1.62 ml/g per brain from eq. 1a. The same value of $V_{u, \text{brain}}$ was obtained either with or without correction (eqs. 1a and 1b, respectively) for the buffer film V_i value. The estimated $V_{u, \text{brain}}$ value was greater than the physiologic volume of total brain fluids (0.8 ml/g of brain slice) (Reinoso et al., 1997; Loryan et al., 2013). This indicates that ponatinib extensively binds to the nonfluid components of the brain (i.e., the parenchymal components or lysosomes). Based on the Kp estimate of ponatinib in the wild-type mice (i.e., 1.0) (Tables 1 and 2), the $K_{p, \text{uu}}$ values were calculated to be 268.4 (using the $V_{u, \text{brain}}$ parameter; eq. 2a) and 0.11 (using the $f_{u, \text{brain}}$ parameter; eq. 2b). Such a drastic discrepancy in $K_{p, \text{uu}}$ estimation depending on the equations used (eq. 2a vs. eq. 2b) could arise due to the pH partitioning of a basic drug (e.g., ponatinib and other anticancer drugs, such as paclitaxel and mitoxantrone) in its intrabrain distribution (Friden et al., 2011). The calculation of $V_{u, \text{brain}}$ in the present study used the V_i value of 0.094 ml/g of brain slice. However, this V_i value was previously determined in Sprague-Dawley male rats (Kakee et al., 1996), not in the FVB wild-type mice, so that the possible interspecies differences in the V_i value could lead to a

TABLE 3

Steady-state plasma and brain concentration, brain-to-plasma ratio, and distribution advantage of ponatinib after continuous intraperitoneal infusion (40 $\mu\text{g/h}$) for 48 h in FVB wild-type, *Bcrp1*(-/-), *Mdr1a/b*(-/-), and *Mdr1a/b*(-/-)*Bcrp1*(-/-) mice ($N = 4$ in each genotype)

Data are presented as the mean \pm S.D, unless otherwise indicated.

Mice	Steady-State Total Concentration ($\mu\text{g/ml}$)		Kp	Kp,uu ^a	DA ^b
	Plasma	Brain			
FVB wild-type	0.72 \pm 0.66	0.53 \pm 0.37	1.0 \pm 0.51	0.11 \pm 0.056	
<i>Bcrp1</i> (-/-)	0.42 \pm 0.21	0.71 \pm 0.30	1.8 \pm 0.26	0.20 \pm 0.029	1.7
<i>Mdr1a/b</i> (-/-)	0.39 \pm 0.14	1.3 \pm 0.70	3.8 \pm 2.5	0.42 \pm 0.28	3.7
<i>Mdr1a/b</i> (-/-) <i>Bcrp1</i> (-/-)	0.40 \pm 0.34	6.1 \pm 5.0*	15.6 \pm 2.6*	1.7 \pm 0.29*	15.0

^aCalculated by $K_p \times [f_{u,brain}/f_{u,plasma}]$.

^bDA due to lack of transporters, or $K_p, \text{knockout}/K_p, \text{wild-type}$ or $K_p, \text{uu, knockout}/K_p, \text{uu, wild-type}$.

*Statistical difference ($P < 0.05$) compared with the FVB wild-type mice.

misleading value of $V_{u,brain}$. Therefore, for the assessment of brain penetration of ponatinib, a K_p, uu value of 0.11 (based on eq. 2b) was assumed.

Equilibration of Total and Free Ponatinib across the BBB: Insight from a BBB Model. For the implementation of a BBB model, the total plasma concentrations were pooled across all genotypes after either a single intravenous bolus or an oral dose, given that we found essentially the same concentration-time profiles, bioavailability, and systemic exposure (i.e., $AUC_{(0 \rightarrow \infty), \text{plasma}}$) of ponatinib among the genotypes. The total plasma concentration-time profiles were best described by an open two-compartment model, and the resultant estimates of pharmacokinetic parameters are shown in Table 5. The predicted plasma concentration-time profiles (resulting from the naive-pooled analysis) described the observed (total drug) plasma concentration-time profiles as shown in Figs. 6 and 7. The volume of distribution of ponatinib in the central compartment was estimated to be 1478.3 ml/kg, intercompartmental rate constants (k_{21} and k_{12}) were 2.34 and 2.15 hours⁻¹, respectively, elimination rate constant from the central compartment (k_{10}) was 0.47 hours⁻¹, and the absorption rate constant (k_a) was 0.28 hours⁻¹. All parameter estimates had a CV of less than 20% (Table 5). Using these estimated parameters, the volume of distribution of unbound (free) drug in the central compartment ($V_{u,central} = 645.8$ l/kg using eq. 7), terminal elimination rate constant from the body ($k_{elim} = 0.21$ hours⁻¹ using eq. 4), clearance of ponatinib from

systemic circulation ($CL_{systemic} = 310.4$ ml/h per kilogram), and half-life (3.3 hours) for ponatinib elimination from systemic circulation were calculated (Table 5).

The BBB model (Fig. 1) described the observed plasma and brain concentration-time data well, as displayed in Figs. 6 and 7. The individual total plasma concentration-time profile for each genotype visually matches the model-predicted plasma concentration-time profile resulting from fitting the model to the naive-pooled plasma data from all genotypes (Figs. 6 and 7). Upon initial fitting of the BBB model, the k_{in} value for ponatinib in each genotype was similar to the k_{in} of the *Mdr1a/b*(-/-)*Bcrp1*(-/-) genotype. Therefore, the k_{in} value, which describes the influx processes at the BBB for ponatinib, was assumed to be the same across the four genotypes, and the k_{in} estimate from the *Mdr1a/b*(-/-)*Bcrp1*(-/-) genotype was subsequently used and fixed for the three other genotypes for the remaining model-fitting procedures to make the fitting routines more tractable. The resultant pharmacokinetic parameter estimates from the BBB model are displayed in Table 6.

Based on the implemented BBB model, each genotype had a considerably different tissue transfer rate constant out of the brain (k_{out}) and considerably different clearances out of the brain (CL_{out} and $CL_{u,out}$) for ponatinib, regardless of the route of administration (intravenous bolus or oral). Clearance values of ponatinib out of the brain (CL_{out} and $CL_{u,out}$) were reduced by 1.5-, 4.2-, and approximately 19-fold in

TABLE 4

Data summary: comparison of the K_p estimates among different routes of administration, including a single intravenous bolus (3 mg/kg, i.v.; $N = 3$ to 4 at each time point), an oral dose (30 mg/kg, PO; $N = 4$ at each time point), or a continuous steady-state intraperitoneal infusion (40 $\mu\text{g/h}$, i.p.; $N = 4$ in each genotype)

Data are presented as the mean. Data for the wild-type genotype after administration of a single oral dose were previously reported (Laramy et al., 2017) and are included in this present study to compare with the three other genotypes that lack efflux transporters.

Genotype	Kp ^a					DA ^b				
	i.v.		PO		i.p.	i.v.		PO		i.p.
	A	B	A	B	C	A	B	A	B	C
FVB wild-type	1.0	1.7	0.82	0.87	1.0	—	—	—	—	—
<i>Bcrp1</i> (-/-)	—	—	1.3	1.6	1.8	—	—	1.6	1.9	1.7
<i>Mdr1a/b</i> (-/-)	—	—	3.6	4.9	3.8	—	—	4.4	5.7	3.7
<i>Mdr1a/b</i> (-/-)	—	—	—	—	—	—	—	—	—	—
<i>Bcrp1</i> (-/-)	10.0	11.6	14.2	12.7	15.6	9.9	6.9	17.3	14.6	15.0

^aMethod A, $K_p = [AUC_{(0 \rightarrow \infty), \text{brain}}]/[AUC_{(0 \rightarrow \infty), \text{plasma}}]$; Method B, $K_p = \text{Transient steady-state concentration ratio} = C_{max, \text{brain}}/C_{p, \text{tss}}$; Method C, $K_p = \text{Steady-state brain-to-plasma concentration ratio}$.

^b $K_p, \text{knockout}/K_p, \text{wild-type}$.

TABLE 5

Pharmacokinetic parameters obtained from an open two-compartment model that described the total plasma concentration-time profiles from naive-pooled analysis of all genotypes ($N = 4$ at each time point) after a single intravenous bolus (3 mg/kg) or oral dose (30 mg/kg)

The parameters are presented as the mean estimate.

	Mean	CV%	95% Confidence Interval
Estimated parameters			
V_{central} (ml/kg)	1478.3	8.1	(964.8, 1991.9)
K_{10} (h^{-1})	0.47	6.4	(0.34, 0.60)
K_{21} (h^{-1})	2.34	19.2	(0.41, 4.3)
K_{12} (h^{-1})	2.15	10.4	(1.2, 3.1)
K_{elim} (h^{-1})	0.21	1.7	(0.20, 0.23)
K_a (h^{-1})	0.28	11.5	(0.20, 0.36)
Calculated parameters			
$V_{\text{u,central}}$ (l/kg)	645.8		
CL_{systemic} (ml/min per kilogram)	5.2	1.7	(4.8, 5.6)
Half-life (h^a)	3.3	1.7	(3.0, 3.5)

^aHalf-life of ponatinib (total drug) from the systemic circulation (body).

Bcrp1(-/-), *Mdr1a/b(-/-)*, and *Mdr1a/b(-/-)Bcrp1(-/-)*, respectively (Table 7). Consistent with the magnitude differences in the clearances out of the brain (CL_{out} and $CL_{\text{u,out}}$), the estimated k_{out} values were the greatest in the wild-type genotype and lowest in the *Mdr1a/b(-/-)Bcrp1(-/-)* genotype. Likewise, the MTT_{brain} of ponatinib (calculated by eq. 11) was the shortest in the wild-type genotype (approximately 5 minutes), followed by the *Bcrp1(-/-)* and *Mdr1a/b(-/-)* genotypes, and was longest in the *Mdr1a/b(-/-)Bcrp1(-/-)* genotype (approximately 1.5 hours). These values indicate that transporter-mediated drug efflux at the BBB can significantly reduce the therapeutic exposure time of ponatinib in the brain (Table 6).

Using the pharmacokinetic parameters estimated from the BBB model, and the resultant metrics (predicted AUCs), the total and free brain-to-plasma ratios (i.e., $K_{\text{p,pred}}$ and $K_{\text{p,uu}}$, pred), and distribution advantages (i.e., DA_{pred}) were calculated. These values are presented in Table 7. The $K_{\text{p,pred}}$, or $CL_{\text{in}}/CL_{\text{out}}$, values resulting from the compartmental analysis (BBB model) (Table 7) closely matched the observed K_{p} from the NCA (Tables 1–4). Likewise, the resultant free derivative of K_{p} (i.e., $K_{\text{p,uu}}$) from the NCA also closely aligned with the ratio of $CL_{\text{u,in}}$ to $CL_{\text{u,out}}$, as anticipated. Therefore, the K_{p} , pred , $K_{\text{p,uu}}$, pred , and DA_{pred} values were consistent with the NCAs, regardless of the calculation method (AUC, transient steady state, and clearance based), as shown in Table 7. These predicted values were similar to the observed (experimental) data (Tables 1–4), supporting the idea that the

model-related assumptions were reasonable, and the data were well characterized by the BBB model.

Discussion

Functionally cooperative drug transport by the BBB efflux transporters has been previously reported for several tyrosine kinase inhibitors with important implications of such restricted brain delivery having a negative impact on efficacy (Parrish et al., 2015b). Consistent with our recent publication that reported restricted orthotopic efficacy of ponatinib in a PDX GBM model (Laramy et al., 2017), the present study showed the transporter-mediated drug efflux at the BBB, which supports its contribution to impaired free drug delivery of ponatinib to the brain. The DA due to the absence of both P-gp and Bcrp transporter activities was greater when compared with the absence of a single transporter. This suggests that ponatinib is a dual substrate of P-gp and Bcrp, where these two transporters functionally cooperate to restrict the brain distribution of ponatinib (Agarwal et al., 2011a,b). Eliminating the gatekeeper functions of these efflux transporters decreased the tissue transfer rate constant (i.e., k_{out}) and clearance of ponatinib out of the brain (i.e., CL_{out} and $CL_{\text{u,out}}$), resulting in a greater tissue exposure (AUC_{brain}) as well as a greater MTT or therapeutic exposure time in the brain in the efflux-deficient mice.

The magnitude of differences in the transport of ponatinib out of the brain among the four genotypes (i.e., brain exit rate constants and clearances out of the brain) can be described by the K_{p} and $K_{\text{p,uu}}$ estimates. However, the CL and K_{p} estimates based on total drug can be misleading because this does not consider free (active) drug concentration (Laramy et al., 2017). A K_{p} value near unity, as seen with ponatinib, does not always indicate that drug in plasma moves effectively across the BBB, because the K_{p} values can be confounded by the relative magnitude of drug-binding affinities between plasma and brain. Instead, only free drug is available to move across the BBB, so that the assessment of BBB penetration needs to use the parameters that reflect the movement of free drug, including $K_{\text{p,uu}}$ and $V_{\text{u,brain}}$. These results are consistent with those from a previous publication (Laramy et al., 2017) that reported a compromised efficacy of ponatinib in the PDX of GBM due to the heterogeneous tissue binding and drug distribution into the intracranial tumor. This discrepancy between K_{p} and $K_{\text{p,uu}}$ highlights the importance of considering the relative drug binding in plasma and brain, because a high K_{p} value (i.e., near unity) can misrepresent the extent of

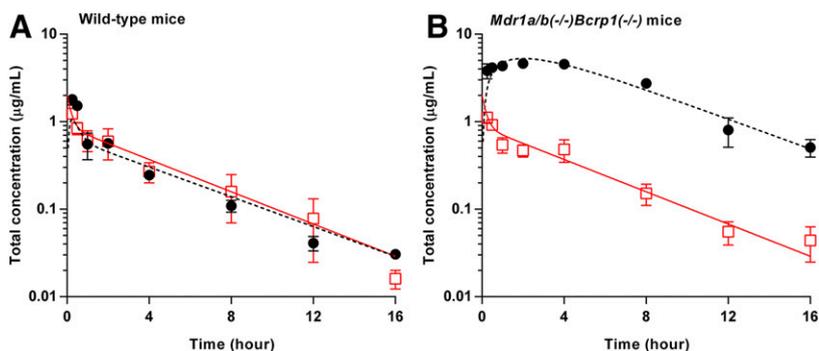


Fig. 6. Observed (red square) and model-predicted (red solid line) total plasma concentration-time profiles, and observed (black circle) and model-predicted (black dashed line) total brain concentration-time profiles in FVB wild-type (A) and *Mdr1a/b(-/-)Bcrp1(-/-)* (B) mice ($N = 3$ to 4 at each time point) after a single intravenous bolus (3 mg/kg). The observed data are presented as the mean \pm S.D.

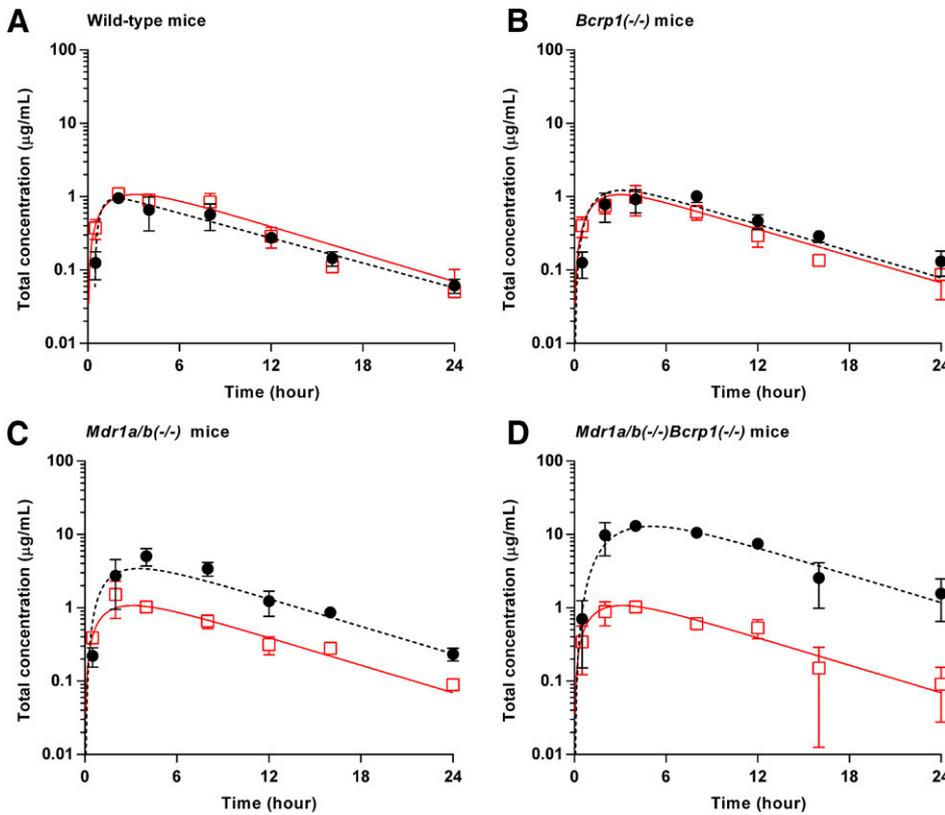


Fig. 7. Observed (red square) and model-predicted (red solid line) total plasma concentration-time profiles, and observed (black circle) and model-predicted (black dashed line) total brain concentration-time profiles in FVB wild-type (A), *Bcrp1*($-/-$) (B), *Mdr1a/b*($-/-$) (C), and *Mdr1a/b*($-/-$) *Bcrp1*($-/-$) (D) mice ($N = 4$ at each time point) after a single oral dose (30 mg/kg). The observed data are presented as the mean \pm S.D. The observed data for the wild-type were previously reported (Laramy et al., 2017) and were included in this present study to compare with the three other genotypes that lack efflux transporters.

brain delivery of active compound, and therefore may not accurately predict the delivery of an efficacious drug concentration. The ratio of free fraction in brain homogenate to that in plasma ($f_{u,brain}/f_{u,plasma}$) matched the DA ($K_{p,knockout}/K_{p,wild-type}$), which is consistent with the findings of a previous report (Kalvass et al., 2007) that assessed such a correlation for the purpose of predicting the extent of CNS penetration of investigational compounds. The extent of brain penetration ($K_{p,uu}$) of a compound in the *Mdr1a/b*($-/-$)*Bcrp1*($-/-$) genotype will presumably equal unity (1) if all transporter-mediated efflux was absent and the clearances into and out of the brain were equivalent. However, the observed $K_{p,uu}$ values were slightly greater than 1, ranging from 1.1 to 1.7, in the *Mdr1a/b*($-/-$)*Bcrp1*($-/-$) mice. Although experimental

errors could also lead to a $K_{p,uu}$ of greater than 1, the existence of a weak influx system that transports ponatinib into the brain could also lead to this result. In light of this $K_{p,uu}$ in the triple knockout mice, the substrate status of ponatinib regarding possible BBB uptake transporters is of interest. These transporters may include various organic anion and especially cation transport systems, and nutrient influx transports, such as the amino acid influx systems (Ohtsuki and Terasaki, 2007). It is known that influx systems can influence the extent of partitioning of free drug across the BBB. Further investigation of such an influx mechanism is necessary to determine whether this possible small influx component of ponatinib can affect the resultant efficacy in a tumor-bearing brain.

TABLE 6

Pharmacokinetic parameters obtained from the compartmental BBB model describing the brain and plasma concentration-time after administration of a single intravenous bolus (3 mg/kg, i.v.; $N = 3$ to 4 at each time point) or oral dose (30 mg/kg, PO; $N = 4$ at each time point) in FVB wild-type, *Bcrp1*($-/-$), *Mdr1a/b*($-/-$), and/or *Mdr1a/b*($-/-$)*Bcrp1*($-/-$) mice

Fixed values are left as blank (—). The parameters are presented as the mean estimate.

Route of Administration	Genotype	Parameters												
		k_{in} (h^{-1})		k_{out} (h^{-1})		MTT_{brain} (h) ^a	CL_{in} (ml/h per kilogram)		CL_{out} (ml/h per kilogram)		$CL_{u,in}$ (ml/h per kilogram)		$CL_{u,out}$ (ml/h per kilogram)	
		Mean	CV (%)	Mean	CV (%)		Mean	CV (%)	Mean	CV (%)	Mean	CV (%)	Mean	CV (%)
Intravenous	WT	4.0×10^{-5}	—	7.7	10.2	0.13	0.059	—	0.066	36.6	25.8	—	225.8	22.5
	TKO	4.0×10^{-5}	11.2	0.64	14.0	1.6	0.059	13.8	0.0055	37.9	25.8	11.2	18.7	24.4
By mouth	WT	5.5×10^{-5}	—	12.4	2.7	0.081	0.081	—	0.11	35.3	35.2	—	361.6	20.2
	Bcrp-KO	5.5×10^{-5}	—	8.2	18.2	0.12	0.081	—	0.070	39.6	35.2	—	239.4	27.0
	Pgp-KO	5.5×10^{-5}	—	2.9	26.0	0.34	0.081	—	0.025	43.8	35.2	—	85.1	32.8
	TKO	5.5×10^{-5}	16.2	0.65	15.7	1.5	0.081	18.1	0.0056	38.5	35.2	16.2	19.0	25.5

Bcrp-KO, *Bcrp1*($-/-$); KO, knockout; Pgp-KO, *Mdr1a/b*($-/-$); TKO, *Mdr1a/b*($-/-$)*Bcrp1*($-/-$); WT, wild-type.

^aCalculated by $1/k_{out}$.

TABLE 7

The $K_{p,pred}$ and $K_{p,uu,pred}$ values and the DA_{pred} values, using the pharmacokinetic parameters obtained from the compartmental BBB model describing the brain and plasma concentration-time profiles after administration of a single intravenous bolus (3 mg/kg, i.v.; $N = 3$ to 4 at each time point) or oral dose (30 mg/kg, PO; $N = 4$ at each time point) in FVB wild-type, *Bcrp1*(-/-), *Mdr1a/b*(-/-), and/or *Mdr1a/b*(-/-)*Bcrp1*(-/-) mice. The parameters are presented as the mean estimate.

Route of Administration	Genotype	Parameters										
		AUC _{(0→∞),predicted} (Mean Estimate) h ² μg/ml				Transient Steady State (Mean Estimate) μg/ml				Clearance Based (Mean Estimate)		
		AUC _{(0→∞), plasma}	AUC _{(0→∞), brain}	K _{p,pred} ^a	DA _{pred} ^b	C _{p,1ss} (μg/ml)	C _{max, brain}	K _{p,pred} ^a	DA _{pred} ^b	K _{p,pred} ^a	K _{p,uu,pred} ^c	DA _{pred} ^b
Intravenous	WT	4.0	3.6	0.89		1.2	1.1	0.89		0.89	0.11	
	TKO	4.0	43.1	10.7	12	0.5	5.3	10.8	12.0	10.7	1.4	12.1
By mouth	WT	10.9	8.2	0.76		1.2	0.95	0.76		0.76	0.097	
	Bcrp-KO	10.6	12.2	1.1	1.5	1.1	1.2	1.1	1.5	1.1	0.15	1.5
	Pgp-KO	11.2	35.9	3.2	4.2	1.1	3.4	3.2	4.2	3.2	0.41	4.2
	TKO	10.7	152.3	14.3	18.8	1.0	12.9	13.4	17.7	14.4	1.9	19.1

Bcrp-KO, *Bcrp1*(-/-); KO, knockout; Pgp-KO, *Mdr1a/b*(-/-); TKO, *Mdr1a/b*(-/-)*Bcrp1*(-/-); WT, wild-type.

^aK_{p,pred}, calculated by $\frac{AUC_{(0 \rightarrow \infty), \text{total brain, predicted}}}{AUC_{(0 \rightarrow \infty), \text{total plasma, predicted}}} \sim \frac{\text{Total } C_{\text{max, brain}}}{\text{Corresponding total plasma concentration at that time } (C_{p,1ss})} \sim \frac{CL_{in}}{CL_{out}}$.

^bDA_{pred}, calculated by $\frac{K_{p,pred, \text{knockout}}/K_{p,pred, \text{wild-type}}}{K_{p,uu, \text{pred, knockout}}/K_{p,uu, \text{pred, wild-type}}}$.

^cK_{p,uu,pred}, calculated by $\frac{AUC_{(0 \rightarrow \infty), \text{free brain, predicted}}}{AUC_{(0 \rightarrow \infty), \text{free plasma, predicted}}} \sim \frac{\text{Free } C_{\text{max, brain}}}{\text{Corresponding free plasma concentration at that time } (C_{p,1ss})} \sim \frac{CL_{in}}{CL_{out}}$.

The use of transporter knockout mice has been useful in elucidating functionally cooperative transporter-mediated drug efflux at the BBB for investigational compounds. The K_p value in the triple knockout mice [*Mdr1a/b*(-/-)*Bcrp1*(-/-)] had a greater than additive increase in the K_p in a single knockout mice missing either Bcrp or P-gp, regardless of the route of administration and analysis approaches (i.e., NCAs and compartmental analyses). This suggests that the absence of one efflux transporter leads to functional compensation by another efflux transporter for ponatinib, as previously described for many compounds in the literature (Kodaira et al., 2010). Such functional cooperation of BBB efflux transporters is not accompanied by compensatory changes in the expression of P-gp and Bcrp in the isolated brain capillaries of the four genotypes—wild-type, *Bcrp1*(-/-), *Mdr1a/b*(-/-), and *Mdr1a/b*(-/-)*Bcrp1*(-/-)—according to a previous quantitative proteomic study (Agarwal et al., 2012). This proteomic study also reported that the quantitative expression level of P-gp (*Mdr1a/b*) is approximately 4.6-fold higher than that of Bcrp in the brain capillary endothelial cells isolated from wild-type, *Bcrp1*(-/-), and *Mdr1a/b*(-/-)*Bcrp1*(-/-) mice. Therefore, genetic deletion of P-gp would lead to a higher brain-to-plasma ratio (K_p or $K_{p,uu}$) than that of Bcrp, especially when the drug transport capacity (relative expression levels) of these efflux transporters, not differences in relative affinity (binding affinity to P-gp vs. Bcrp), dictates the transporter-mediated efflux. Ponatinib had a higher brain-to-plasma ratio in the absence of P-gp [*Mdr1a/b*(-/-)] compared with Bcrp [*Bcrp1*(-/-)] in the present study. This suggests that functional capacity, in relation to the cooperative efflux of P-gp and Bcrp, drives transporter-mediated efflux of ponatinib at the BBB.

Efficient net efflux of a drug at the BBB will lead to a $K_{p,uu}$ value below 1, where the efflux capability exceeds influx of a free drug at the BBB ($CL_{u,in} < CL_{u,out}$). Most tyrosine kinase inhibitors that have been examined for the treatment of brain tumor have total and free brain-to-plasma ratios below 1 (Ballard et al., 2016; Heffron, 2016). Other processes in the brain, including drug metabolism within the brain and bulk flow (extracellular fluid drainage), may contribute to the clearance of a drug out of the

brain, but transporter-mediated efflux is often a key main contributor of such elimination mechanisms relative to other processes. The unbound drug concentration in plasma drives the movement of drug across the BBB into the brain, but the relative difference between k_{out} and k_{elim} determines the effective half-life of drug in the brain. The present study showed that the k_{out} value was greater than the k_{elim} value for ponatinib, which will influence the time dependence of drug partitioning into the brain (Tables 5 and 6).

In conclusion, this study showed that the two major efflux transporters, P-gp and Bcrp, cooperate to modulate the brain exposure of ponatinib without affecting systemic exposure to ponatinib. Genetically modified mice lacking both P-gp and Bcrp displayed a brain-to-plasma ratio that was higher than what would be anticipated from brain-to-plasma ratios in the single knockout genotype [*Mdr1a/b*(-/-) or *Bcrp1*(-/-)], indicating functionally cooperative transport of ponatinib out of the brain. The compartmental analyses and NCAs resulted in similar parameter estimates describing the extent of brain penetration, and the compartmental BBB model described the observed data well (plasma and brain concentration-time profiles) providing insight into the transport of ponatinib across the BBB. Transporter-mediated efflux transport at the BBB reduced the extent of brain penetration (both K_p and $K_{p,uu}$) of ponatinib, and such a transport mechanism further compromised the therapeutic exposure time of the MTT_{brain} value. Ponatinib brain distribution is an exemplary case to appreciate how drug binding may influence efficacy and how the total K_p may be misleading. Combined with the previous data regarding heterogeneous binding and drug distribution in the intracranial tumor (Laramy et al., 2017), transporter-mediated efflux of ponatinib at the BBB further compromises its therapeutic potential for the treatment of GBM.

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Authorship Contributions

Participated in research design: Laramy, Kim, Parrish, Sarkaria, and Elmquist.

Conducted experiments: Laramy, Kim, and Parrish.

Contributed new reagents or analytic tools: Laramy.

Performed data analysis: Laramy, Kim, and Elmquist.

Wrote or contributed to the writing of the manuscript: Laramy, Kim, Parrish, Sarkaria, and Elmquist.

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Address correspondence to: William F. Elmquist, Department of Pharmaceutics, University of Minnesota, 308 Harvard ST SE, Minneapolis, MN 55455. E-mail: elmqu011@umn.edu