

1. Title Page

Hydroxychloroquine: A Physiologically-Based Pharmacokinetic Model in the Context of
Cancer-Related Autophagy Modulation

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2. Running Title Page

Running Title: PBPK Model of Hydroxychloroquine

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List of non-standard abbreviations: A_t , Lysosomal surface area; AUC, area under the curve; C_{lys} or C_t or C_A or C_v , concentration of lysosome, tissue, arterial blood, or venous blood, respectively; CL, clearance; C_{ss} , Concentration at steady state; DHCQ, desethyl-hydroxychloroquine; FA, absorption fraction; f_n or f_d , freely dissolved neutral or dissociated components, respectively; GFR, glomerular filtration rate; HCQ, hydroxychloroquine; J_{lys} or J_n or J_d , flux for total lysosome, neutral, and ionized components, respectively; K_A , absorption rate constant; K_{ow} , lipophilicity; L, lipid fraction; MAPE%, median absolute performance error; MPE%, median performance error; N, nernst equation; NCA, non-compartmental analysis; PBPK, physiologically-based pharmacokinetic modeling; PD, pharmacodynamics; PE, performance error; PK, pharmacokinetics; P_n or P_d , permeability of neutral and dissociated states, respectively; Q, blood flow rate; W, water fraction; β , buffering capacity of lysosome; γ_N or γ_1 or γ_2 , activity coefficients of neutral, 1st, or 2nd ionization states, respectively

Recommended Section Assignment: Chemotherapy, Antibiotics, Gene Therapy

3. **Abstract**

Hydroxychloroquine (HCQ) is a lysosomotropic autophagy inhibitor being used in over 50 clinical trials either alone or in combination with chemotherapy. Pharmacokinetic (PK) and pharmacodynamic (PD) studies with HCQ have shown that drug exposure in the blood does not correlate with autophagy inhibition in either peripheral blood mononuclear cells (PBMCs) or tumor tissue. To better explain this PK/PD disconnect a physiologically-based pharmacokinetic model (PBPk) was developed for HCQ describing the tissue-specific absorption, distribution, metabolism, and excretion as well as lysosome-specific sequestration. Using physiologic and biochemical parameters derived from literature or obtained experimentally the model was first developed and validated in mice, and then adapted to simulate human HCQ exposure in whole blood and urine through allometric scaling and species-specific parameter modification. The human model accurately simulated average steady-state concentrations (C_{ss}) of those observed in five different HCQ combination clinical trials across seven different doses, which was then expanded by comparison of the C_{ss} distribution in a virtual human population at this range of doses. Value of this model lies in its ability to simulate HCQ PK in patients while accounting for PK modification by combination treatment modalities, drug concentrations at the active site in the lysosome under varying pH conditions, and exposure in tissues where toxicity is observed.

4. **Introduction**

Hydroxychloroquine (HCQ) is a 4-aminoquinoline class molecule traditionally used as an anti-malarial medication, but currently being investigated in over 50 cancer clinical trials either alone or in combination with chemotherapy (ClinicalTrials.gov). HCQ's putative mechanism of anticancer activity is through the inhibition of autophagy, a naturally occurring metabolic process that involves the digestion of a cell's own organelles, proteins, and other cellular debris in the lysosome. HCQ is the current "gold standard" for autophagy inhibition in a clinical setting as it exhibits similar potency yet less toxicity in long-term dosing compared to other clinically approved autophagy inhibitors, such as chloroquine (Shi et al., 2017). There is significant evidence that autophagy is heavily upregulated in certain tumor types, acting as a survival mechanism against both the harsh tumor environment and chemotherapy treatments (Levy et al., 2017, Yang et al., 2011). Inhibition of this process by augmenting the primary treatment method with HCQ has been shown to re-sensitize tumors to a resistant therapy regimen or enhance response to a current treatment regimen (Amaravadi et al., 2011, Barnard et al., 2014, Carew et al., 2012, Levy et al., 2014).

Mechanistically, HCQ is a weakly basic compound that alkalinizes the highly acidic lysosome, preventing the autophagosome-lysosome fusion step of autophagy (de Duve, 1983, Lu et al., 2017). This mechanism drives its pharmacokinetics (PK), primarily through an ion-trap accumulation observed in lysosomes and other acidic compartments. Overall, HCQ PK has been well characterized in multiple species, and the PK profile appears to scale linearly with dose escalation, though exhibits a significant amount of intersubject variability (Fan et al., 2015, Furst, 1996, Lim et al., 2009, McChesney, 1983, Tett et al., 1988). Sources of variability are likely due to the fact that these studies have been done by different research groups over the

course of decades as well as variance in absorption, distribution, metabolism and elimination (ADME) factors related to the physicochemical properties that drive its PK.

HCQ is typically administered as an oral tablet, in doses ranging from 100 mg to 1200 mg daily by which it is readily absorbed within 2-4 hours (Browning, 2014). The fraction absorbed is estimated to be $74 \pm 13\%$ (Browning, 2014, Tett et al., 1989). HCQ blood concentration peaks shortly after the absorption phase, and falls relatively quickly due to rapid partitioning into organs. Accumulation in lysosomes appears to drive the large volume of distribution in plasma, while binding to melanin contributes to the long terminal half-life (Tett et al., 1990). It is roughly 50% bound to plasma protein in the blood (Furst, 1996). Metabolism appears to be the primary driver of HCQ clearance. It occurs in the liver through CYP3A4 and CYP2C3 driven dealkylation to form desethylhydroxychloroquine, desethylchloroquine, and bisdesethylchloroquine, the former which exhibits therapeutic activity and PK thought to be comparable to HCQ (Browning, 2014, Kim et al., 2003, McChesney et al., 1965, Qu et al., 2015). Rate of metabolism varies tremendously across species, leading to significant cross-species differences in half-life that ranges from hours in mice to days in humans (Tett et al., 1988). Excretion takes place primarily in the kidneys, accounting for roughly 22% of HCQ total blood clearance, with liver clearance assumed to account for the rest (Tett et al., 1988). Mean renal clearance from plasma is reported as 3 to 4 times greater than GFR corrected for protein binding, suggesting the drug is secreted in addition to filtration (Tett et al., 1988).

In the context of cancer treatment, HCQ blood exposure correlates with neither tumor exposure nor pharmacodynamic (PD) markers of autophagy inhibition in both peripheral blood mononuclear cells and tumor tissue (Barnard et al., 2014). To investigate the PK/PK and PK/PD disconnect observed in HCQ treatment, as well as the high inter-individual patient variability in

PK studies, a physiologically-based pharmacokinetic model (PBPK) serves as a powerful investigative tool. This model allows for simulation of patient profiles and study of relationships between drivers. Developed around key tissues as well as physiologic and biochemical properties associated with the ADME profile, this PBPK model of HCQ can simulate exposure in tissues associated with toxicity as well as therapeutic effect. It can also investigate the variability in tumor pH that may contribute to the disconnect between exposure in the blood and autophagy inhibition in the tumor, specifically the inverse relationship between HCQ uptake and extracellular pH (Pellegrini et al., 2014).

The PBPK model described for HCQ was developed using biochemical and physiologic parameters adapted from literature or obtained experimentally, with significant focus on the pH-based aspect of PK. Tissues represented in the model are involved with absorption, metabolism, excretion, binding, or are subject to toxicity. Model output simulates single intraperitoneal dose tissue exposure in mice. Biochemical and physiologic parameters were then adjusted accordingly to simulate whole blood exposure in humans. Simulation output across multiple dosing regimens and across different species matches well with experimental PK data, indicating that key drivers of HCQ PK are accounted for by the PBPK model.

5. Materials and Methods

PK Study in Mice. Protocols for the mouse studies were approved by the Institutional Animal Care and Use Committee at Colorado State University. Female BALB/c mice were treated with a single intraperitoneal dose (IP) of 20, 40, or 80 mg/kg HCQ (Sigma-Aldrich, H0915). Tissues and whole blood were collected from 3 mice for each dose at 3, 6, 12, 24, 48 and 72 hours (n = 54). Levels of HCQ and dHCQ in whole blood and tissues were determined via a previously validated liquid chromatography-tandem mass spectrometry (LC-MS/MS) assay (Barnard et al., 2014). Briefly, 100 μ L of tissue homogenate was added to a micro centrifuge tube along with 10 μ L of Milli-Q water, 10 μ L 50/50 acetonitrile/Milli-Q, 10 μ L of 2.5 μ g/mL CQ (internal standard), and 100 μ L of acetonitrile. Samples were vortexed for 5 minutes and centrifuged for 10 minutes at 13,300 RPM. 80 μ L of this mix was transferred to an autosampler vial and mixed with 120 μ L of Milli-Q water prior to mass spectrometer analysis. Standards and quality controls were prepared in an identical manner. Data points below the LLOQ of 1 ng/mL for HCQ and 10 ng/mL for dHCQ were excluded. Non-compartmental analysis (NCA) measured exposure, as determined by area under the drug concentration versus time curve (AUC_{0-inf}), to both HCQ and dHCQ.

HCQ Microsomal Metabolism Studies. Metabolism rate constants for the human PBPK model were obtained through microsomal incubation. Pooled mixed gender human liver microsomes at 20 mg/mL were obtained from XenoTech and were split into 100 μ L aliquots prior to beginning microsomal incubations. Briefly, microsome stocks were diluted to 0.5 mg/mL in 100mM phosphate buffer and pre-incubated with an NADPH regenerating system for 5 minutes. 100x HCQ (Sigma-Aldrich H0915) was spiked in to the microsome mix and incubated for up to 3 hours. Reactions were terminated by addition of 100 μ L of acetonitrile, vortexed for 5 minutes,

and centrifuged at 14,000 rpm for 5 minutes to pellet the microsomal protein. Prior to analysis by HPLC the samples were mixed 50:50 with the internal standard of 500 ng/mL camptothecin dissolved in MilliQ water. Rate of metabolism was determined by rate of n-desethylhydroxychloroquine formation, the primary metabolite of HCQ (Browning, 2014). Analysis of samples was done using the liquid chromatography-tandem mass spectrometry (LC-MS/MS) assay mentioned in the previous section. Standards and quality control samples were prepared in an inactive microsomal homogenate matrix in the same way as samples and containing identical amounts of internal standard.

Lysosomal Quantification in Mouse Tissue. Lysosome contents of the various tissues incorporated in the model were determined by analyzing acid phosphatase enzyme activity. It was assumed that lysosome volume fraction of the tissue was directly proportional to the acid phosphatase activity in that tissue. Tissues were extracted from BALB/c mice, diluted to 100 mg/mL in 0.2 M acetic acid buffer (pH = 5.0), and homogenized in a Bullet Blender Storm 2400 at max speed for 5 minutes. Samples were centrifuged at 5000 rpm for 10 minutes at 4°C and homogenate was collected and transferred to new tubes. Homogenate was vortexed, and 150 µL was transferred to a cuvette followed by 600 µL of acetic acid buffer to this same cuvette. The cuvette was then incubated at 37°C for 10 minutes to inactivate additional enzymes. After incubation, 250 µL of 32 mM p-nitrophenol dissolved in water was added to the cuvette. Cuvette was inverted and read immediately on a DU 800 Spectrophotometer at 420 nm for 5 minutes to collect absorbance data. Absorbance data at the 5 minute time point was normalized to the total protein content of the homogenate, as determined by comparison with a bovine serum albumin standard curve. Acid phosphatase enzyme activity was reported as moles/min/mg

protein, and values for each organ were compared to a fixed fraction for kidney (Kawashima et al., 1998, Sewell et al., 1986).

PBPK Model Development.

Base Model Development

The PBPK model for HCQ is represented by eight distinct, flow-limited tissue groupings that could describe HCQ PK based on physiologically-derived metabolism, renal elimination, absorption, macromolecule binding, and lysosomal sequestration. Key features that the model aimed to capture were melanin binding to investigate cases of retinal toxicity, and lysosomal uptake kinetics to model intracellular PK at the active site. Figure 2 depicts a schematic describing the system of these key tissues involved in HCQ PK.

Typically administered orally, HCQ absorption occurs rapidly in the gut lumen, followed by transport to the liver where it undergoes first pass metabolism. From there it is distributed to the rest of the body. Key tissues include the kidney, the site of renal excretion, as well as eyes and skin, major sites of melanin binding, and the heart, due to observed instances of cardiomyopathy. The rest of the body is lumped into the slowly perfused compartment, consisting of bone, fat, and muscle, as well as the rapidly perfused compartment which is composed of the remaining viscera. Additionally, a tumor compartment can be incorporated depending on the location of the primary tumor. Each compartment of the model is further described by a lysosomal sub-compartment discussed in the next sub-section.

Mass balance of a typical tissue observed in the bulk portion of the model is described by equation (1)

$$\frac{dm_t}{dt} = Q_t \cdot (C_a - \frac{C_t}{P_t}) + A_t \cdot \Delta J_{lys} \quad (1)$$

where m_t is the amount of HCQ within the tissue, Q_t is the blood flow rate to that tissue, C_a is the unbound arterial plasma concentration carried to the tissue, C_t is the free concentration within the compartment, P_t is the partition coefficient of that tissue, A_t is the lysosomal surface area, and ΔJ_{lys} is the net flux between the cytosol and lysosome. The only tissue with an exception to equation (1) is the liver, wherein arterial concentration is set to include free drug as well as bound drug due to dissociation of HCQ and protein occurring in the hepatic space (Meijer and van der Sluijs, 1989).

For tissues involved in clearance of HCQ an additional term is added to equation (1). For kidney, a renal clearance term is added and is represented by equation (2). In the case of liver, a metabolism term is added and is represented by equation (3) for mouse and equation (4) for human.

$$\frac{dm_{renal}}{dt} = GFR \cdot Q_K \cdot C_A + V_K \cdot \frac{V_{max_{sec}} \cdot C_A}{K_{m_{sec}} + C_A} \quad (2)$$

$$\frac{dm_{met}}{dt} = V_L \cdot (\frac{C_L \cdot V_{max_L}}{K_{m_L} + C_L}) \quad (3)$$

$$\frac{dm_{met}}{dt} = V_L \cdot Met \cdot C_L \quad (4)$$

The first term of equation (2) accounts for renal filtration where GFR represents glomerular filtration rate, Q_K represents blood flow to the kidney, and C_A represents arterial blood concentration. The second term represents active secretion where V_K represents kidney volume, and V_{max} and K_m represent Michaelis-Menten constants for secretion. Equation (3) describes the

amount of HCQ metabolized in mouse and is represented by V_L and C_L , the volume and concentration of liver, respectively. It is also represented by V_{max} and K_m , Michaelis-Menten rate constants for metabolism. Equation (4) describes the amount of HCQ metabolized in human and is represented by the linear rate constant Met . Melanin binding is the other driving factor of distribution in the model, and so the amount of free HCQ unbound to melanin, applying to skin and eyes, is represented by equation (5).

$$m_{free} = \frac{m_{cyt}}{\frac{T_{mel}}{K_{mel} + C_t}} \quad (5)$$

where m_{free} is the amount of unbound drug in the cytosol, m_{cyt} is the total amount in the cytoplasm, T_{mel} – a function of melanin/HCQ binding ratio (Mel_{BR}) and melanin concentration in the tissue ($C_{t_{mel}}$), is the binding capacity of melanin for HCQ, K_{mel} is the binding affinity of HCQ to melanin, and C_t is the free concentration in the cytosol. Values for T_{mel} and K_{mel} were estimated from a previous study on HCQ binding (Schroeder and Gerber, 2014) and melanin concentrations for skin and eye have been reported (Browning, 2014, Durairaj et al., 2012). The parameters for the PBPK model can be found in Table 1 along with the source of each variable.

ADME Parameters

Tissue volumes and blood flows were standard, fixed values as previously described (Brown et al., 1997). The only exception was eye, which came from multiple sources (Choi et al., 2012, Zhi et al., 2012).

Absorption parameters were obtained from a prior study (Tett et al., 1989). Specifically, the absorption rate constant (KA) was modeled as linear uptake that achieved near full absorption within an average of 3 hours. Fraction absorbed was set to an average of 0.75 ± 0.13

of the dose, as described by human urine and blood data. First pass hepatic effect was deemed insignificant in this study as well, and was calculated at only 6% of the total dose. Biliary secretion was initially considered, as fecal excretion of HCQ in rat was cited as 25% of total dose (McChesney, 1983); however, ratio of metabolite vs. parent were not observed. Biliary secretion was tested in the model, assuming complete absorption, and was representative of a model lacking biliary clearance with 0.85-0.9 fraction absorbed. As this fell within the range of absorption fraction observed in humans, biliary secretion was not considered a major driving factor of HCQ PK and was omitted from the model.

Distribution of the bulk model was primarily described by partition coefficients, the concentration ratio between plasma and tissue at steady state. The partition coefficient values were derived and optimized using previously published data (McChesney et al., 1967, Tett et al., 1989, Wei et al., 1995).

Metabolism values for mouse were obtained and optimized from liver PK data by determining the Michaelis-Menten relationship that represented the compartmental clearance of this region. The human metabolism rate constant was obtained by incubating HCQ with activated human liver microsomes, as described in the microsome section of materials and methods, and optimized to best fit human PK data. Intrinsic scaling of *in vitro* to *in vivo* metabolism for CYP450 enzymes as previously described (Chiba et al., 2009) was not considered since moderate optimization of the microsome-derived metabolism rate yielded clearance that fit the clinical data well, and satisfied the hepatic clearance portion observed in human patients (Tett et al., 1988). Intestinal metabolism was considered negligible due to the significantly lower CYP3A and 2C levels observed in intestine compared to liver as well as low first pass metabolism (Thelen and Dressman, 2009).

Excretion was modeled as filtration and secretion, since mean renal clearance was found to be 3-4x greater than GFR (Tett et al., 1990, Tett et al., 1988, Tett et al., 1989). GFR was set to 0.125 for mouse (Qi and Breyer, 2009) and 0.11 for human of the unbound plasma flow to the renal tubules. The K_m and V_{max} for renal secretion were optimized using the Nelder-Mead method to best fit the data, accounting for 3-4x GFR as secretion as well as the ~3:1 ratio of non-renal clearance (assumed to be liver clearance) to total renal clearance upon scaling from mouse to human (Furst, 1996, Tett et al., 1990).

Lysosomal Compartment Development

Within each tissue compartment was a lysosomal sub-compartment that was mathematically described as separate from its parent compartment. The sub-compartment was modeled to represent the ion trap effect that causes HCQ accumulation to millimolar concentrations in acidic regions, primarily the lysosome. A schematic of lysosomal sequestration is represented in Figure 3, which depicts HCQ and HCQ^+ moving freely across membranes at physiologic pH, but upon entering the acidic lysosome a majority of HCQ is in the 2^+ state where it can no longer permeate the membrane and becomes trapped.

The mass balance of the lysosomal sub-compartment for each tissue is represented by the second term in equation (1) and is described by equation (6)

$$\frac{dm_{lys}}{dt} = A_t \cdot \Delta J_{lys} \quad (6)$$

where A_t represents the lysosomal surface area and ΔJ_{lys} represents the net flux between the cytosol and lysosome compartments. The flux portion was adapted from the cell model proposed by Trapp et al. that describes lysosomal drug uptake on a cellular pharmacokinetic

level. It was validated using a select handful of drugs with a variety of pKa and lipophilicity (Kow) values, one of which was chloroquine (Trapp and Horobin, 2005, Trapp et al., 2008). The overarching principle in their cell model was application of Fick's 1st law of diffusion with the Nernst-Planck equation, which incorporates electric charge into the movement of molecules through a biological environment. Fick's 1st Law of Diffusion, which only applies to the neutral form of HCQ (J_n), is depicted by equation (7), and the combined Fick's-Nernst-Planck version of diffusion (J_d) is equation (8). These equations are combined to yield equation (9)

$$J_n = f_n P_n C \quad (7)$$

$$J_d = f_d D_z P_d C \frac{N}{e^N - 1} \quad (8)$$

$$\Delta J_{lys} = (J_n + J_d)_{lys} - (J_n + J_d)_{cyt} \quad (9)$$

where f_n represents the fraction of freely dissolved neutral HCQ, described by equation (10) (or reference equation 6 from (Trapp et al., 2008) for a more derived description). P_n and P_d represent the permeabilities of neutral and ionic HCQ states, D_z is the Henderson-Hasselbach activity ratio, C is the total HCQ concentration in the compartment (applying to either cytosol or lysosome), and N is the Nernst equation. Equation (7) and (8) represent HCQ flux in the neutral and ionic states, and are combined to give the net flux between both compartments in equation (9). The fraction of freely dissolved HCQ in this equation is represented by f_n , and is described by equation (10):

$$f_n = \left(\frac{W + K_n}{\gamma_n} + \frac{D_1 W + D_1 K_1}{\gamma_1} + \frac{D_2 W + D_2 K_2}{\gamma_2} \right)^{-1} \quad (10)$$

which represents the fraction of HCQ in the system that is available to move. This description has been well described previously (Trapp et al., 2008), but briefly it represents the fraction available to move by taking into account lipid binding, sorption, and ionic activity. W represents water fraction in the compartment, L represents lipid fraction in the compartment, K_n and K_z are sorption coefficients of neutral and ionic HCQ, and gammas represent the ionic activity coefficients for the compartment.

The effect of charge on lipophilicity (K_{ow}) of a molecule, which sets the framework for the ionic sorption coefficients (K_z) and ionic permeability has been previously described (Trapp et al., 2008). The equation for charged lipophilicity is shown in equation (11)

$$K_{ow_z} = 10^{\log_{10}(K_{ow}) - 3.5z} \quad (11)$$

where z is the charge HCQ. Equation (11) is applied to the permeability equation (12) and sorption equation (13) to represent these properties of charged HCQ

$$P_z = 10^{\log_{10}(K_{ow_z}) - 1.1427} \quad (12)$$

$$K_z = 1.22 \cdot L \cdot K_{ow_z} \quad (13)$$

Additionally, equation (8) incorporates the Henderson-Hasselbach activity ratio, D_z , which represents the ratio between neutral and ionic fractions of the molecule. D_z is represented by equations (14) for the 1^+ state and equation (15) for the 2^+ state.

$$D_1 = 10^{(pK_{a1} - pH)} \quad (14)$$

$$D_2 = 10^{(pK_{a1} + pK_{a2} - 2pH)} \quad (15)$$

All values of physicochemical properties of HCQ and lysosomal properties used in equations related to this section of the model can be found in Table 2 along with the source of these values (Ishizaki et al., 2000, Kawashima et al., 1998, Trapp et al., 2008, Warhurst et al., 2003).

An additional component to consider at the cellular scale is the effect of lysosomal HCQ accumulation on pH. Previous studies have demonstrated the pH neutralization effect of weak base accumulation in lysosomes (Ohkuma and Poole, 1978), and this has been taken into account in more recently developed cellular models (Ishizaki et al., 2000, Kornhuber et al., 2010). Equation (16) was used to describe the dynamic pH of the lysosome compartment

$$\Delta pH = \frac{C_{lys}}{\beta} \quad (16)$$

where C_{lys} is the free lysosomal concentration and β is the buffering capacity as previously described (Ishizaki et al., 2000). Dynamic pH affects the free fraction of HCQ in each ionic state, which causes net flux to approach an equilibrium as lysosome pH becomes more neutral. Dynamic pH based on buffering capacity was not considered for the cytosolic compartment. Initial pH values for lysosome and cytosol compartments were the same for all tissues and were set to 5.0 and 7.2, respectively.

Volume of the lysosome compartment for each tissue was determined by total acid phosphatase activity in the tissue. Acid phosphatase activity was assumed to correlate directly with total lysosomal volume fraction of the tissue. Acid phosphatase activity / lysosome volume fraction was compared between tissues on a ratio basis using a set value for kidney lysosome volume fraction, and optimized to fit the mouse model (Kawashima et al., 1998, Sewell et al., 1986). Lysosome size was set to a constant spherical volume using a radius of 275nm.

Data Analysis. The ability of the model to accurately predict concentration-time profiles was analyzed by comparing PBPK simulation pharmacokinetic variables to those of actual data, as well as through calculation of the median absolute performance error (MAPE%) and the median performance error (MPE%). The performance error (PE) of the model was calculated as the difference between the measured and simulated concentrations normalized to the simulated concentration as shown in equation (17):

$$PE = \frac{C_{measured} - C_{simulated}}{C_{simulated}} \cdot 100 \quad (17)$$

The MAPE%, which measures prediction accuracy, was calculated by equation (18):

$$MAPE\% = (|PE_1|, |PE_2|, \dots |PE_N|) \quad (18)$$

where N represents the total number of samples for the given tissue. The MPE%, which is a measure of positive or negative prediction bias, was calculated by equation (19):

$$MPE\% = median(PE_1, PE_2, \dots PE_N) \quad (19)$$

Computer Simulation and Software. PBPK model development and simulation was done in Advanced Continuous Simulation Language Xtreme (acslX) version 3.1.4.2. from Aegis Technologies Group, Inc. Pharmacokinetic evaluation of simulated and clinical data was done on Phoenix 64 build 7.0.0.2535.

6. Results

HCQ Model Simulations in Mice. PK data from mice was generated following a single intraperitoneal (IP) dose of 20, 40, or 80 mg/kg HCQ. Tissues and whole blood were collected

from mice at 3, 6, 12, 24, 48, and 72 hours after dosing. The PBPK model was developed from the following tissues in this study: whole blood, liver, kidney, and gut. Figure 4 shows the HCQ PK profile from these mice compared to PBPK generated simulation data. In addition to whole tissue PK, the contributions of lysosomal sub-components were examined and are found in Supplementary Figure 1. Lysosomal HCQ PK profiles were similar between tissues and simulated concentrations peaked between 20-40 mM, which are consistent with lysosomal concentrations of lysosomotropic agents reported in previous studies (Browning, 2014).

The ability of the model to accurately predict the concentration-time profile of HCQ was determined through calculation of median absolute prediction error (MAPE%) and median prediction error (MPE%) presented as a percentage for tissues and whole blood, shown in Table 3. MAPE% is a method of determining model accuracy, while MPE% indicates under or over prediction bias (Sheiner and Beal, 1981). Overall, MAPE% for each dosing cohort were within similar ranges. The ranges were 27-73%, 19-71%, and 24-44% for 20, 40, and 80 mg/kg respectively. Upper bounds of this range were skewed by the deviation of model simulation from actual PK data for liver after 24 hours due to the concentration-time profile for HCQ PK dropping to zero after 24 hours. The model prediction follows the actual data well for the first 24 hours, after which it predicts a slower decline at these later time points. Removing the liver MAPE% drops the ranges to 27-39%, 19-27%, and 24-37%. Comparing this range of MAPE% to the variation in actual PK data, determined by the range of average coefficient of variation (CV) for each dosing cohort, is a strong indicator of whether or not model prediction is within the dispersion of the actual data. CV values for the PK cohorts are 16-43%, 9-20%, and 8-28% for 20, 40, and 80 mg/kg, respectively, which are quite similar to the MAPE% for the respective cohorts. This suggests that the model accounts for a majority of natural variation within the

clinical data. Taking into account MPE%, the model tends to slightly over-predict blood and liver concentrations in each dose cohort. Kidney and gut are over-predicted in the 20 mg/kg cohort, but slightly under-predicted in the 40 and 80 mg/kg mice indicating no significant bias in over- or under-prediction of the model. An additional method of determining the model's predictive capability was through comparison of simulation vs. actual pharmacokinetic variables generated through noncompartmental analysis (NCA), which are presented in Table 4.

The NCA variables compared for blood and each tissue were terminal half-life ($t_{1/2}$) and area under the curve (AUC). Values of each were determined over the 72 hour interval and compared ratiometrically (actual/simulated). Overall, the AUC ratios were very close to one, indicating a high degree of accuracy within the model. Half-lives were also relatively close except for liver 20 and 40mg/kg cohorts, which, as noted with MAPE%, is due to the lack of a terminal phase in the actual liver data. While half-life ratios were low for each dosing cohort, the ratios near one for AUC indicate that the model predicts overall liver exposure with a high degree of accuracy.

HCQ Model Simulations in Human. After validating the simulation in mice, the model was scaled to human patients by adjusting appropriate physiologic parameters, which are compared in Table 1. Concentration-time data for individual humans were used (Tett et al., 1988, Tett et al., 1989) and included both oral and IV infusion doses of 200mg and 400mg HCQ sulfate. Whole blood data was available for two patients (patient 1 and 4) from this study, and cumulative urinary excretion of HCQ was available for another (patient 5). The concentration-time profile between simulation and actual data were compared over a 72 hour time period, and are presented in Figure 5. In addition to whole blood PK, tissue concentrations were simulated following these dosing schemes as well and can be found in Supplementary Figure 2. Simulated

PK profiles for each of the dosing routes were notably different from each other, specifically in that liver and gut peak concentrations were roughly 2-3x higher, and kidney much lower, in patients receiving oral vs. IV infusion dosing due to the absorption phase. Lysosomal PK for these human tissues were also simulated following these dosing schemes and can be found in Supplementary Figure 3. Peak concentrations are similar to those in mice, at 20-30 mM in liver and gut, and as low as 6 mM in kidney following 200 mg oral dosing.

As individual physiologic data was not available for the human patients, generic human physiologic parameters were assumed (see Table 1) for patient 4 and patient 5 (Brown et al., 1997). After fitting the model to these patients through optimization of absorption, metabolism, and renal clearance parameters, the model was fit to patient 1 by simply increasing body weight from 70 to 85kg. For the human model the MAPE% range, presented in Table 3, for whole blood was between 19-42% and for urine was between 16-21%, indicating strong predictive power of the model. As this was individual patient data, the coefficient of variation was not reported for comparison with these patients. MPE% indicated a slight under prediction of the actual PK profile for all those compared except patient 4 IV infusion, which was a slight over prediction.

NCA parameters were also compared for human whole blood data, and can be found in Table 4. Overall the actual/simulation ratios of $t_{1/2}$ and AUC indicated strong predictive power of the model.

To investigate the toxicity-related aspect of HCQ regarding retinopathy, HCQ exposure was simulated in human eyes at 200, 600, and 1200 mg/day of oral dosing and can be found in Supplementary Figure 4. Single dose HCQ at these levels generate relatively low concentrations in the eye relative to the other tissues shown in Supplementary Figure 2, although eyes continue

to retain HCQ as blood levels decline. After 30 days of once daily dosing, it is clear that 1200 mg/day causes significantly higher accumulation of HCQ within the eyes, roughly 10-fold more than 200 mg/day. It is of note that even two months after dosing is discontinued the concentration of HCQ in the eyes decreases only slightly, indicating an extremely long half-life. 60 days of once daily dosing show a similar trend as 30 days, although concentration buildup begins to taper off. Concentrations in the human eyes at 1200 mg/day are close to those observed in the eyes of hooded rats dosed at 40 mg/kg for 6 days/week over the same timeframe (McChesney, 1983).

Simulated Steady State Concentration and Clinical Trial Comparison. After the model was validated for single dose HCQ in human patients, the dosing interval was extended out to investigate predictive capability over a much longer period of time. Using generic human PBPK parameters as listed in Table 1, the model was used to simulate once-daily oral dosing of 100, 200, 400, 600, 800, 1000, and 1200mg HCQ for a 30 day period. The steady state concentration (C_{ss}) was taken as the average whole blood concentration occurring after 20 days. Simulated C_{ss} for each dosing regimen was compared to the patient C_{ss} distribution of the corresponding dosing regimen in five different cancer clinical trials using HCQ in combination with another treatment in Figure 6 (Mahalingam et al., 2014, Rangwala et al., 2014_a, Rangwala et al., 2014_b, Rosenfeld et al., 2014, Vogl et al., 2014).

The trials reported reaching the concentration distribution depicted by the following days: Temsirolimus at 15 days, Vorinostat at 20 days, Bortezomib at 21 days, Temozolomide Glioblastoma did “not distinctly represent steady state” and the time was not reported, and Temozolomide Solid Tumor/Melanoma was at 16 days. The depicted range in all trials represents concentrations falling within the second and third quartile of patient data, except for

Vorinostat which represents the mean \pm standard deviation. Of all trials examined, only the Temozolomide Glioblastoma patient population had a regression line statistically significant from the PBPK simulation. The regression line for this trial had a mean slope of 3.3 with 95% confidence interval bounds of 2.3 to 4.3, compared to the regression line of the PBPK simulation which had a mean slope of 2.2. The Vorinostat patient population did not undergo regression analysis due to only two daily doses of HCQ (400 and 600mg) used in the trial, but the slope of this line was 1.7 compared to the PBPK simulation of 2.2. Of all trials tested against the PBPK simulation average C_{ss} from the model human fell within the bounds of the 2nd and 3rd quartile for all dosing regimens except for the Temozolomide Glioblastoma 800mg and Temsirolimus 400mg.

Simulation of HCQ in a Virtual Population. Once model simulated C_{ss} was found to be in good agreement with clinical trial C_{ss} distributions, the model was tested in a virtual population of humans. 250 males and 250 females were generated from the physiological parameters for PBPK modeling (P3M) database using PopGen (McNally et al., 2015). Briefly, P3M is a massive database of U.S. patient records containing their associated physiologic data, and was developed by The Lifeline Group. Using PopGen to extract from this database, a virtual population of individuals was generated using available demographic data from the Bortezomib/HCQ combination phase I clinical trial (Vogl et al., 2014). This was assumed to generate a population representative of a general cancer clinical trial. C_{ss} was then simulated for this population at each dosing regimen, and the simulated distribution was compared to the actual distribution observed in the clinical trials referenced. Each dose from 100-1200mg dosed once-daily was simulated in each of the 500 total patients to generate a realistic range of steady

state concentrations. The results of this simulated patients compared to the actual distribution from each trial are shown in Figure 7.

The model captured the distribution of steady state whole blood concentrations in the simulated patient population extremely well at low doses. The higher doses in the clinical trial population exhibited much more distributional variability between trials. This was expected due to the more prominent effect that variability in physiologic parameters will have at higher doses. Additionally, the clinical trial patient populations were relatively small, especially in comparison to the 500 virtual patients simulated by the model, which is another likely contributor to the distributional variability between trials.

7. Discussion

PBPK modeling is a relatively well-established concept, dating back to the first modern model of methotrexate in 1971 (Bischoff et al., 1971). Cancer therapy is a major field for development of these models due to the ability to simulate multiple dosing regimens in a relatively short period of time with the purpose of maintaining therapeutic efficacy while minimizing toxicity. This includes PBPK models for some drugs that have been used in clinical trials in combination with HCQ, including three of those discussed in this paper (Ballesta et al., 2014, Gustafson et al., 2002, Zhang and Mager, 2015). Although the utility of PBPK models appears increasingly clear, their use in a clinical setting is not well established. With treatment including, but not limited to malaria, lupus, rheumatoid arthritis, and now cancer, HCQ is a prime candidate for use of PBPK models in a personalized-medicine setting. Due to the widespread use of this drug, accounting for sources of PK variability from easily monitored

driving parameters, such as GI absorption, CYP3A4 and CYP2C3 metabolism, and renal clearance could be a quick method of accounting for the broad range of patient PK profiles observed in a clinical setting. This would concurrently improve prediction of exposure in areas that are more difficult to monitor, such as melanin binding in the eye or variation in lysosomal content of a target site.

The described PBPK model for HCQ successfully predicts blood and tissue disposition in both mice and humans in single dose oral, IP, and IV scenarios. More so, it is capable of establishing a population distribution at doses ranging from 100-1200mg for long-term daily oral dosing in a human population representative of a general cancer clinical trial. This model was developed based on flow-limited uptake for the bulk compartments with perfusion-based uptake for the lysosomal compartment, and accounts for specific binding to melanin and non-specific binding to plasma protein. It is built based off of physiological and biochemically relevant parameters for eight compartments, three of which are validated by clinical PK data. These validated compartments consist of gut, liver, and kidney, along with whole blood. Gut and liver were included due to absorption and metabolism, respectively. Kidney is involved in renal clearance through filtration and secretion. There is evidence of reabsorption, but that mechanism was not considered in this model due to the lack of clinical data available relating urine pH to renal clearance of HCQ. Melanin binding was the primary reason for including eyes, specifically in regards to retinopathy observed in higher doses of HCQ used in cancer clinical trials. Accumulation of HCQ in the eyes was simulated to investigate the difference dosing levels may have on retinal exposure to this drug. While actual concentrations associated with retinopathy are unknown, patients classified as high risk are categorized as those receiving >6.5 mg/kg/day for >5 years (Pandya et al., 2015). The model can be used to simulate and avoid HCQ retinal

accumulation thresholds observed within these high risk dosing levels. Heart was included due to rare incidence of irreversible cardiomyopathy that could possibly be exacerbated during long term dosing of combination therapies that also exhibit some level of cardiovascular toxicity (Al-Bari, 2015). Treatments associated with cardiomyopathy are extremely rare, with only 42 known cases as of 2014 and reported mean cumulative doses of 1843 g (Yogasundaram et al., 2014). Remaining tissues were grouped into the rapidly perfused compartment, consisting of remaining viscera, and the slowly perfused compartment which consists of bone, muscle, and adipose.

The model was initially developed in mice and began with gathering of relevant biochemical and physiological parameters. After this step, parameter effect on model fit to actual data was assessed. This was followed by optimization to maximize model accuracy across dosing regimens. Scaling to humans involved modifying relevant physiological parameters and biochemical parameters as seen in Table 1, and optimizing the model to fit human data. Validating against multiple types of human PK data offers the predictive power to simulate HCQ PK in patients while taking into account PK modification by combination treatment modalities, drug concentrations at the active site in the lysosome under varying pH conditions, and exposure in tissues where toxicity is observed. Interindividual variability in response is one of the primary concerns in treatments with HCQ. From a PK perspective, of all parameters the ones that the blood portion of the model are most dependent on are body weight, rate of metabolism, and blood partitioning and protein binding. Contribution of these factors to model performance are not dose dependent, i.e. linear with dose escalation. Patients with impaired liver or kidney function would likely be exposed to higher concentrations of HCQ, which would need to be accounted for in application of this model.

Primary value of this model is found through accurate simulation of HCQ PK in mice and humans while taking into account exposure in tissues, especially those where toxicity or clinical efficacy may be observed. Mice were the species chosen to begin model development due to the option of PK/PD investigation in whole organs. Between the mouse PK data, PD data (not yet published), and the likelihood that mice will be used in future preclinical studies with HCQ or next generation analogues, this model will prove a valuable tool to make connections between exposure and response in a preclinical setting. This is especially relevant in the context of lysosomal uptake and the effect of these various factors on the PK/PD of HCQ and next generation lysosomotropic autophagy inhibitors. Being a major driver of HCQ PK, it is important to note that lysosomes are a dynamic system undergoing effects such as swelling, biogenesis, pH modulation, and turnover that is not well characterized from a quantitative perspective in the presence of HCQ (Lu et al., 2017, Zhitomirsky and Assaraf, 2015). As pH is the driver for lysosomal accumulation it plays an important role, especially in a tumor context where acidic conditions can dramatically affect HCQ uptake (Pellegrini et al., 2014, Zhitomirsky and Assaraf, 2015). The cellular model of lysosomal uptake applied in our PBPK model (Kornhuber et al., 2010, Trapp and Horobin, 2005, Trapp et al., 2008) serves as a strong base of modification to begin describing whole tissue PK based on the pH-lysosome relationship. Further advancement of this PBPK model will require stronger prediction power in a tumor setting. Achieving this involves further characterization of these natural and HCQ-influenced lysosomal dynamics, and how they are related to intra and extracellular pH in a tumor setting.

In addition to its use as a tool for further characterization of autophagy-dependence and preclinical study, this model has proven its utility in predicting exposure in human patients as well. The model was validated in humans by accurately simulating blood exposure and urinary

clearance of HCQ over a 72 hour period following oral and IV administration of two different doses. Additionally, it captured the distribution of steady state concentrations at seven different dosing levels in a larger population of patients. Overall it is able to simulate both early time points and steady state values of patients at all concentrations used in a cancer clinical trial setting. This validation, in conjunction with the physiologic nature of the model, makes it a powerful tool in predicting patient exposure in the 50+ clinical trials currently administering HCQ.

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9. **Authorship Contributions**

Participated in Model Design: Collins, Jackson, and Gustafson

Conducted Experiments: Collins, Jackson

Developed and Validated Model: Collins

Performed Data Analysis: Collins

Wrote or contributed to writing of the manuscript: Collins and Gustafson

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11. Footnotes

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12. Figure Legends

Figure 1. HCQ molecular structure with key physicochemical properties

Figure 2. Schematic of model describing key organs involved in HCQ ADME after oral dosing. Absorption occurs in the gut, metabolism in the liver, and excretion in the kidneys. Skin and eyes exhibit unusual PK properties due to extensive binding to melanin. Heart is included due to cardiomyopathy as an observed side-effect in some cases. The rest of the organs are split into slowly perfused (muscle, fat, bone) and rapidly perfused (internal viscera).

Figure 3. Intracellular mechanism of HCQ PK with pH dependence. HCQ crosses membranes readily, but accumulates in acidic compartments due to being non-permeable in the +2 state.

Figure 4. Mouse PK data compared to PBPK simulation. Mice were treated with a single IP dose of HCQ at 20, 40, and 80 mg/kg (left, middle, and right columns) and data was collected at 3, 6, 12, 24, 48, and 72 hours from whole blood (A), liver (B), kidney (C), and gut (D). Circles represent tissues from treated mice (three replicates per time point, n = 54) and lines represent simulation output.

Figure 5. Human whole blood and urine concentrations of HCQ (points) were compared to PBPK simulation (lines) (Tett et al., 1988 and 1989). Whole blood concentrations of patient 4 from the studies, was simulated after a single 200mg oral dose (A). Urinary excretion of HCQ was simulated in patient 5 from the studies following 200mg oral and IV doses (B). Whole

blood concentrations of patient 4 from the studies were simulated after a single 200mg IV infusion (C) and of patient 1 after 200mg and 400mg IV infusions (D).

Figure 6. Whole blood concentrations of HCQ at steady state – comparison between five different human cancer clinical trials and PBPK model output at varying doses. The combination trials represented include (A) Temsirolimus (Rangwala et al., 2014_a), (B) Vorinostat (Mahalingam et al., 2014), (C) Temozolomide in glioblastoma patients (Rosenfeld et al., 2014), (D) Bortezomib (Vogl et al., 2014), and (E) Temozolomide in advanced solid tumor and melanoma patients (Rangwala et al., 2014_b). Clinical trial data represents steady state concentrations between the second and third quartile of patients, except for the Vorinostat trial (B) which represents the mean \pm standard deviation. Steady state concentrations from the PBPK model were taken as the average concentration occurring at 20 days of once daily oral dosing. Black lines represent the regression lines for clinical trial data, dotted black lines represent the 95% confidence interval, and grey lines represent the regression line for PBPK simulated data. The only trial that had a regression slope statistically different from the PBPK simulation was the Temozolomide glioblastoma trial (C).

Figure 7. Distribution of steady state whole blood concentrations (C_{ss} at 20 days) for patients in different dosing cohorts – a comparison of simulated data vs. actual patient data from four clinical trials. Plots in grey represent the PBPK model simulation of HCQ in a virtual population of 500 patients (half male and half female) randomly generated using demographic data from the Bortezomib Trial. Plots in white represent the whole blood HCQ concentration distribution for patients in one of the four clinical trials, including (A) Bortezomib (Vogl et al., 2014), (B)

Temozolomide in glioblastoma patients (Rosenfeld et al., 2014), (C) Temozolomide in patients with solid tumors or melanoma (Rangwala et al., 2014_b), and (D) Temsirolimus (Rangwala et al., 2014_a). Virtual Population was generated for 250 males and 250 females using the PopGen web software and pulling patient data from the P3M patient database.

13. Tables

Table 1. PBPK Model Variables

Tissue Volume	Symbol	Mouse	Human	Reference
Kidney	% body weight	1.7	0.4	Brown et al., 1997
Heart		0.5	0.5	Brown et al., 1997
Eye		0.034 (g)	15 (g)	Mou – Experimental; Hum - Britannica
Skin		16.5	3.7	Brown et al., 1997
Gut		4.2	1.4	Brown et al., 1997
Liver		5.5	2.57	Brown et al., 1997
Blood		4.9	7.9	Brown et al., 1997
Slowly Perfused		56.1	75.7	Brown et al., 1997
Rapidly Perfused		10.4	7.5	Brown et al., 1997
Tissue Blood Flow				
Kidney	% cardiac output	9.1	17.5	Brown et al., 1997
Heart		6.6	4.0	Brown et al., 1997
Eye		0.0566	0.00164	Mou – Zhi et al., 2012; Hum – Choi et al., 2012
Skin		5.8	5.8	Brown et al., 1997
Gut		14.1	18.1	Brown et al., 1997
Liver		2.0	4.6	Brown et al., 1997
Slowly Perfused		34.2	28.5	Brown et al., 1997

Rapidly Perfused		28.1	21.5	Brown et al., 1997
Tissue Partitioning^a				
Kidney	PK	50	50	Wei et al., 1995
Heart	PH	44	44	Wei et al., 1995
Eye	PE	33	33	Wei et al., 1995
Skin	PS	26	26	Wei et al., 1995
Gut	PG	35	35	McChesney et al., 1967
Liver	PL	193	193	Wei et al., 1995
Blood	PB	7.2	7.2	Tett et al., 1988
Slowly Perfused	PSP	10	10	Wei et al., 1995; McChesney et al., 1967
Rapidly Perfused	PRP	150	150	Wei et al., 1995
Metabolism^b				
Metabolism Affinity	K _{mL} (μM)	357		Optimized from mouse PK
Metabolism Max Rate	V _{maxL} (μM/hr)	1171		Optimized from mouse PK
Metabolism Rate Constant	Met (hr ⁻¹)		0.154	Optimized from human liver microsomes
Clearance^c				
Plasma Protein Binding	% bound	0.45	0.45	Browning, 2014

Glomerular Filtration Rate	GFR	0.125	0.11	Mou – Qi and Breyer, 2009
Secretion Affinity	K _{m_{sec}} (μM)	1000	1000	optimization
Secretion Max Rate	V _{max_{sec}} (μM/hr)	32500	32500	optimization
Intestinal Absorption				
Absorption Rate	KA (hr ⁻¹)		0.5	Tett et al., 1989
Absorption Fraction	FA		0.75	Tett et al., 1989
Melanin Binding^d				
Melanin Affinity	K _{m_{mel}} (μM)		217	Schroeder and Gerber, 2014
Binding Ratio	HCQ _{mel}		0.178	Schroeder and Gerber, 2014
Eye Melanin Concentration	CE _{ME} (μM)		2.4E04	Durairaj et al., 2012
Skin Melanin Concentration	CS _{ME} (μM)		300	Browning, 2014

^a. Most partition coefficients were optimized from Wei et al., 1995, McChesney et al., 1967, and Tett et al., 1998. Slowly perfused was an average value of adipose from Wei et al., 1995 and McChesney et al., 1967

^b. Values for mouse were calculated from liver PK data; Human rate constant was calculated from human liver microsomes and optimized to meet the ratio of metabolism vs. clearance reported by Tett et al., 1988 and 1989

^c. Total renal clearance was a function of plasma protein binding and GFR, with secretion coefficients optimized to meet total renal clearance values in tandem with metabolism reported by Tett et al., 1988 and 1989

^d. Melanin binding parameters were taken from a bunch of sources and optimized for human. Skin melanin is for an average person of light colored skin. The value increases up to 4x based on skin color

Table 2. Physicochemical properties of HCQ and lysosomal properties

Drug Constants	Symbol	Value	Reference
Molecular Weight	MW	335.872	
Lipophilicity	Log ₁₀ (K _{ow})	3.84	Warhurst et al., 2003
1 st Dissociation Constant	pK _{a1}	9.67	
2 nd Dissociation Constant	pK _{a2}	8.27	
Lysosomal Parameters			
Lysosomal Lipid Fraction	L	0.05	Trapp et al., 2008
Lysosomal Water Fraction	W	0.95	Trapp et al., 2008
Neutral Activity Coefficient	γ _N	1.23	Trapp et al., 2008
1 st Activity Coefficient	γ ₁	0.74	Trapp et al., 2008
2 nd Activity Coefficient	γ ₂	0.3	Trapp et al., 2008
Lysosomal Radius	r _{lys} (μm)	0.275	Kawashima et al., 1998
Lysosomal Buffering Capacity	β (mM)	46	Ishizaki et al., 2000
Lysosomal pH	pH _{lys}	5.0	Trapp et al., 2008
Cytosolic pH	pH _{cyt}	7.2	Trapp et al., 2008
Lysosome Content^a			
Kidney	%tissue volume	0.05	
Heart		0.1	

Eye		0.015	
Skin		0.1	
Gut		0.1	
Liver		0.05	
Slowly Perfused		0.1	
Rapidly Perfused		0.2	

^a. Starting values from Kawashima et al., 1998; Sewell et al., 1986. Final values determined from acid phosphatase and optimization

Table 3. Measure of Predictive performance of the
PBPK model for mouse (tissues) and human (blood and
urine) data

Dose	Tissue/Patient	MAPE%	MPE%
20 mg/kg	Blood	27.25	-11.06
	Liver	73.25	-41.16
	Kidney	33.89	-25.28
	Gut	39.35	-31.87
40 mg/kg	Blood	23.93	-23.93
	Liver	71.31	-46.90
	Kidney	27.48	8.17
	Gut	18.52	8.08
80 mg/kg	Blood	37.01	-37.01
	Liver	43.80	-24.80
	Kidney	24.19	5.90
	Gut	23.52	9.90
200 mg (oral)	4 (blood)	18.64	14.79
	5 (urine)	15.53	-3.34
200 mg (IV)	4 (blood)	33.51	-11.58

	5 (urine)	20.99	9.55
	1 (blood)	42.13	17.36
400 mg (IV)	1 (blood)	39.32	20.82

Table 4. NCA parameter comparison between actual PK and PBPK simulated data

Mouse	Tissue		$t_{1/2}$ (hr)	AUC (hr· μ g/mL)	$t_{1/2}$ (ratio)	AUC (ratio)
20 mg/kg	Blood	Actual	24.09 \pm 8.84	10.84 \pm 0.63	1.87	0.97
		Simulated	12.91	11.22		
	Liver	Actual	3.38 \pm 0.37	116.93 \pm 11.16	0.49	1.08
		Simulated	6.84	107.9		
	Kidney	Actual	15.12 \pm 0.72	72.25 \pm 2.69	1.17	0.73
		Simulated	12.96	99.01		
	Gut	Actual	20.45 \pm 2.00	100.55 \pm 15.03	1.58	0.76
		Simulated	12.97	131.80		
40 mg/kg	Blood	Actual	11.2 \pm 1.49	19.50 \pm 0.66	0.88	0.89
		Simulated	12.67	21.89		
	Liver	Actual	3.31 \pm 0.17	244.87 \pm 33.98	0.55	1.24
		Simulated	6.00	198.19		
	Kidney	Actual	16.24 \pm 1.24	191.54 \pm 12.56	1.27	1.09
		Simulated	12.74	175.82		
	Gut	Actual	15.82 \pm 3.20	272.23 \pm 18.75	1.24	1.22
		Simulated	12.76	222.82		

80 mg/kg	Blood	Actual	10.51 ± 0.52	33.27 ± 2.25	0.84	0.75
		Simulated	12.45	44.17		
	Liver	Actual	4.03 ± 0.88	512.92 ± 44.22	0.79	1.28
		Simulated	5.07	400.70		
	Kidney	Actual	13.77 ± 0.76	339.17 ± 4.11	1.10	1.05
		Simulated	12.53	322.20		
	Gut	Actual	16.04 ± 3.65	432.80 ± 97.44	1.29	1.12
		Simulated	12.43	385.13		
Human						
200 mg – Patient 4 (oral)	Blood	Actual	77.47	5.57	1.00	1.01
		Simulated	77.24	5.54		
200 mg – Patient 4 (IV)	Blood	Actual	84.90	5.85	1.16	0.78
		Simulated	73.45	7.51		
200 mg – Patient 1 (IV)	Blood	Actual	54.58	5.23	0.70	0.89
		Simulated	77.76	5.86		
400 mg – Patient 1 (IV)	Blood	Actual	117.50	10.01	1.72	0.80

		Simulated	68.25	12.55		
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14. Figures

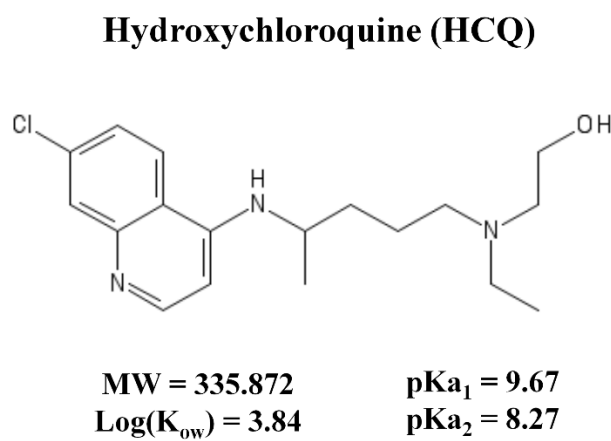


Figure 1

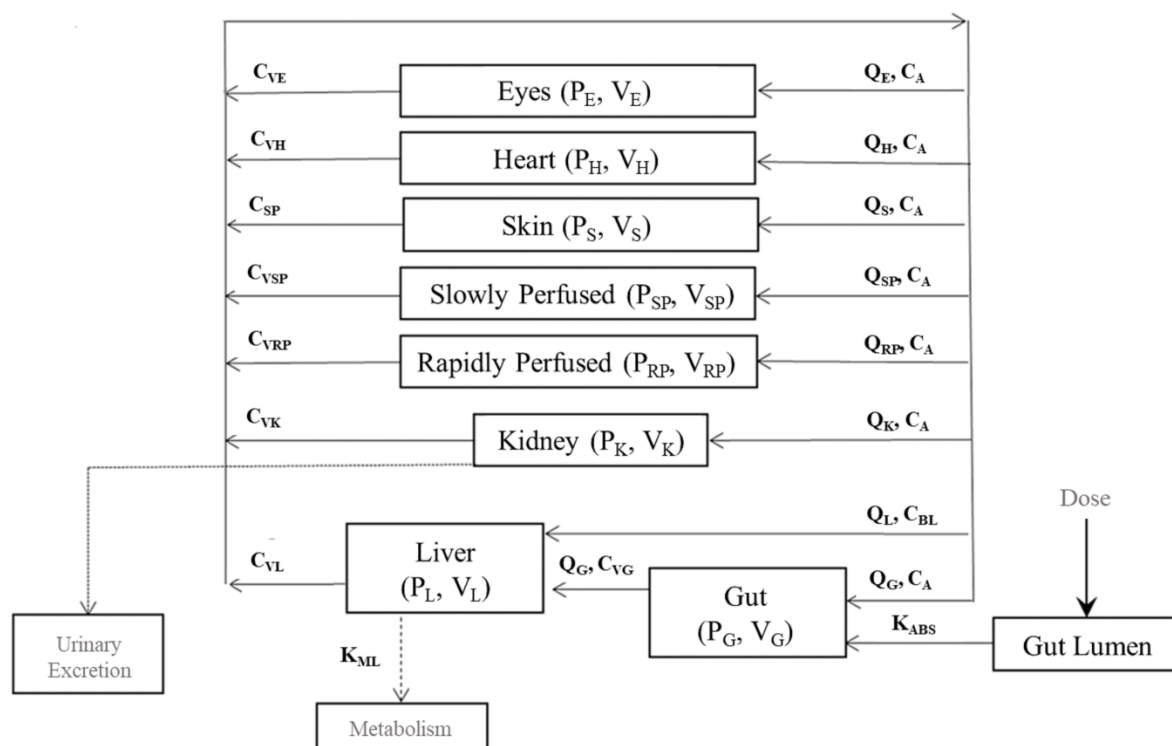


Figure 2

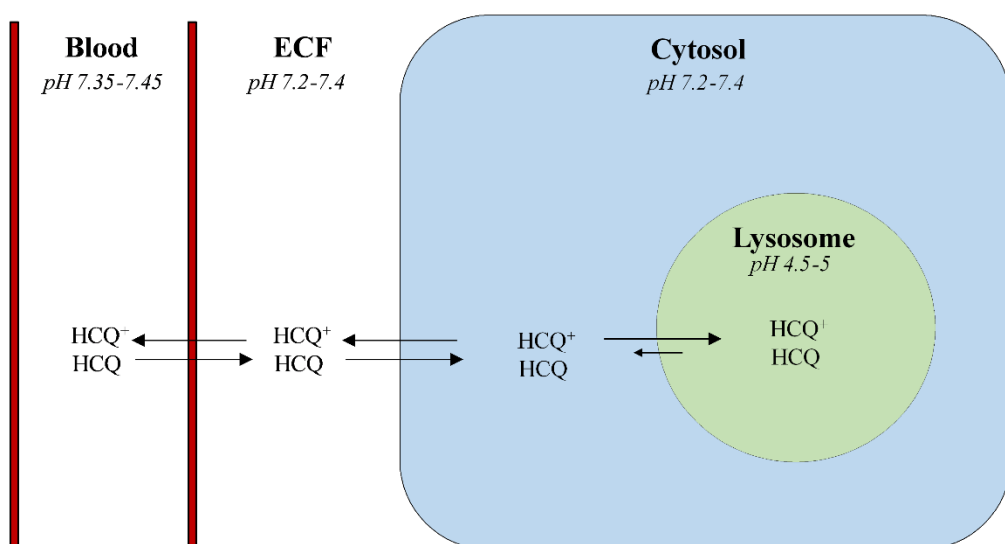


Figure 3

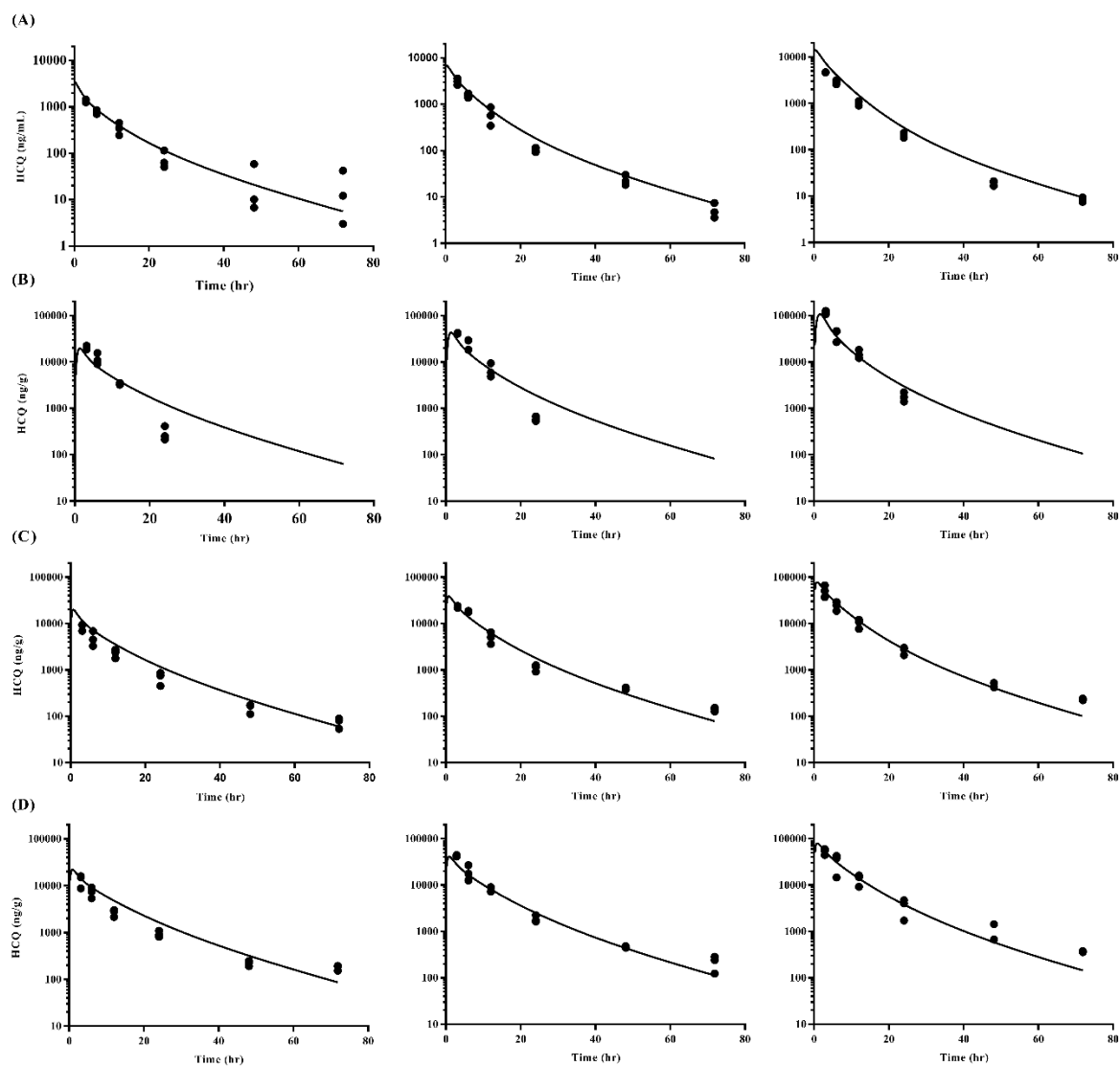


Figure 4

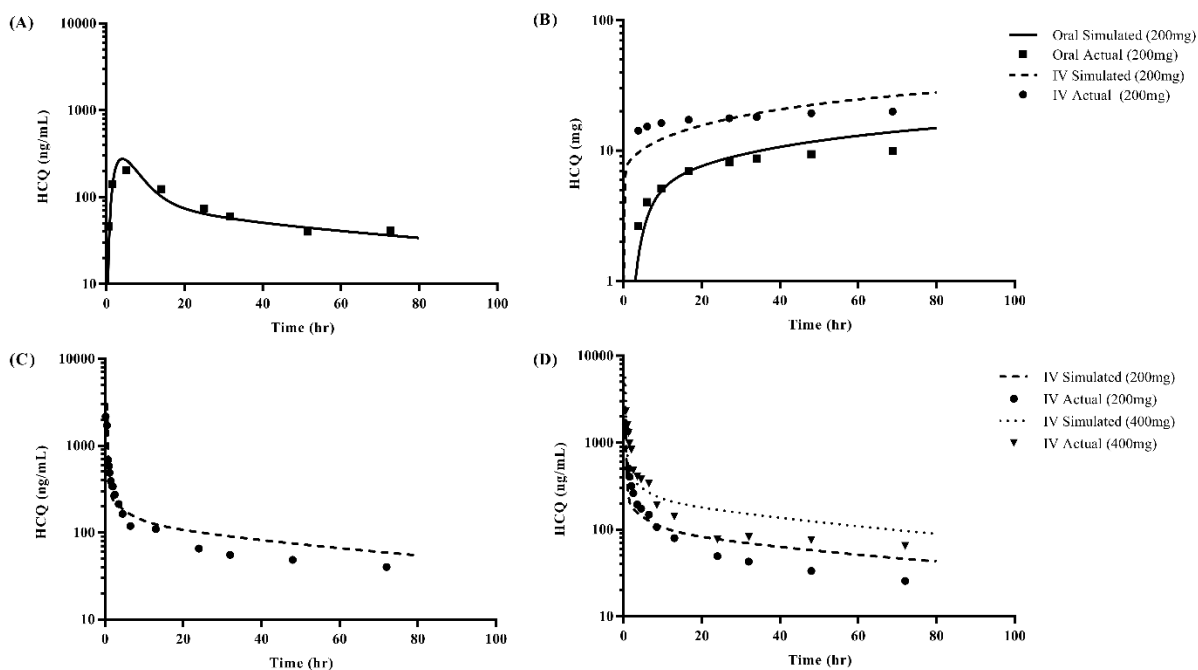


Figure 5

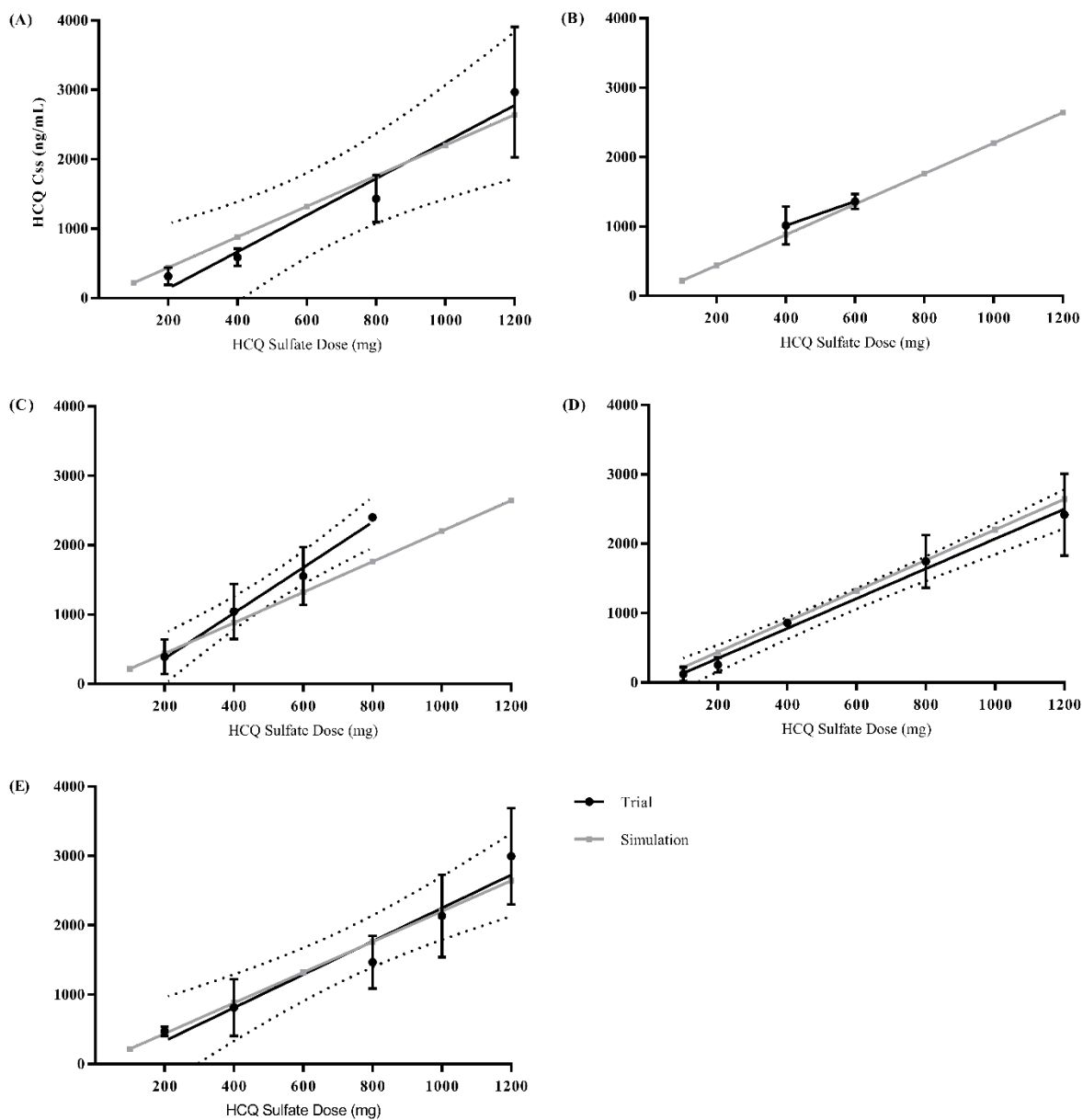


Figure 6

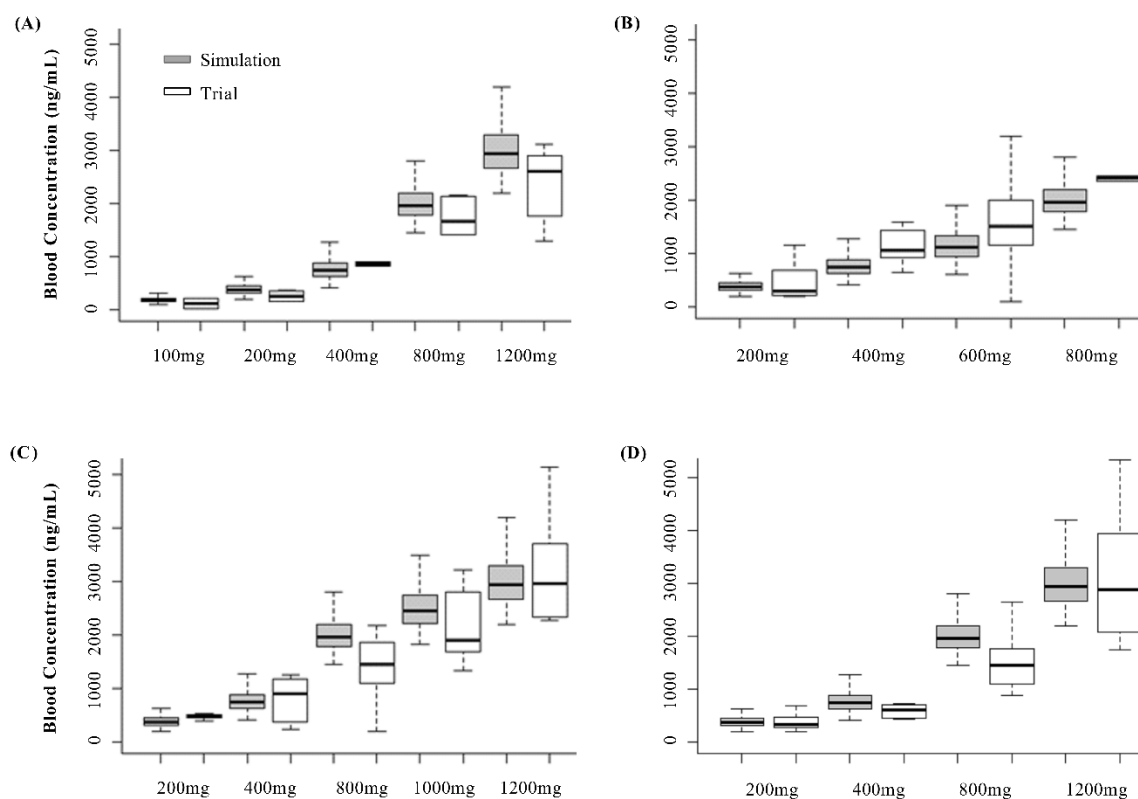
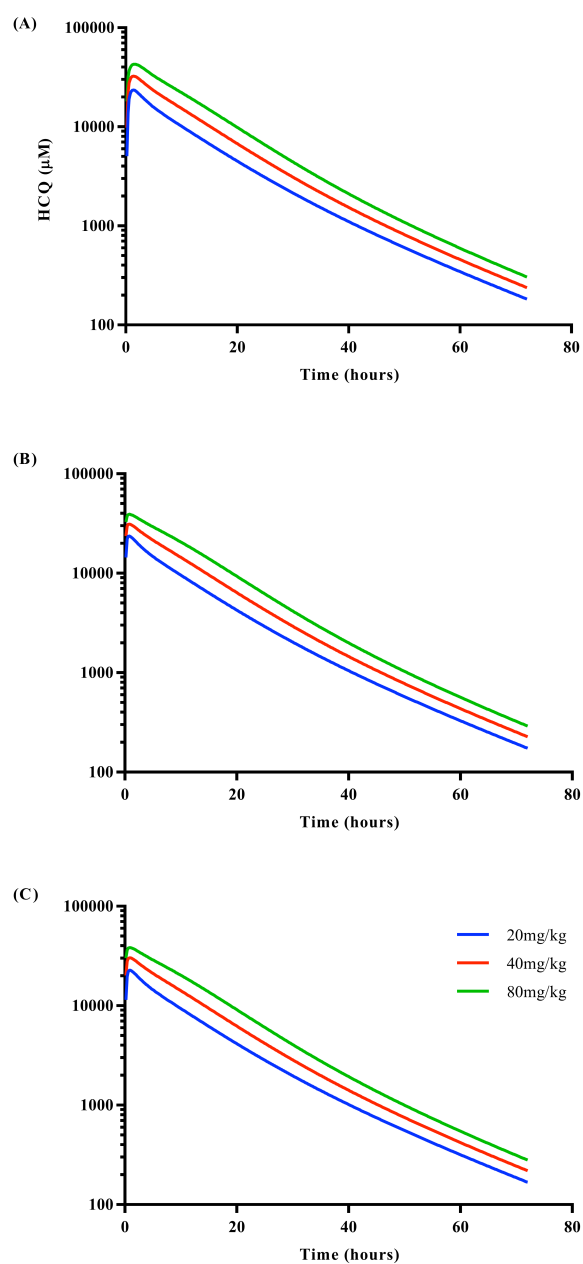


Figure 7

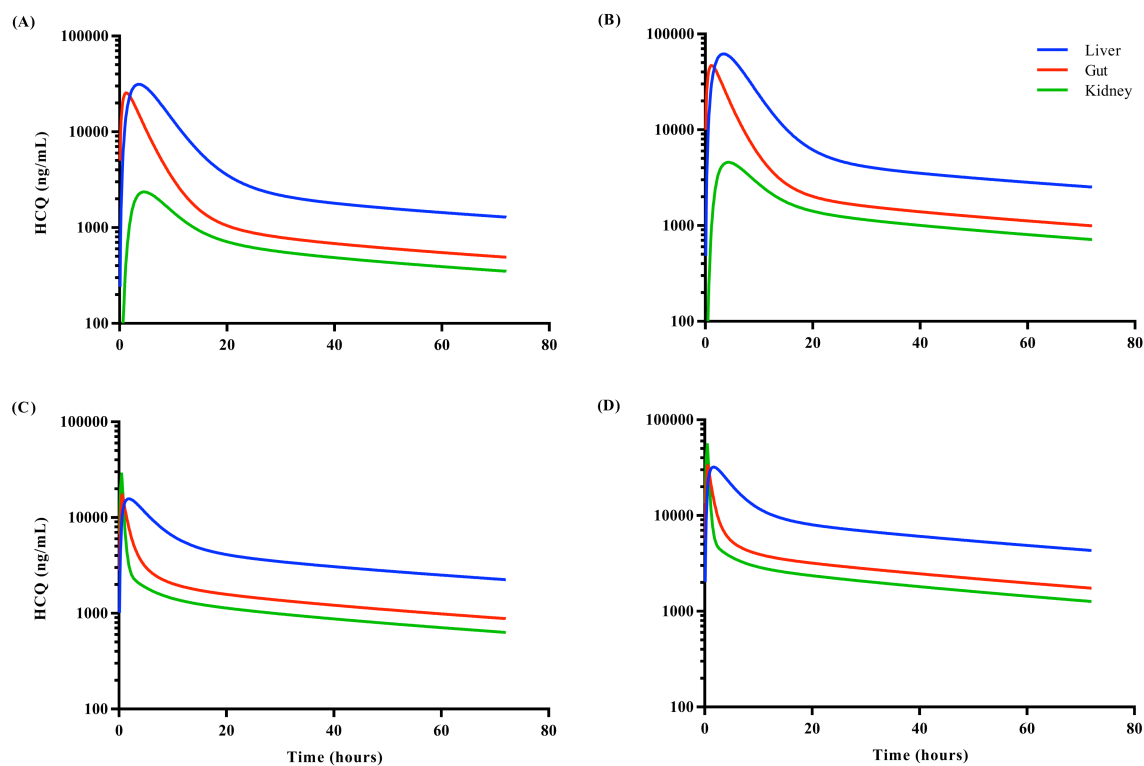
Hydroxychloroquine: A Physiologically-Based Pharmacokinetic Model in the Context of Cancer-Related Autophagy Modulation

Keagan P. Collins, Kristen M. Jackson, Daniel L. Gustafson

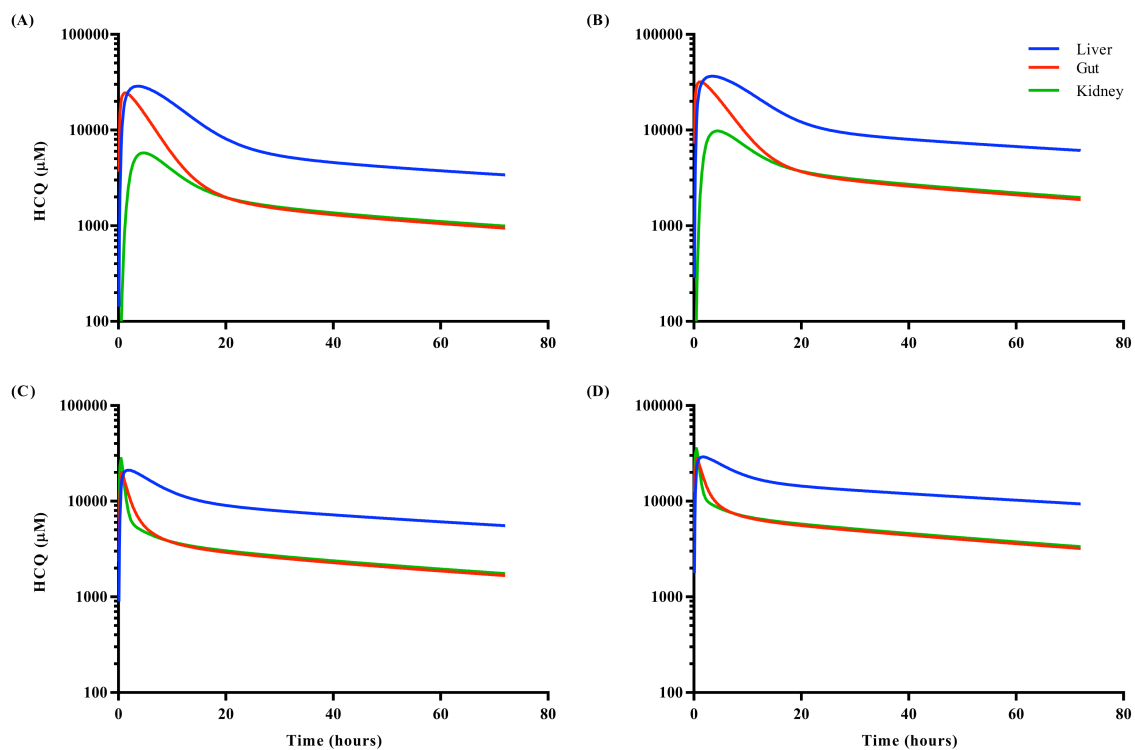
School of Biomedical Engineering; Colorado State University; Fort Collins, CO USA (KPC, KMJ, DLG); Department of Clinical Sciences; Colorado State University; Fort Collins, CO USA (DLG); University of Colorado Cancer Center; Anschutz Medical Campus; Aurora, CO USA (DLG)



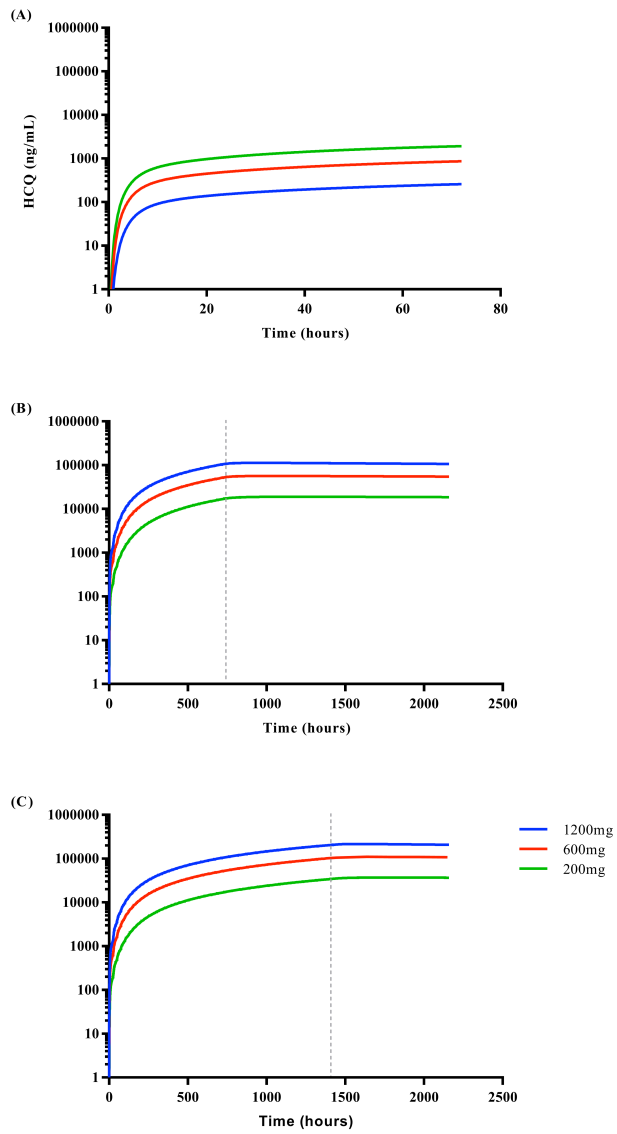
Supplementary Figure 1. Simulated lysosomal concentrations of HCQ in mouse liver (A), kidney (B), and gut (C) following a single IP injection of 20, 40, or 80mg/kg.



Supplementary Figure 2. Simulated concentrations of HCQ in human liver, kidney, and gut following a single oral dose of 200mg (A), 400mg (B), or IV infusion of 200mg (C), and 400mg (D).



Supplementary Figure 3. Simulated lysosomal concentrations of HCQ in human liver, kidney, and gut following a single oral dose of 200mg (A), 400mg (B), or IV infusion of 200mg (C), and 400mg (D).



Supplementary Figure 4. Simulated concentrations of HCQ in human eyes after a single oral dose (A), 30 days of once daily oral dosing (B), and 60 days of once daily oral dosing (C) with 200, 600, and 1200mg HCQ. Grey dotted lines indicate the time when daily dosing was discontinued.