

**Distinct regulation of sigma-1 receptor multimerization by its agonists and antagonists in  
transfected cells and rat liver membranes**

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d) Nonstandard abbreviations:

ANOVA: analysis of variance

BD1008: *N*-[2-(3,4-dichlorophenyl)ethyl]-*N*-methyl-2-(1-pyrrolidinyl)ethylamine

dihydrobromide

BD1047: *N*-[2-(3,4-dichlorophenyl)ethyl]-*N*-methyl-2-(dimethylamino)ethylamine dihydrobromide

BD1063: 1-[2-(3,4-dichlorophenyl)ethyl]-4-methylpiperazine dihydrochloride

BRET: Bioluminescence resonance energy transfer

CM304: 3-(2-(azepan-1-yl)ethyl)-6-(3-fluoropropyl)-1,3-benzothiazol-2-one hydrochloride

DSP: dithiobis(succinimidyl propionate)

DTG: 1,3-di-*o*-tolylguanidine

FRET: Förster resonance energy transfer

GDN: glyco-diosgenin

HEK293: human embryonic kidney 293

kD: kilodalton

MW: molecular weight

NE-100: 4-methoxy-3-(2-phenylethoxy)-*N,N*-dipropylbenzeneethanamine monohydrochloride

PAGE: polyacrylamide gel electrophoresis

PBS: phosphate-buffered saline

PBSCM: PBS with CaCl<sub>2</sub> and MgCl<sub>2</sub>

(+)Pent: (+)-pentazocine

PFO: perfluorooctanoic acid

PRE-084: 2-(4-morpholinethyl) 1-phenylcyclohexanecarboxylate hydrochloride

SEM: standard error of mean

SLS: sodium lauroyl sarcosinate

(+)SKF 10047: (+) *N*-allylnormetazocine (NANM) hydrochloride

TCEP: tris(2-carboxyethyl)phosphine

TM: transmembrane domain

$\sigma_1$ R:  $\sigma_1$  receptor

FH- $\sigma_1$ R:  $\sigma_1$  receptor with N-terminal Flag and 2xHis<sub>8</sub> tags

e) Recommended section assignment: Cellular and Molecular

## Abstract

Extensive studies have shown that the sigma-1 receptor ( $\sigma_1R$ ) interacts with and modulates the activity of multiple proteins with important biological functions. Recent crystal structures of  $\sigma_1R$  as a homo-trimer differ from a dimer-tetramer model postulated earlier. It remains inconclusive whether ligand binding regulates  $\sigma_1R$  oligomerization. Here novel non-denaturing gel methods and mutational analysis were used to examine  $\sigma_1R$  oligomerization. In transfected cells  $\sigma_1R$  exhibited as multimers, dimers and monomers. Overall  $\sigma_1R$  agonists decreased, whereas  $\sigma_1R$  antagonists increased  $\sigma_1R$  multimers, suggesting that agonists and antagonists differentially affect the stability of  $\sigma_1R$  multimers. Endogenous  $\sigma_1R$  in rat liver membranes also showed similar regulation of oligomerization as in cells. Mutations at key residues lining the trimerization interface (Arg119, Asp195, Phe191, Trp136, and Gly91) abolished multimerization without disrupting dimerization. Intriguingly, truncation of the N-terminus reduced  $\sigma_1R$  to apparent monomer. These results demonstrate that multiple domains play crucial roles in coordinating high-order quaternary organization of  $\sigma_1R$ . The E102Q  $\sigma_1R$  mutant implicated in juvenile amyotrophic lateral sclerosis formed dimers only, suggesting that dysregulation of  $\sigma_1R$  multimeric assembly may impair its function. Interestingly, oligomerization of  $\sigma_1R$  was pH dependent and correlated with changes in [ $^3H$ ](+)-pentazocine binding affinity and  $B_{max}$ . Combined with mutational analysis, it is reasoned that  $\sigma_1R$  multimers possess high-affinity and high-capacity [ $^3H$ ](+)-pentazocine binding, whereas monomers likely lack binding. These results suggest that  $\sigma_1R$  may exist in interconvertible oligomeric states in a dynamic equilibrium. Further exploration of ligand-regulated  $\sigma_1R$  multimerization may provide novel approaches to modulate the function of  $\sigma_1R$  and its interacting proteins.

## Significance statement

The  $\sigma_1$ R modulates the activities of various partner proteins. Recently crystal structures of  $\sigma_1$ R were elucidated as homotrimers. This study used novel non-denaturing gel methods to examine  $\sigma_1$ R oligomerization in transfected cells and rat liver membranes. Overall agonist binding decreased whereas antagonist binding increased  $\sigma_1$ R multimers, which comprised trimers and larger units.  $\sigma_1$ R multimers were shown to bind [ $^3$ H](+)-pentazocine with high-affinity and high-capacity. Further, mutational analysis revealed a crucial role of its N-terminal domain in  $\sigma_1$ R multimerization.

## Introduction

The sigma receptor ( $\sigma$ R) was named after the distinct behavioral signs induced by SKF10047 (N-allylnormetazocine) in a chronic spinal dog preparation (Martin et al., 1976). However, molecular cloning identified a 25-kD membrane protein as the sigma-1 receptor ( $\sigma_1$ R) (Hanner et al., 1996; Jbilo et al., 1997). Its sequence is highly conserved in evolution, but distinct from opioid receptors as originally proposed. Multiple alternative splice variants of  $\sigma_1$ R have been characterized (Pan et al., 2017), including an isoform lacking exon 3 ( $\Delta$ E3) which encodes amino acids (aa) 119–149 (Ganapathy et al., 1999).

Extensive studies have shown that  $\sigma_1$ R can interact with and modulate the activity of a plethora of partner proteins, including channels, receptors, and transporters (Hayashi and Su, 2001; Aydar et al., 2002; Hayashi and Su, 2007; Wu and Bowen, 2008; Carnally et al., 2010; Kim et al., 2010; Navarro et al., 2010; Balasuriya et al., 2012; Kourrich et al., 2013; Srivats et al., 2016; Hong et al., 2017; Sambo et al., 2017; Thomas et al., 2017; Schmidt and Kruse, 2019) that play important roles in cellular homeostasis and neuronal signaling. The majority of  $\sigma_1$ R protein is located in endoplasmic reticulum, particularly mitochondria-associated ER membranes (Hayashi and Su, 2007), which are critical sites to modulate energy balance, calcium regulation, and stress response. Several  $\sigma_1$ R mutations have recently been implicated in juvenile amyotrophic lateral sclerosis (ALS) and distal hereditary motor neuropathies. Molecular mechanisms underlying such motor neuron deficits have been studied intensively, and aberrant  $\sigma_1$ R expression and function appear to be crucial in these conditions (Al-Saif et al., 2011; Bernard-Marissal et al., 2015; Li et al., 2015; Gregianin et al., 2016; Watanabe et al., 2016; Dreser et al., 2017).

Many clinical drugs and synthetic compounds with diverse structures exhibit varying affinities for  $\sigma_1$ R (Matsumoto, 2007; Cobos et al., 2008; Maurice and Su, 2009; Chu and Ruoho, 2016), and appear to share a limited pharmacophore consensus (Walker et al., 1990; Ablordeppey and Glennon, 2007; Newman and Coop, 2007; Weber and Wunsch, 2017). Several candidate endogenous ligands have been proposed over the years, including neurosteroids (Su et al., 1988; Bergeron et al., 1996), sphingosine (Ramachandran et al., 2009) and N,N-dimethyltryptamine (Fontanilla et al., 2009), but these hypotheses have not been conclusively confirmed. Traditionally  $\sigma_1$ R ligands have been classified as agonists or antagonists, depending upon whether they produce or block certain cellular, physiologic or behavioral responses. Although affinities of these ligands for  $\sigma_1$ R have been extensively studied using traditional binding techniques, molecular mechanisms for agonists or antagonists to induce distinct changes of  $\sigma_1$ R remain largely unknown.

The ability to modulate  $\sigma_1$ R function with different ligands has made it an attractive target for developing novel therapeutic strategies. It has been shown that  $\sigma_1$ R agonists have ameliorative effects in several animal models of neurodegenerative disorders, such as Alzheimer's disease (Lahmy et al., 2013; Maurice and Gogvadze, 2017; Ryskamp et al., 2019), Parkinson's disease (Francardo et al., 2014), Huntington's disease (Ryskamp et al., 2017), and retinal degeneration (Wang et al., 2016), whereas  $\sigma_1$ R antagonists have pain-relief effects (Merlos et al., 2017). Accumulating evidence also suggest that  $\sigma$ Rs are critically involved in cellular adaptive mechanisms elicited by psychostimulants (Cai et al., 2017; Katz et al., 2017) and alcohol (Sabino and Cottone, 2017). Therapeutic potentials of  $\sigma_1$ R antagonists have been explored in rodent models of cocaine or methamphetamine addiction (Hiranita et al., 2011; Robson et al., 2014; Sambo et al., 2017).



Whether  $\sigma_1$ R possesses one or two transmembrane domain (TM) has been controversial (Hanner et al., 1996; Aydar et al., 2002; Hayashi and Su, 2007). In recent years atomic force microscopy and solution nuclear magnetic resonance methods were employed to explore  $\sigma_1$ R structures (Carnally et al., 2010; Balasuriya et al., 2012; Ortega-Roldan et al., 2013). Breakthrough on the crystal structures of  $\sigma_1$ R has elucidated its homo-trimer organization, with each protomer containing a single TM and a cytoplasmic ligand-binding pocket (Schmidt et al., 2016). Such structural architecture differs from a dimer-tetramer model postulated by early work (Chu and Ruoho, 2016). Further, crystal structures of  $\sigma_1$ R bound with agonist (+)-pentazocine or antagonist haloperidol showed similar homo-trimer organization, with limited conformational rearrangement (Schmidt et al., 2018), suggesting that trimers may be the lowest free energy state of  $\sigma_1$ R during crystallization. It remains unclear whether ligand binding affects the native high-order organization of  $\sigma_1$ R. This study examined  $\sigma_1$ R oligomerization using molecular, biochemical and pharmacological techniques. The results show that multiple domains on  $\sigma_1$ R coordinate its multimerization. Further, agonists and antagonists dynamically regulate  $\sigma_1$ R oligomerization in distinct manners, and quaternary structures of  $\sigma_1$ R significantly impact ligand binding.

## Materials and Methods

*Chemicals, radioligands and antibodies.* Sources of reagents are as follows: (-)-cocaine HCl, (+)-pentazocine succinate, (+)-SKF 10,047, National Institute on Drug Abuse (NIDA) Drug Supply Program; PRE-084, Tocris (Minneapolis, MN); DTG, BD1008, BD1047, BD1063, NE-100, gifts from Dr. Jonathan L. Katz, CM304, gift from Dr. Christopher R. McCurdy; *d*-erythro-sphingosine, dehydroepiandrosterone, haloperidol, Cayman chemicals (Ann Arbor, MI); BCA protein kit, Pierce (Rockford, IL); PFO, SLS, TCI America (Portland, OR); GDN, Anatrache (Maumee, OH); all other chemicals, Sigma-Aldrich (St. Louis, MO) or Fisher Scientific (Pittsburgh, PA); glutathione-conjugated Sepharose beads, GE Healthcare (Pittsburgh, PA); [<sup>3</sup>H](+)-Pentazocine (NET-1056, 26.9 Ci/mmol), Perkin Elmer (Boston, MA); anti-Flag rat mAb L5, anti-HA, mAb HA11, anti-Myc mAb 9E10, HRP-conjugated secondary antibodies, Biolegend (San Diego, CA); anti- $\sigma_1$ R mAb clone B5, Santa Cruz Biotechnology (Santa Cruz, CA).

*DNA subcloning and stable expression cell lines.* The coding sequences of human  $\sigma_1$ R cDNA were subcloned into CMV promoter-based mammalian expression plasmids expressing N-terminal fusion of HA, Myc or FLAG-2xHis<sub>8</sub> tags (Hong et al., 2017).  $\sigma_1$ R mutants were generated using the QuikChange method, verified by standard DNA sequencing procedures. Plasmids DNA were linearized, transfected into cells using TransIT LT1 reagent (Mirus Bio, Madison, WI) to isolate G418-resistant clones. Alternatively, cells were transiently transfected using PolyJet (SignaGen, Rockville, MD). Cells were then cultured in DMEM with 10% FBS (Sigma), penicillin-streptomycin in humidified incubators with 5% CO<sub>2</sub> at 37°C. G418 (0.5 mg/ml) was included for stable lines, and expression of  $\sigma_1$ R was verified by immunoblot using antibodies against  $\sigma_1$ R or epitope tags.

*Rat liver membrane preparations.* Fresh liver tissues from mixed gender Sprague-Dawley rats (BioIVT, Hicksville, NY) were rinsed with cold PBS, cut into small pieces with a razor blade, washed with ice-cold sucrose-phosphate buffer (SPB, 0.32 M sucrose, 7.74 mM Na<sub>2</sub>HPO<sub>4</sub>, 2.26 mM NaH<sub>2</sub>PO<sub>4</sub>, pH 7.4), and resuspended in SPB (1:10 ratio, w/v in g:ml). Tissues were homogenized in a glass homogenizer with a motor-driven Teflon pestle at 2,000 rpm for 20 strokes, and centrifuged at 1,000 g for 10 min at 4°C. The supernatant was centrifuged at 10,000 g for 10 min at 4°C. The resulting pellet was resuspended in SPB by vortexing (1:4 w:v), aliquoted, and frozen in liquid N<sub>2</sub>.

*Drug treatment.* Confluent cells in 12-well plates were incubated at 37°C in culture medium with  $\sigma_1$ R ligands for 1 h (Fig. 1A, B, C, Fig. 2A, B), or antagonists for 0.5h, followed by agonist for 1 h (Fig. 1D, E). Cells were then washed with cold PBSCM, harvested and incubated with GDN-Tris lysis buffer (0.1% GDN, NaCl 150 mM, EDTA 1 mM, Tris 10 mM, pH 7.5, and protease inhibitors) for 2 h at 4°C, followed by centrifugation at 20,000 g for 20 min. The resulting supernatants were used as GDN lysates. In Fig. 3, GDN lysates of cells were incubated with drugs overnight on ice before gel analysis.

Liver membranes were thawed and divided into equal portions in microfuge tubes. Drugs were added to tubes and incubated at 37°C for 2 h. Tubes were centrifuged at 15,000 g for 10 min at 4°C, the pellets were lysed similarly as cells, except the GDN-HEPES lysis buffer used 20 mM HEPES to replace Tris.

*Analysis of  $\sigma_1$ R multimeric states by PFO-PAGE and SLS-PAGE.* GDN lysates were mixed with an equal volume of 2x sample buffer (40% glycerol, bromophenol blue 0.005%, Tris 100 mM, 8% PFO or 4% SLS, pH 7.5) to a final concentration of 4% PFO or 2% SLS, and heated at 37°C for 10 min. Samples were run in 5-15% polyacrylamide Tris-glycine gels

(running buffer: 0.1% PFO or 0.1% SLS, 25 mM Tris, 192 mM glycine, pH 8.3). Proteins were transferred to PVDF membranes and immunoblotted with Flag, HA or  $\sigma_1R$  antibodies. Chemiluminescent signals were captured with a MultiImage III device (Alpha Innotech, San Leandro, CA) as digital TIFF images without pixel saturation. Integrated densities of bands were quantified using the NIH ImageJ software and normalized to percent of vehicle. The following proteins (from Sigma-Aldrich) were mixed with PFO or SLS sample buffer and used as molecular standards: egg white lysozyme (14 kD), ovalbumin (45 kD), BSA (66 kD monomer, 132 kD dimer), bovine liver catalase (240 kD) and equine apoferritin (443 kD). Due to stable expression of tagged  $\sigma_1R$ , variances of  $\sigma_1R$  levels in cell lysates within each experiment were usually minimal. GDN lysates were also mixed with 4 x SDS sample buffer, heat at 85°C for 10 min, run in SDS-PAGE, transferred and blotted to verify equal loading of total  $\sigma_1R$ .

*Crosslinking of  $\sigma_1R$ .* GDN-HEPES lysates from cells or rat liver were incubated with 0.2 to 1 mM DSP (a bifunctional, primary amine-reactive crosslinker with a cleavable disulfide bond) at 25°C for 1 h, mixed with SDS sample buffer without DTT, heated at 85°C for 10 min, and run in SDS-PAGE. A parallel set of lysates were treated with DSP, and further incubated with 20 mM TCEP at 25°C for 0.5 h to reduce the disulfide bond in DSP.

*[<sup>3</sup>H](+)-Pentazocine binding in GDN-solubilized cell lysates.* Transfected HEK293 cells were harvested from 150 mm dishes, lysed in GDN-Tris buffer at 4°C with gentle shaking, and centrifuged at 20,000 g for 30 min. Supernatants were collected and adjusted to final pH values of approximately 6, 7.5, or 9, by diluting with appropriate combinations of 1 M solutions of Tris-HCl (pH 7.5), Tris base (pH 10.4) or Tris-HCl (pH 4.7) to final 10 mM Tris, and GDN was supplemented to 0.02%. Binding reactions were set up in polystyrene tubes, containing 200  $\mu$ l diluted lysates and 50  $\mu$ l drugs (5 nM [<sup>3</sup>H](+)-pentazocine and various concentrations of

competing ligands). After overnight incubation on ice, 4 ml ice-cold Tris buffer (10 mM, pH 7.5) were added to each tube, and the mixture was rapidly filtrated onto 0.05% polyethylenimine-soaked GF/B filter papers using an M-24 harvester (Brandel Instruments, Gaithersburg, MD), followed by three washes of 3 ml Tris buffer. Dried filters were soaked with 3 ml liquid scintillation cocktail overnight, and measured for radioactivity using a Tri-Carb 2900TR liquid scintillation counter (Perkin-Elmer) at 45% efficiency. Non-specific binding measured in the presence of 10  $\mu$ M haloperidol was generally <10% of total binding for WT  $\sigma_1$ R, and subtracted from total counts to obtain specific binding. Data were analyzed using GraphPad Prism (San Diego, CA) for non-linear regression to derive  $B_{\max}$  or  $K_d$  values.

## Results

Previously we reported a non-denaturing gel method which detected  $\sigma_1$ R monomer, dimer, or high molecular weight (MW) multimers, based on their apparent electrophoretic mobility. The proportion of  $\sigma_1$ R multimers was decreased by the agonist (+)-pentazocine, but increased by the antagonist CM304 (Hong et al., 2017). In this assay, during gel electrophoresis SDS was replaced by perfluorooctanoic acid (PFO), a mild detergent shown to preserve protein oligomers (Ramjeesingh et al., 1999; Penna et al., 2008).

Following literature review  $\sigma_1$ R drugs that are well-characterized as agonists or antagonists were selected for this study. HEK293 cells were stably transfected with the wild-type (WT) human  $\sigma_1$ R containing N-terminal Flag and 2xHis<sub>8</sub> tags (FH- $\sigma_1$ R, predicted MW of 32 kD: 25 kD  $\sigma_1$ R + 7 kD tags with linker), and cultured to confluency in multi-well plates to minimize samples variability. Cells then were incubated with drugs in culture medium at 37°C for 1 h, washed, and solubilized using a mild detergent glyco-diosgenin (GDN). Lysates were run in PFO-PAGE and immunoblotted with Flag antibodies. The two lower-MW bands matched the estimated size of  $\sigma_1$ R monomer (comprising one  $\sigma_1$ R polypeptide or protomer) and dimer (comprising two protomers). High-MW diffused bands likely represented multiple forms, apparently larger than trimers (three promoters), thus termed as “multimers” in this study. Oligomerization of  $\sigma_1$ R is defined as assembly from monomers to any form containing at least two  $\sigma_1$ R protomers, whereas multimerization specifically refers to formation of  $\sigma_1$ R complexes containing three and more protomers.

$\sigma_1$ R agonists (+)-pentazocine, 1,3-Di-*o*-tolylguanidine (DTG), (+)-SKF10047, and cocaine all significantly decreased  $\sigma_1$ R multimer band density, with (+)-pentazocine producing largest effect ( $32 \pm 4\%$  of vehicle, Fig. 1A). In contrast,  $\sigma_1$ R antagonists (BD1063, BD1047,

BD1008, and haloperidol) all significantly increased the band density of  $\sigma_1$ R multimers above two-fold of that in vehicle (Fig. 1B), resembling effects of CM304. As they mostly have nanomolar affinities for  $\sigma_1$ R, low micromolar concentrations of these drugs were likely sufficient to permeate through cell membranes and occupy most of intracellular  $\sigma_1$ R sites during incubation, and induce significant effects on its multimerization.

Distinct effects of (+)-pentazocine were dose-dependent, ranging from 0.1 to 2  $\mu$ M (Fig. 1C). Further, pre-exposure of antagonists (haloperidol, BD1008 or BD1063) in these cells for 0.5 h blocked (+)-pentazocine's effects (Fig. 1D&E). Notably, these drugs did not change the total pool of  $\sigma_1$ R (shown in SDS-PAGE), but altered the proportion of multimers to dimers and monomers. Dose-dependent effects of haloperidol (0.1 to 1  $\mu$ M) on reversing (+)-pentazocine's effects were also shown in PFO-PAGE (Yano et al., 2018). These data showed that generally  $\sigma_1$ R agonists and antagonists induced opposite effect on  $\sigma_1$ R multimerization. PRE-084 and NE-100 appeared to produce effects as agonist or antagonist, respectively, although not statistically significant.

Other mild detergents were then explored. If lysates were mixed with 2% sodium deoxycholate, only high-MW  $\sigma_1$ R multimeric bands were seen (data not shown). Fortuitously, replacing 4% PFO with 2% sodium lauroyl sarcosinate (SLS) (Reichel, 2012), an ionic detergent less stringent than SDS, yielded remarkable results.  $\sigma_1$ R mainly showed as monomer and multimer bands, with the dimer band largely absent. Consistent with results in PFO-PAGE, (+)-pentazocine decreased, whereas haloperidol increased  $\sigma_1$ R multimers in SLS-PAGE (Fig. 2A). However, this assay had a higher sensitivity. Haloperidol increased  $\sigma_1$ R multimer band densities to approximately four-fold of vehicle, and (+)-pentazocine decreased  $\sigma_1$ R multimer bands to  $23 \pm 4\%$  of vehicle. Further, in SLS-PAGE significant effects on  $\sigma_1$ R multimeric band densities by

NE-100 ( $157 \pm 19\%$  of control) and PRE-084 ( $67 \pm 7\%$  of control, Fig. 2B) were revealed. Interestingly,  $\sigma_1$ R multimers appeared as multiple high-MW smeared bands, but apparently larger than 100 kD, suggesting that transfected  $\sigma_1$ R might exist in multimeric forms larger than homotrimers.

As most  $\sigma_1$ R is located on intracellular membranes, during incubation drugs permeated through cell membranes to bind  $\sigma_1$ R, and most likely they remained bound to  $\sigma_1$ R during cell lysis, since (+)-pentazocine and haloperidol were shown to dissociate very slowly from  $\sigma_1$ R (dissociation  $t_{1/2} > 3$  h) using traditional radioligand off-rate method (Bowen et al., 1993) or scintillation proximity assay (Schmidt et al., 2018). Further, if lysates from drug-naïve cells were incubated with drugs overnight on ice, similar effects as those in preincubation were seen (Supplemental Fig. 1). Lastly, FH- $\sigma_1$ R cell lysates exhibited robust binding of [ $^3$ H](+)-pentazocine (Fig. 7), suggesting that GDN solubilization, to a large extent, preserved active conformations of  $\sigma_1$ R capable of ligand binding.

These features facilitated examination of effects by potential endogenous ligands of  $\sigma_1$ R. Several candidates have been proposed, including progesterone (Su et al., 1988), dehydroepiandrosterone (Bergeron et al., 1996), and *d*-erythro-sphingosine (Ramachandran et al., 2009). Due to their limited water solubility, it was difficult to incubate cells at concentrations close to their binding affinities for  $\sigma_1$ R (high nM to low  $\mu$ M). However, these lipids could be dissolved in ethanol at 10 mM, then mixed with GDN-solubilized FH- $\sigma_1$ R cell lysates to achieve final concentrations of 10 to 100  $\mu$ M. Following overnight incubation on ice, lysates were then subjected to SLS-PAGE. Compared with vehicle treatment (0.5% ethanol), progesterone (10 and 50  $\mu$ M) significantly increased  $\sigma_1$ R multimers ( $144 \pm 19\%$  and  $207 \pm 26\%$  of vehicle, Fig. 3). Dehydroepiandrosterone and *d*-erythro-sphingosine appeared to induce a slight, dose-dependent



decrease of  $\sigma_1$ R multimers, albeit not statistically significant. Another proposed endogenous ligand for  $\sigma_1$ R, N,N-dimethyltryptamine (Fontanilla et al., 2009), as a Schedule I controlled substance is not available at the current institution and not tested.

In PFO-PAGE and SLS-PAGE, GDN lysates typically were mixed with PFO or SLS and heated at 37°C 10 min before gel analysis. Distinct effects of agonists and antagonists suggest that they differentially affect the stability of  $\sigma_1$ R multimers. To test this idea, GDN lysates from drug-treated FH- $\sigma_1$ R cells were mixed with PFO or SLS loading buffer, incubated at four different temperatures (25°C, 37°C, 50°C, and 70°C) for 10 min, before analyzed in PFO-PAGE or SLS-PAGE. The proportion of  $\sigma_1$ R multimer was gradually decreased by rising temperatures before disappearing at 70°C. Compare with vehicle, at each condition (+)-pentazocine consistently decreased, whereas haloperidol increased  $\sigma_1$ R multimers (Fig. 4). Even at 50°C, haloperidol clearly protected  $\sigma_1$ R multimers. Hence, the antagonist haloperidol appeared to enhance the thermostability of  $\sigma_1$ R multimers, while agonist (+)-pentazocine had opposite effects.

Several approaches were used to allay the concern that epitope-tagged  $\sigma_1$ Rs in transfected cells may have different quaternary organizations than native  $\sigma_1$ R. First, effects of different epitope tags in stably transfected HEK293 cells were compared. Distinct effects by (+)-pentazocine and BD1008 were preserved for HA-tagged  $\sigma_1$ R (Supplemental Fig. 2A) in PFO-PAGE. Second, HEK293 cells were transiently transfected to express FH- $\sigma_1$ R at different levels. Regardless of high or low expression, BD1008 increased, whereas (+)-pentazocine decreased FH- $\sigma_1$ R multimers in SLS-PAGE and PFO-PAGE (Supplemental Fig. 2B).

Further, whether drugs affect endogenous  $\sigma_1$ R multimerization was examined in rat liver tissues, where  $\sigma_1$ R is enriched (McCann and Su, 1991). Rat liver membranes were incubated

with drugs, and solubilized with GDN lysis buffer. Lysates were then subjected to non-denaturing gel analysis, and immunoblot were probed with a mouse monoclonal antibody for  $\sigma_1$ R (clone B5, Santa Cruz Biotechnology). Different from cell lysates, in PFO-PAGE  $\sigma_1$ R were detected as almost exclusively high MW smear bands, very faint signals of dimer bands based on apparent electrophoretic mobility, and no monomers. Compared with vehicle, (+)-pentazocine and BD1008 appeared to increase or decrease the dimer signal respectively (Fig. 5A). In SDS-PAGE  $\sigma_1$ R in rat liver lysates only showed as a single band of 25 kD, if lysates were mixed with SDS (final 1%) and heated to 85°C. If the mixture was incubated at room temperature (RT) for 1 h, a faint band near 75 kD appeared in BD1008-treated samples (Fig. 5B). The apparent MW of this band was consistent with a  $\sigma_1$ R trimer, suggesting that in liver membranes BD1008-induced  $\sigma_1$ R trimers were stable enough to partially resist SDS treatment at RT. Absence of non-specific bands validated this antibody for detecting native  $\sigma_1$ R.

Most importantly, drug effects were convincingly shown when samples were run in SLS-PAGE (Fig. 5C). Compared with vehicle, (+)-pentazocine significantly decreased the proportion of multimers in total  $\sigma_1$ R proteins, with a concomitant increase in  $\sigma_1$ R monomers. In contrast, BD1008 had a significant effect opposite to (+)-pentazocine (Fig. 5C&D). These drug effects were very similar to those seen in transfected HEK293 cells (Fig. 2), but the difference in  $\sigma_1$ R multimer MW was worth noting. In rat liver lysates these bands appeared to range from approximately 70 kD (possible trimer) to beyond 400 kD, with the highest density near approximate 100 kD, but those from cells appeared to have larger MW sizes (Fig. 2). This suggested that high-order quaternary organization of native or heterologously overexpressed  $\sigma_1$ R might not be the same, but drug effects on  $\sigma_1$ R multimerization were preserved overall. It should be cautioned that MW estimation of these bands was limited, due to the nature of these gels.

Crosslinking assays were done to validate the presence of  $\sigma_1$ R multimers. DSP is a bifunctional crosslinker that selectively reacts with primary amines, with a cleavable disulfide bond in its 12Å spacer arm. DSP crosslinking in rat liver GDN lysates induced a 50 kD band after non-reducing SDS-PAGE, which disappeared with TCEP treatment to reduce disulfide-bonds (Supplemental Fig. 3A). Because rat  $\sigma_1$ R has a sole lysine (Lys142) with its side chain solvent accessible, based on human  $\sigma_1$ R crystal structures (Schmidt et al., 2016), the 50 kD band was most likely a dimer formed through crosslinking at Lys142. FH- $\sigma_1$ R has three more lysine residues in its epitope and linker. DSP crosslinking induced multiple high-MW bands. Beside a clear dimer band, two discernible bands were detected at positions corresponding to trimer and tetramer, and smeared bands above 150 kD (Supplemental Fig. 3B). These data suggested that in transfected cells trimers of  $\sigma_1$ R could exist, yet they might undergo further assembly, thus trimer bands were not detected in non-denaturing gels (Fig.1 & 2).

Recent breakthrough on crystal structures of  $\sigma_1$ R shed new light on key residues mediating homotrimer formation (Schmidt et al., 2016). Mutants of  $\sigma_1$ R at these pivotal positions were examined in non-denaturing gels. In WT  $\sigma_1$ R, the benzyl side chains of Phe191 in three protomers form aromatic interaction with each other (Fig. 6A). Mutation of Phe191 to Gly (F191G) removed this interaction and abolished  $\sigma_1$ R multimerization, but appeared to retain dimerization (lane 1, Fig. 6B). The hydrophobic side chain of Trp136 in WT  $\sigma_1$ R interacts extensive with several residues in the neighboring protomer. Substitution of Trp136 with Gly (W136G) showed severely reduced multimerization, and a dimer but no monomer band (lane 2, Fig. 6B). Crystal structures show that Arg119 and His116 of a WT protomer form a network of hydrogen bonds with Asp195 and Thr198 of its neighboring protomers, which is critical in maintaining trimerization interface. Alanine substitution at either positions (R119A or D195A)

abolished multimerization, but preserved dimerization as observed in PFO-PAGE (lane 6 and 7, Fig. 6B). A  $\sigma_1$ R splice variant skipping exon3 ( $\Delta$ E3, encoding aa 119 to 149) showed only as dimer but not multimer in PFO-PAGE (lane 3, Fig. 6B), confirming the essential roles of Arg119 and Trp136 in protomer multimerization.

Genetic studies have identified several  $\sigma_1$ R mutants that are implicated in neurodegenerative diseases with motor neuron deficits. The Glu102Gln (E102Q) mutant is associated with juvenile ALS (Al-Saif et al., 2011). In contrast to WT  $\sigma_1$ R, it failed to form multimers, but appeared as dimer exclusively in PFO-PAGE (lane 5, Fig. 6B). The WT  $\sigma_1$ R showed signals of strong monomer, weak multimer, and very faint dimer in SLS-PAGE, but the E102Q mutant and  $\Delta$ E3 variant exhibited strong dimer signals, suggesting that such sequence alterations abolished  $\sigma_1$ R multimerization, but promoted dimer formation (lane 3 and 5, Fig. 6C). Another disease mutant, Glu138Gln (E138Q) (Gregianin et al., 2016) also appeared to have impaired multimerization (data not shown).

A recent study reported an important role of a GXXXG motif (residues 87-91) in oligomerization of  $\sigma_1$ R. Mutations replacing glycine with residues containing bulky aliphatic side chains appeared to abolish  $\sigma_1$ R multimers but preserve dimers and monomers, as examined in size exclusion chromatography using  $\sigma_1$ R mutants expressed in *E. coli* (Gromek et al., 2014). Two such mutants, Gly91Ile (G91I) and Gly87Leu-Gly88Leu (G87-88L) had low expression levels in transfected cells, as similarly observed in bacterial expression. The G91I mutant showed only as dimer, without multimer or monomer in PFO-PAGE (lane 9, Fig 6B). Weak signals of G87-88L were detected in SLS-PAGE, whereas in PFO-PAGE little if no signals were seen. Overall, these results confirmed the importance of key residues at trimerization interface and the GXXXG motif in  $\sigma_1$ R multimerization.

Further mutational analyses were conducted to explore the dimeric interface. Very weak expression of double mutants (D195A/G91I, D195A/G87-88L, E102Q/G91I, and E102Q/G87-88L) prevented their signal detection in PFO-PAGE, despite faint bands in SLS-PAGE (data not shown). Surprisingly, removing the N-terminal 36 residues ( $\Delta 36$ aa, including the TM region of aa 8 to 32) of  $\sigma_1$ R resulted in a mutant that showed as a distinct monomer in PFO-PAGE and SLS-APGE (lane 11, Fig. 6B&C). The mutant lacking N-terminal 10 residues ( $\Delta 10$ aa) displayed severely impaired oligomerization (lane 16). However, a mutant lacking first 5 residues ( $\Delta 5$ aa) but sparing the TM, retained substantially multimerization (lane 15). Moreover, the E102Q mutant with  $\Delta 5$ aa remained as dimer (lane 14), but was converted to apparent monomer if combined with  $\Delta 36$ aa (lane 13). Similarly,  $\Delta 36$ aa deletion also changed dimeric G91I to monomer (lane 12).

These data suggest that in addition to key residues in the trimerization interface and GXXXG motif, the N-terminal (NT) domain of  $\sigma_1$ R play a crucial role in its multimerization by potentially linking two homotrimers to form a hexamer, or multiple homotrimers to high-order oligomers. In fact, the unit cell organization of  $\sigma_1$ R crystals shows a pair of homotrimers linked together through interactions of two parallel NT domains, each from a protomer of the two neighboring homotrimers (Schmidt et al., 2016). Further evidence was obtained in mutational analysis on  $\sigma_1$ R homomeric interaction by co-transfection of Myc-tagged WT  $\sigma_1$ R and a series of deletion mutants of  $\sigma_1$ R with N-terminal glutathione S-transferase (GST) fusion. Even upon gradual truncations of more than 100 residues in the C-terminus of GST- $\sigma_1$ R, Myc- $\sigma_1$ R was co-enriched by glutathione beads pull-down. However, this interaction was substantially diminished if the NT of  $\sigma_1$ R was deleted (Supplemental Fig. 4).

Next, the impact of  $\sigma_1$ R oligomerization on ligand binding was studied. GDN-solubilized lysates from FH- $\sigma_1$ R cells showed robust [ $^3$ H](+)-pentazocine binding, with a  $K_d$  value ( $37.8 \pm 3.3$  nM, average  $\pm$  SEM) in a similar range to that of  $\sigma_1$ R expressed in Sf9 cell membranes (Schmidt et al., 2016), and a  $B_{max}$  value ( $20.3 \pm 2.3$  pmol/mg protein) several-fold higher than those in native tissues (McCann and Su, 1991; Bowen et al., 1993). Remarkably,  $\sigma_1$ R binding exhibited exquisite sensitivity to pH values in the buffer. Compared with normal buffer of pH 7.5, total binding (in the absence of unlabeled ligands) was markedly enhanced in a basic buffer of pH 9, but reduced in an acidic buffer of pH 6 (Fig. 7A). Kinetic analysis of these homologous competition binding data (Fig. 7B) revealed that in pH 9 there was a significant decrease in  $K_d$  value (i.e., increase in affinity) of (+)-pentazocine, rather than an increase in binding  $B_{max}$  (Fig. 7C,D). In contrast, acidic buffer (pH 6) significantly decreased not only binding affinity but also  $B_{max}$  values of [ $^3$ H](+)-pentazocine. These changes in ligand binding appeared to correlate with the oligomeric states of  $\sigma_1$ R revealed in PFO-PAGE. Compared with control (pH 7.5), there was a significant increase in  $\sigma_1$ R multimers at pH 9, with a concomitant decrease in dimers and monomers (Fig. 7E). An opposite effect was observed at pH 6. These data suggest that ligand binding to  $\sigma_1$ R is significantly affected by its quaternary structures.

Considering multiple oligomeric states of  $\sigma_1$ R, ideally [ $^3$ H](+)-pentazocine binding should be analyzed using a multi-state model. However, computer-assisted non-linear regression of binding data showed that a simple, one-site model would suffice (Fig. 7A,B), and Scatchard plot appeared to be linear (Fig. 7A inset). Statistical comparisons of one-site versus two-site models did not consistently yield clear-cut conclusions.

Reduced capacity to bind [ $^3$ H](+)-pentazocine were observed in GDN lysates of  $\sigma_1$ R mutants deficient in multimerization, including  $\Delta 36aa$ ,  $\Delta E3$ , E102Q, R119A and D195A (Table

1).  $B_{\max}$  values measured in untransfected HEK293 cells was negligible (2% of WT  $\sigma_1$ R-transfected cells, in pmol/mg protein), presumably due to a low level of endogenous  $\sigma_1$ R. The weak expression levels of these mutants in transfected cells confounded the interpretation of their low  $B_{\max}$ . For instance, D195A  $\sigma_1$ R still showed approximately 20% of binding  $B_{\max}$  of WT  $\sigma_1$ R despite its low expression, suggesting that (+)-pentazocine binding was not fully compromised in this dimer-forming mutant. Nevertheless, the monomer-only mutant  $\Delta 36aa$   $\sigma_1$ R did not bind (+)-pentazocine, despite its sufficient expression. Together with observations that pH-sensitivity of (+)-pentazocine binding correlated with changes of  $\sigma_1$ R multimerization (Fig. 7), these data support the hypothesis that  $\sigma_1$ R multimers exhibit most active conformation for high-affinity (+)-pentazocine binding, whereas its monomers likely do not bind (+)-pentazocine.

## Discussion

This study used two non-denaturing gel methods to examine  $\sigma_1$ R oligomerization. In general, agonists decreased  $\sigma_1$ R multimers, whereas antagonists increased multimers (Fig. 1-5). Antagonist binding appeared to stabilize  $\sigma_1$ R multimers, as a higher temperature was required to dissociate  $\sigma_1$ R multimers (Fig. 4).

Although these methods detected multiple high-MW smear bands, they could not determine the stoichiometry of  $\sigma_1$ R multimers. Detergent solubilization might introduce artificial aggregates of  $\sigma_1$ R. However, distinct changes in band signals by ligands argue against this. Further, existence of high-MW  $\sigma_1$ R complex was supported by early purification studies using [ $^3$ H]azido-DTG or [ $^3$ H](+)-SKF10047 as affinity ligands, in which the labeled protein complex under non-denaturing conditions appeared to be approximately 150 or 450 kD (Kavanaugh et al., 1988; McCann and Su, 1991). In blue native gels, purified  $\sigma_1$ R showed as multiple smear bands from 60 to 480 kD (Schmidt et al., 2016).

In rat liver membranes very little dimer and no monomer of  $\sigma_1$ R were present in PFO-PAGE (Fig. 5A), suggesting that native  $\sigma_1$ R multimeric complex was more resistant to extraction by PFO. Distinct drug effects on  $\sigma_1$ R multimerization were optimally demonstrated by SLS-PAGE (Fig. 5C).  $\sigma_1$ R multimers appeared as high-MW bands including possible trimer, tetramer, and beyond. All  $\sigma_1$ R multimers disappeared in denaturing SDS-PAGE. BD1008 induced a weak band of  $\sigma_1$ R trimer if samples were not heated with SDS (Fig. 5B).

Notwithstanding its limitations, these non-denature gel approaches offered a relatively straightforward readout of  $\sigma_1$ R oligomerization. Results on G91I mutant were consistent between PFO-PAGE (Fig. 6B) and size exclusion chromatography (Gromek et al., 2014). Two recent



studies utilized Förster or bioluminescence resonance energy transfer (FRET or BRET) assays to examine ligand effects on  $\sigma_1$ R oligomerization (Mishra et al., 2015; Yano et al., 2018). These assays are advantageous in monitoring the distance between donor- and acceptor-tagged  $\sigma_1$ R in live cells, while PFO-PAGE and SLS-PAGE appear to be more sensitive in detecting effects by some ligands such as BD1047 and DTG.

To induce maximal effects on  $\sigma_1$ R multimerization, most drugs were used at low micromolar concentrations, approximately 100 to 1000-fold of their  $K_i$  values for  $\sigma_1$ R. (+)-Pentazocine showed dose-dependent effects on decreasing  $\sigma_1$ R multimers (Fig. 1C). With a subnanomolar affinity for  $\sigma_1$ R (James et al., 2012), CM304 induced significant effects at 0.1  $\mu$ M. Although 0.45  $\mu$ M drugs were sufficient to stabilize oligomers of  $\sigma_1$ R purified from bacteria (Gromek et al., 2014), 100  $\mu$ M (+)-pentazocine or haloperidol was used in COS-7 cells for FRET analysis (Mishra et al., 2015). Thus, higher concentrations of drugs were necessary to permeate across membranes to bind intracellular  $\sigma_1$ R in cells. Most ligands tested here are relatively selective for  $\sigma_1$ R, but haloperidol also has a high affinity for dopamine  $D_2$  receptors. This action was unlikely involved, because haloperidol had effects on  $\sigma_1$ R multimerization in cold cell lysates (Supplemental Fig. 1).

It is worth noting that effects of PRE-084 and NE-100 on  $\sigma_1$ R multimerization did not correlate with their binding affinities. The binding pocket in  $\sigma_1$ R can accommodate diverse ligands with a charged nitrogen as the central pharmacophore (Ablordeppey and Glennon, 2007). Comparison between  $\sigma_1$ R structures bound with (+)-pentazocine and NE-100 revealed limited conformational rearrangement (Schmidt et al., 2018). These structures likely provided snapshots of  $\sigma_1$ R in its lowest free energy state. However, dynamic conformational changes induced by

different ligands and impact on  $\sigma_1$ R quaternary structures have not been thoroughly examined. Further, drug binding to  $\sigma_1$ R may exhibit different cooperativity. Although (+)-pentazocine fully occupied the binding pocket of each  $\sigma_1$ R protomer in crystal structures, binding kinetic analysis of Flag-tagged  $\sigma_1$ R and molecular dynamics simulation supported a multi-step model of (+)-pentazocine binding (Schmidt et al., 2018). Whether PRE-084 or NE-100 induces different conformational changes or binding cooperativity of  $\sigma_1$ R from (+)-pentazocine will require more sophisticated techniques.

Stabilization of  $\sigma_1$ R multimer by antagonists may be explained by their preferential higher affinity for  $\sigma_1$ R multimers than dimers/monomers. In WT  $\sigma_1$ R haloperidol's  $IC_{50}$  value for competing against [ $^3H$ ](+)-pentazocine binding was approximately 1/10 of those in R119A or D195A mutants (data not shown), which forms only dimers and monomers. However, similar  $K_d$  values (Table 1) was not sufficient to explain why (+)-pentazocine dissociated  $\sigma_1$ R multimers. Other potential mechanisms, such as negative protomer cooperativity for (+)-pentazocine binding, will be pursued in future studies.

As  $\sigma_1$ R interacts with many protein partners and regulates their function (Schmidt and Kruse, 2019), efficacies of  $\sigma_1$ R drugs in functional assays are likely determined by multiple factors at molecular, cellular, and higher integrative levels. (+)-Pentazocine decreased  $\sigma_1$ R's association with BiP (Hayashi and Su, 2007), whereas haloperidol decreased its association with acid sensing ion channels (Carnally et al., 2010), suggesting that different partners may preferentially interact with specific oligomeric forms of  $\sigma_1$ R. Changes in  $\sigma_1$ R oligomerization by ligands can modulate the availability of  $\sigma_1$ R to associate with its partners, but does not fully account for ligands' efficacies in functional assays.

Data in this study suggest that multiple domains coordinate  $\sigma_1$ R oligomerization. Crystal structures of  $\sigma_1$ R have pinpointed critical residues mediating interactions for homotrimerization (Schmidt et al., 2016). Mutation of Arg119 or Asp195 abolished multimerization in non-denaturing gels, confirming a crucial role of hydrogen bonds at these positions. Further, hydrophobic interactions and van der Waals forces involving Phe191 and Trp136 are also pivotal, as removing their aromatic side chains severely impaired multimerization (Fig. 6B,C). The importance of the GXXXG motif (Gromek et al., 2014) was also substantiated by results of G91I  $\sigma_1$ R in PFO-PAGE. As Gly91 is in close proximity (4-5 Å) to Trp136 of a neighboring protomer in  $\sigma_1$ R crystal structures, mutation to bulky side chain disrupted multimerization.

In non-denaturing gels multiple high-MW smeared bands appeared larger than  $\sigma_1$ R homotrimers, suggesting possible high-order organization of  $\sigma_1$ R trimers. A corollary of this scenario would require additional domains to mediate non-covalent assembly of trimeric building blocks. Current data support the hypothesis that the NT of  $\sigma_1$ R serves such a role. Unlike WT  $\sigma_1$ R and the  $\Delta 5$ aa mutant,  $\Delta 10$ aa and  $\Delta 36$ aa mutants yielded only monomers in PFO-PAGE (Fig. 6B), despite their intact trimerization domains. It is tempting to speculate that intact NT interactions are required to initiate  $\sigma_1$ R high-order assembly.

This provocative hypothesis is partly corroborated by  $\sigma_1$ R crystal structures, in which each unit cell comprises two pairs of homotrimers (Schmidt et al., 2016). Both pairs are linked together through interactions of two parallel NT domains, each from a protomer in the two homotrimers (Fig. 6A). The opposite orientation of the two pairs requires their embedding into two lipid bilayers in native membranes, and is possibly an artifact in crystallization. However, dimerization of homotrimers may resemble a form of native  $\sigma_1$ R oligomerization.

Dimer formation after dissociation of multimers may involve assembly of two protomers from neighboring homotrimers via their NT interactions, or rearrangement of two cognate protomers within a homotrimer. Current results on NT truncations favor the first scenario, but do not rule out the latter. Dimer interactions appeared to be preserved by PFO, but disrupted by the more stringent detergent SLS. Persistence of E102Q or  $\Delta E3$   $\sigma_1R$  dimers in SLS-PAGE is perplexing and requires further investigation. The E102Q mutant was shown to be prone to aggregation and have aberrant cellular location (Wong et al., 2016; Dreser et al., 2017). In co-immunoprecipitation assays,  $\Delta E3$   $\sigma_1R$  exhibited altered association with the dopamine transporter than WT  $\sigma_1R$  (Hong et al., 2017), and exerted dominant-negative effects in disrupting association of WT  $\sigma_1R$  with  $\mu$  opioid receptors (Pan et al., 2017). These results suggest that dysregulation of  $\sigma_1R$  quaternary structure impairs its physiological function.

Intriguingly, buffer pH significantly altered the oligomeric states of  $\sigma_1R$  (Fig. 7E). Although the underlying mechanism and physiological significance are beyond the scope of this study, a rudimentary inference is that  $\sigma_1R$  multimers dissociate in acidic lysosomes to facilitate degradation. This serendipitous finding helped to examine how  $\sigma_1R$  oligomerization affected ligand binding. Results (Fig. 7) indicated that  $\sigma_1R$  multimers possess high-affinity and high-capacity binding of [ $^3H$ ](+)-pentazocine. Because  $\Delta 36aa$   $\sigma_1R$  formed monomer exclusively, but lacked binding (Table 1), it was inferred that monomeric  $\sigma_1R$  could not bind (+)-pentazocine. This hypothesis is consistent with a previous study using  $\sigma_1R$  mutants from bacterial expression (Gromek et al, 2014).

In summary, this study demonstrated that multiple domains coordinate the oligomerization of  $\sigma_1R$ . The equilibrium balance between monomers, dimers and multimers of  $\sigma_1R$  is dynamically regulated by agonists or antagonists in distinct manners. Whereas antagonists

promote  $\sigma_1$ R multimerization, agonists facilitate its dissociation (Fig. 8).  $\sigma_1$ R mutants implicated in neurodegenerative diseases displayed aberrant multimerization, suggesting that balance in  $\sigma_1$ R oligomerization is important in its physiological function. Extensive studies have shown that  $\sigma_1$ R associates with a plethora of partner proteins that are involved in diverse cellular signaling pathways. Distinct regulation of  $\sigma_1$ R multimerization by agonists and antagonists may selectively modulate activities of clientele proteins within its interactome network. Future work will shed light on whether  $\sigma_1$ R oligomerization may be precisely controlled by cells' adaptive responses to physiochemical changes in the environment, which in turn may impact on these signaling mechanisms.

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## **Author contributions**

*Participated in research design:* Hong

*Conducted experiments:* Hong

*Performed data analysis:* Hong

*Wrote the manuscript:* Hong

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## Footnotes

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## Figure legends

**Fig. 1** Distinct effects on  $\sigma_1$ R multimerization by agonists or antagonists in PFO-PAGE. HEK293 cells stably transfected with FH- $\sigma_1$ R were treated with drugs at 37°C (in A, B, and C: agonists or antagonists for 1 h; in D & E: antagonists 0.5 h, then (+)-pentazocine 1 h), lysed in GDN-Tris buffer, and run in PFO-PAGE. A) Agonists decreased high-MW multimers. B) Antagonists increased high-MW multimers. C) Dose-dependent effects by agonist (+)-pentazocine or antagonist CM304. D & E) Interaction of agonist and antagonists on  $\sigma_1$ R multimerization, with representative immunoblots. All panels show column graphs (mean  $\pm$  SEM) from multiple experiments (n): A, n = 4 – 7; B, n = 4 – 11; C, n = 3 – 6; D, n = 3; E, n = 4 – 7. \* P < 0.05, \*\* P < 0.01, one-way ANOVA and post-hoc Dunnett's test, compared with vehicle. (+)Pent: (+)-pentazocine; (+)SKF: (+)-SKF10047.

**Fig. 2** Distinct effects on  $\sigma_1$ R multimerization by agonists or antagonists, detected with SLS-PAGE which showed a higher sensitivity than PFO-PAGE. A and B) Samples from FH- $\sigma_1$ R cells pretreated with drugs as in Fig. 1. Representative immunoblots and summary column graphs (mean  $\pm$  SEM) from multiple experiments: A, n = 3 – 6; B, n = 4 – 8. \* P < 0.05, \*\* P < 0.01, one-way ANOVA and Fisher's least significant difference test, compared with vehicle. (+)Pent: (+)-pentazocine.

**Fig. 3** Effects of putative endogenous ligands on  $\sigma_1$ R multimerization. GDN lysates from drug-naïve FH- $\sigma_1$ R cells were incubated with ligands on ice overnight, then run in SLS-PAGE. Representative immunoblots and summary (mean  $\pm$  SEM) from n = 4 – 8 experiments. \* P <

0.05, \*\*  $P < 0.01$ , one-way ANOVA and Fisher's least significant difference test, compared with vehicle. SPG: *d*-erythro-sphingosine; PROG: progesterone; DHEA: dehydroepiandrosterone.

**Fig. 4** (+)-Pentazocine and haloperidol differentially affected the thermostability of  $\sigma_1$ R multimers. FH- $\sigma_1$ R cells were treated with vehicle or drugs (10  $\mu$ M) at 37°C for 1 h before lysis. Cell lysates were mixed with 2X concentrated PFO or SLS loading buffer, incubated for 10 min at 25°C, 37°C, 50°C, and 70°C, run in PFO-PAGE or SLS-PAGE, and immunoblotted with Flag antibody. Representative blots from  $n = 3$  experiments. (+)Pent: (+)-pentazocine; Halo: haloperidol.

**Fig. 5** Analysis of drugs effects on  $\sigma_1$ R multimerization in rat liver membranes. Rat liver membranes were treated with drugs for 2 h at 37°C, followed by lysis with GDN-HEPES buffer. A) In PFO-PAGE  $\sigma_1$ R predominantly showed as smear bands above approximately 100 kD, while a very weak dimer band appeared to be increased following (+)-pentazocine treatment, and decreased by BD1008. B) In SDS-PAGE only 25 kD  $\sigma_1$ R monomer was present if lysates were heated with SDS. However,  $\sigma_1$ R trimer band (75 kD, ►) was revealed in BD1008-treated samples following RT incubation with SDS. C) Significant effects by (+)-pentazocine and BD1008 in SLS-PAGE.  $\sigma_1$ R multimer bands ranged from approximately 70 kD (possible trimer, ►) to above 300 kD, with most density near 100 kD. D) Summary graph showed  $\sigma_1$ R multimer band densities as % of total  $\sigma_1$ R (mean  $\pm$  SEM,  $n = 5$  experiments) in SLS-PAGE. \*\*  $P < 0.01$ , one-way ANOVA and post-hoc Dunnett's test.

**Fig. 6** Mutational analyses show that multiple domains on  $\sigma_1$ R are critical for its multimerization.

A) Structure cartoons of  $\sigma_1$ R with mutated residues annotated. Left: side view of  $\sigma_1$ R homotrimer, with transparent surface presentation and peptide backbone in ribbon. Side chains of specific residues are highlighted. Right: unit cell organization of four  $\sigma_1$ R homotrimers in its crystal structure. Zoomed view: parallel alignment of N-terminal 36 aa from two protomers in neighboring homotrimers. Cartoons are based on PDB code 5HK1 (Schmidt et al., 2016), and generated using UCSF Chimera software. B and C) Distinct electrophoretic migration pattern of  $\sigma_1$ R constructs. GDN lysates from HEK293 cells transiently transfected with WT and mutant FH- $\sigma_1$ R were run in PFO-PAGE and SLS-PAGE, followed by immunoblot detection using Flag antibodies. Representative blots from  $n > 3$  experiments. Constructs are numbered and aligned in both blots, except lanes 1 and 2 were switched in SLS-PAGE. Lack of signals in lanes 8 and 10 of PFO-PAGE was likely due to low expression of these mutants.

**Fig. 7** Correlation of [ $^3$ H](+)-pentazocine binding with  $\sigma_1$ R quaternary structures in different pH buffers. Lysates from GDN-solubilized FH- $\sigma_1$ R cells were incubated overnight on ice in Tris buffer of pH 6, 7.5 or 9, and analyzed in binding assays. Representative raw data in A (inset shows Scatchard plot) and binding isotherms in B. Both: duplicate samples (average  $\pm$  SD). Tot: total binding. C and D) Comparisons of  $B_{\max}$  and  $K_d$  values (mean  $\pm$  SEM,  $n = 4$  experiments, each with duplicates). E) Modulation of  $\sigma_1$ R oligomerization by buffer pH values, as examined in PFO-PAGE. Top: graph showing  $\sigma_1$ R multimer band densities as % of total  $\sigma_1$ R (mean  $\pm$  SEM,  $n = 4$  experiments) in PFO-PAGE; bottom, representative blot. \*  $P < 0.05$ , \*\*  $P < 0.01$ , one-way ANOVA and post hoc Dunnett's test, versus pH 7.5.



**Fig. 8** Hypothesis cartoon based on results in this study and previous reports. Agonists and antagonists differentially affect  $\sigma_1$ R multimerization. High-order organization of  $\sigma_1$ R likely comprises multiple ( $\geq 2$ ) units of homotrimers. Current data suggest a critical role of the N-terminus in mediating formation of multimers beyond homotrimers.

**Table 1.**  $B_{\max}$  and  $K_d$  values of [ $^3\text{H}$ ](+)-pentazocine binding in HEK293 stably transfected with  $\sigma_1\text{R}$  constructs.  $B_{\max}$  values were adjusted to total protein concentrations in lysates. Shown values are mean  $\pm$  SEM from  $n = 3$  experiments, each with duplicates, except WT ( $n = 4$ ), E102Q and  $\Delta\text{E3}$  ( $n = 2$ ).  $B_{\max}$  of endogenous  $\sigma_1\text{R}$  in untransfected HEK293 cells was 2% of that in WT transfected cells, and was subtracted from these values. Note small  $B_{\max}$  values of mutants except  $\Delta 36\text{aa}$  were partly attributed to their lower expression levels than WT (Fig. 6). All had N-Myc tag except FH- $\sigma_1\text{R}$   $\Delta 36\text{aa}$ .

	$\sigma_1\text{R}$					
	WT	$\Delta 36\text{aa}$	R119A	D195A	E102Q	$\Delta\text{E3}$
<b><math>B_{\max}</math></b> (pmol/mg protein)	16.6 $\pm 3.5$	0.08 $\pm 0.05$	1.83 $\pm 0.13$	3.67 $\pm 0.26$	0.99 $\pm 0.06$	0.21 $\pm 0.22$
<b><math>K_d</math></b> (nM)	16.4 $\pm 7.5$	14.0 $\pm 3.2$	9.8 $\pm 1.4$	12.9 $\pm 2.7$	5.0 $\pm 0.6$	17.0 $\pm 14.1$

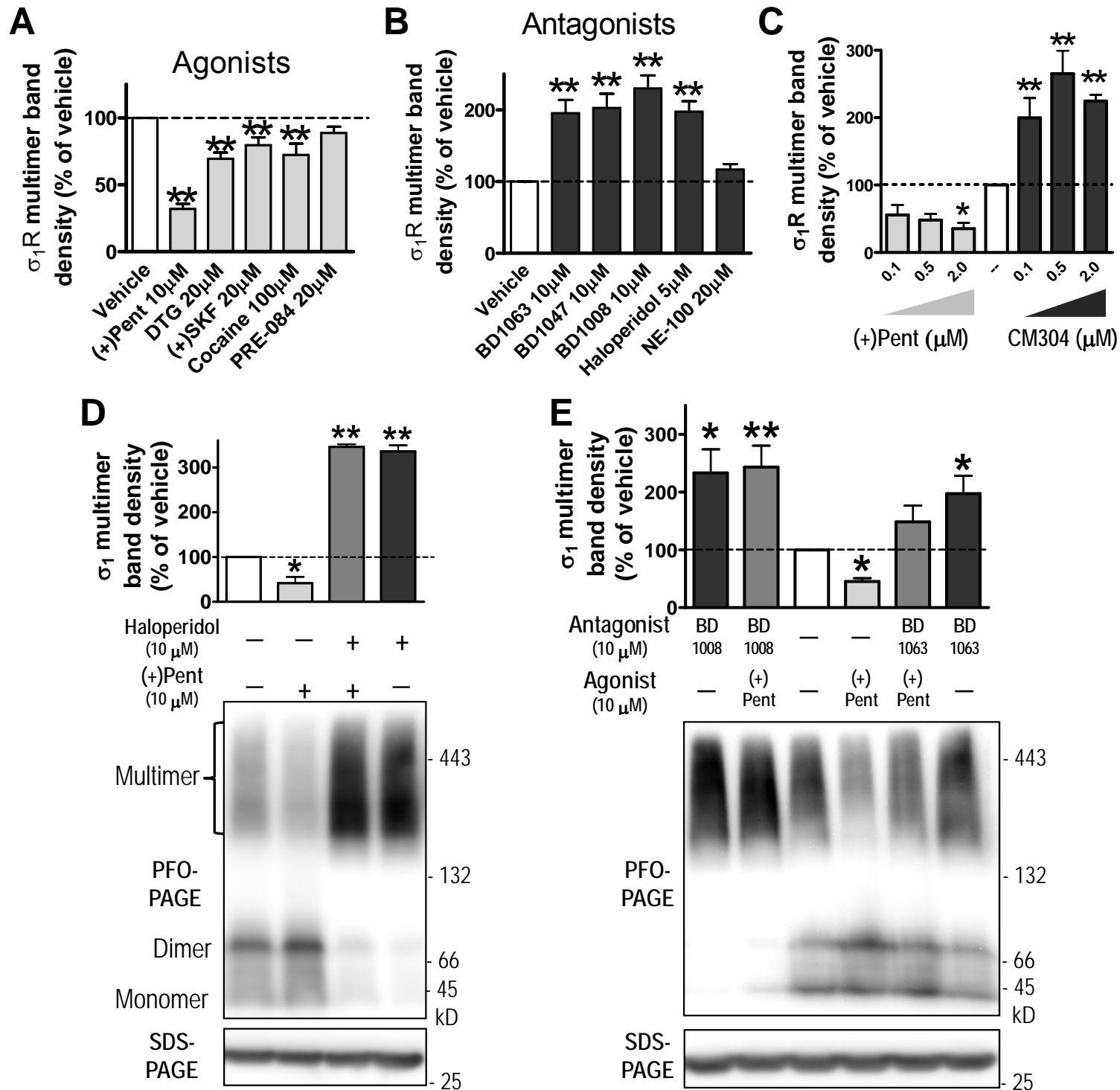


Figure 2

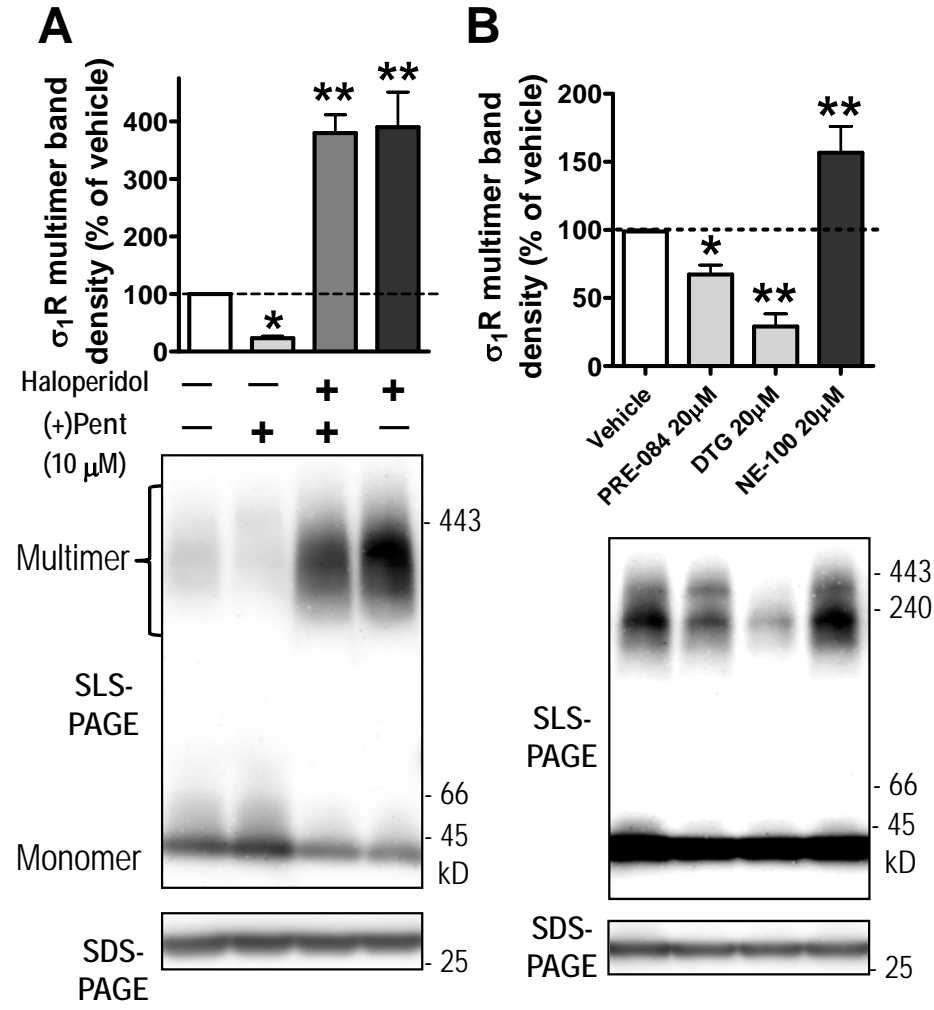


Figure 3

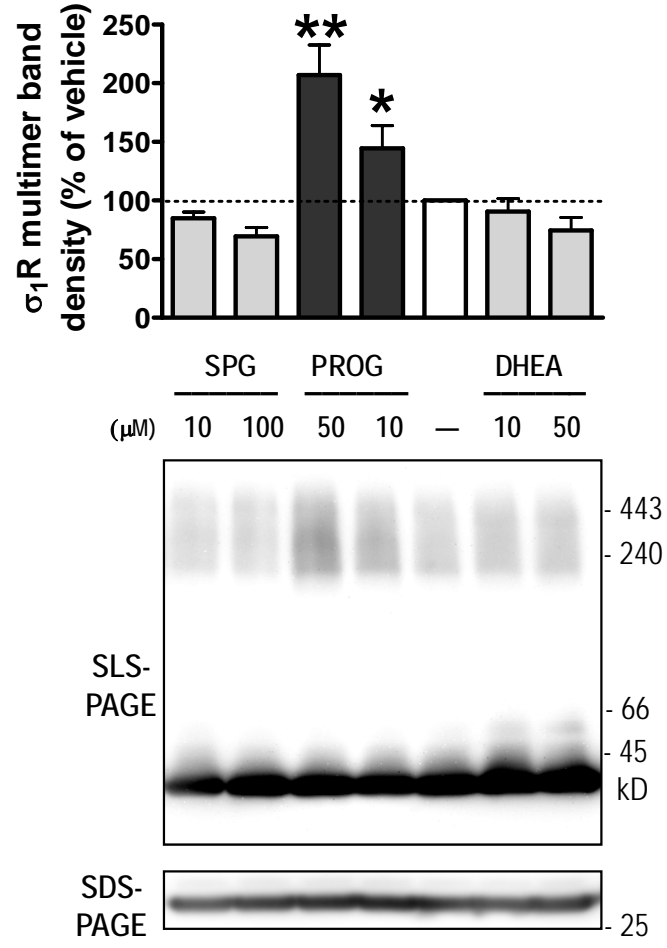


Figure 4

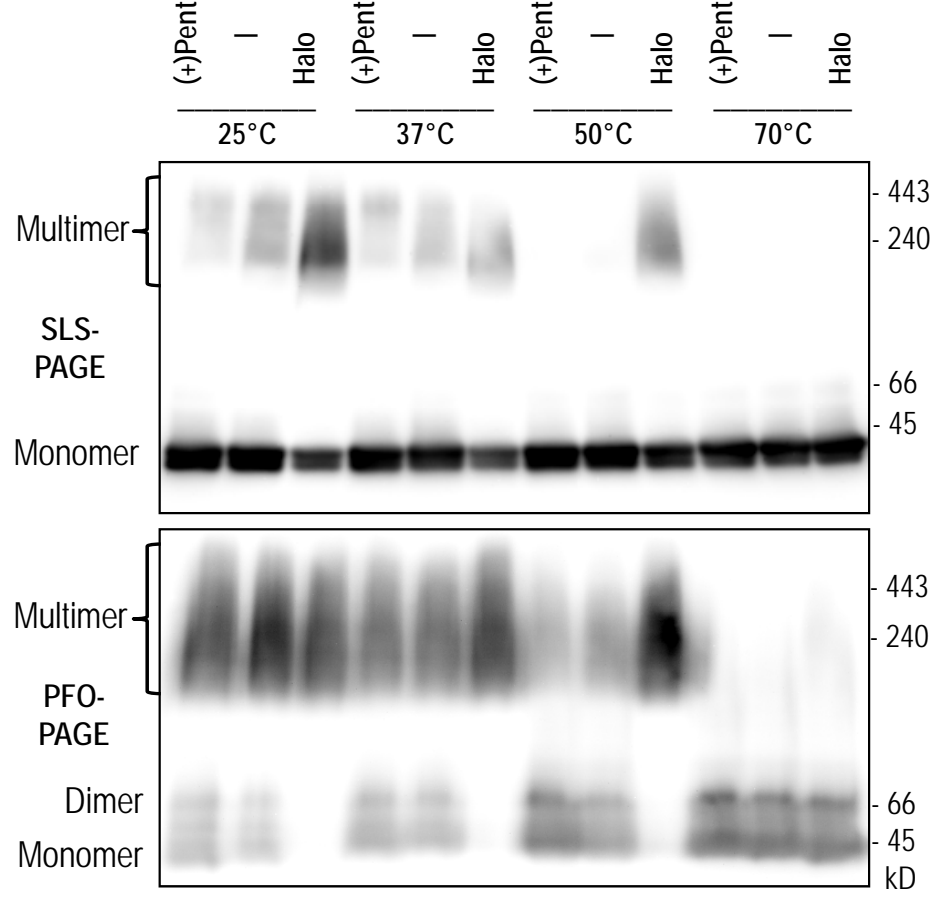


Figure 5

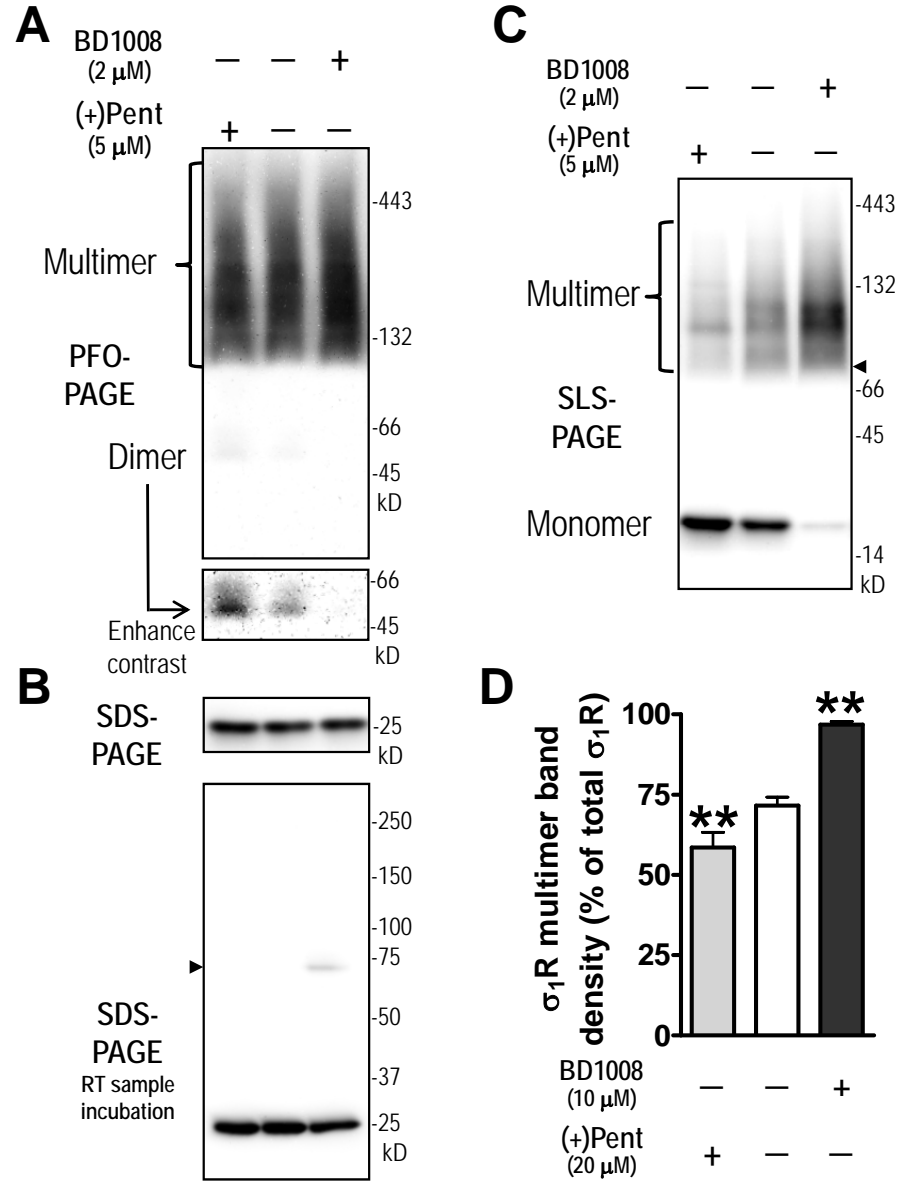


Figure 6

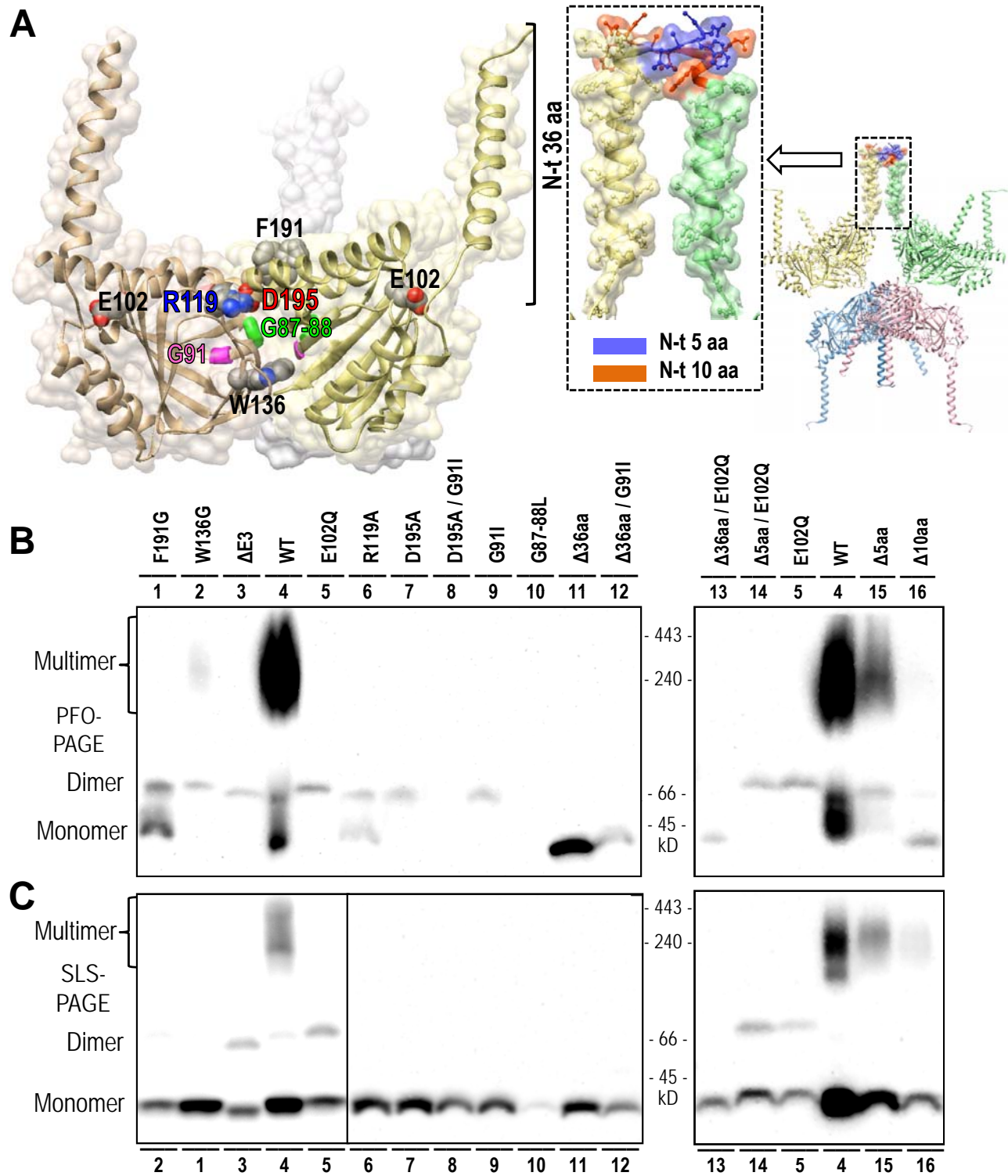




Figure 7

