

Molecular neuroprotection induced by zinc-dependent expression of hepatitis C-derived protein NS5A targeting Kv2.1 potassium channels

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Abbreviations:

DIV, days *in vitro*; DME, Dulbecco's minimum essential medium; HCV, hepatitis C virus; Kv, voltage-gated potassium channel; MEM, minimum essential medium; MHB, minimum essential medium with 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid and bovine serum albumin; MRE, metal regulatory element; MT, metallothionein; MTF-1, metal regulatory element transcription factor 1; NMDA, N-methyl-D-aspartate; NS5A, hepatitis C non-structural protein 5A; RLU, relative light units; ROI, region of interest; TBOA, DL-*threo*- β -benzyloxyaspartic acid.

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Abstract

We present the design of an innovative molecular neuroprotective strategy, and provide proof-of-concept for its implementation, relying on the injury-mediated activation of an ectopic gene construct. As oxidative injury leads to the intracellular liberation of zinc, we hypothesize that tapping onto the zinc-activated metal regulatory element (*MRE*) transcription factor 1 (MTF-1) system to drive expression of the Kv2.1-targeted hepatitis C protein NS5A, will provide neuroprotection by preventing cell death-enabling cellular potassium loss in rat cortical neurons *in vitro*. Indeed, using biochemical and morphological assays, we demonstrate rapid expression of *MRE*-driven products in neurons. Further, we report that *MRE-driven* NS5A expression, induced by a slowly evolving excitotoxic stimulus, functionally blocks injurious, enhanced Kv2.1 potassium whole-cell currents and improves neuronal viability. We suggest this form of “on-demand” neuroprotection could provide the basis for a tenable therapeutic strategy to prevent neuronal cell death in neurodegeneration.

Introduction

There are currently no effective pharmacotherapeutic approaches available to halt or slow the progressive neuronal cell loss evident in neurodegenerative disorders. With this in mind, we provide *in vitro* proof-of-concept for a novel, molecular approach for neuroprotection. This strategy was designed on the basis of three critical underlying concepts that are closely associated with neurodegenerative signaling cascades. *i)* First, the widely reported presence of oxidative stress in the vast majority, if not all, neurodegenerative disorders, a process closely linked to neuronal cell death (Cicero et al., 2017; Liu et al., 2017; Cobley et al., 2018). Importantly, oxidative signals leading to the demise of neurons will always, likely without exception, lead to the liberation of intracellular zinc from metal-binding proteins (Maret, 2008; Maret, 2012; McCord and Aizenman, 2014). *ii)* Second, a large number of cell types undergoing oxidant-induced cell death, including neurons, must lose intracellular potassium (Hughes and Cidlowski, 1999; Montague et al., 1999; Yu et al., 2001; Yu, 2003; Shah and Aizenman, 2014). The loss of potassium provides a “permissive” intracellular environment required for completion of many protease- and nuclease-dependent cell death cascades (Hughes and Cidlowski, 1999). We have shown that in mammalian CNS neurons, the loss of potassium is mediated via a syntaxin-dependent membrane insertion of a large number of Kv2.1 potassium channels (Pal et al., 2003; Pal et al., 2006; Shah and Aizenman, 2014). Critically, preventing the insertion of Kv2.1 into the plasma membrane via a variety of strategies, including blocking the interaction of Kv2.1 with syntaxin, are highly neuroprotective *in vitro* and *in vivo* (McCord et al., 2014a; Yeh et al., 2017). *iii)* Third, when zinc is liberated from a metal-binding protein as a result of oxidative conditions,

cells normally react by inducing the expression of more metal-handling proteins as a homeostatic adaptive response. This is achieved by the binding of the liberated zinc to the metal regulatory element (*MRE*)-binding transcription factor 1 (MTF-1) (Daniels et al., 2002). Zinc, and seemingly only zinc (Daniels et al., 2002; Carpenter and Palmer, 2017), binds specifically to MTF-1 to promote its nuclear translocation, resulting in rapid transcription of *MRE*-driven genes, producing key proteins including metallothionein (MT) and the zinc transporter ZnT1 (Andrews, 2000; Smirnova et al., 2000; Hardyman et al., 2016). Here, we hypothesize that the zinc-activated MTF-1/*MRE* system could be harnessed and adapted to express neuroprotective Kv2.1-targeted proteins, activated by the injurious process itself. That is, neurons would be re-programmed to express a neuroprotective molecule, but only when critically injured during oxidative stress conditions.

In order to begin to translate this innovative idea into practice, we constructed an *MRE*-containing vector driving the expression of the hepatitis C virus (HCV) protein NS5A (non-structural protein 5A; Fig. 1). This protein was first shown to inhibit hepatocyte cell death by suppressing Kv2.1 function and limiting potassium efflux, a process proposed to facilitate viral persistence in the liver (Mankouri et al., 2009). Our group later showed that NS5A inhibited the cell death-inducing rise in functional membrane insertion of Kv2.1 in neurons by preventing a key Src-mediated phosphorylation event necessary for the interaction of the channel with the exocytotic protein syntaxin (Redman et al., 2009; Norris et al., 2012; He et al., 2015). In the present study, we demonstrate rapid expression of *MRE*-driven constructs in neurons following intracellular zinc liberation

and, more importantly, *MRE*-NS5A-mediated suppression of cell death-facilitating potassium currents and neuroprotection following excitotoxic injury.

Materials and Methods

Cortical neuronal cell culture preparation

The Institutional Animal Care and Use Committee of the University of Pittsburgh School of Medicine approved the animal protocol described in this study, performed in accordance with the Guide for the Care and Use of Laboratory Animals as adopted and promulgated by the U.S. National Institutes of Health. Cortical neuronal cultures were prepared from embryonic day 16-17 rats of either sex as described previously (Hartnett et al., 1997; McCord et al., 2014b). Pregnant donor rats (Charles River Laboratories) were euthanized by regulated CO₂ inhalation, an American Veterinary Medical Association approved protocol (Leary et al., 2013). Embryonic cortices were dissociated with trypsin, and plated at 670,000 cells per well on 12 mm poly-L-lysine coated coverslips in six-well plates (35 mm wells) as previously described (Hartnett et al., 1997). Non-neuronal cell proliferation was inhibited with 1-2 μ M cytosine arabinoside at 14 days *in vitro* (DIV). Cultures were utilized for all experimental protocols at 18-25 DIV. At this stage, the cultures contain approximately 20% neurons and 80% glia, most of which are astrocytes (Rosenberg and Aizenman, 1989).

Plasmids utilized

The *MRE*-firefly luciferase construct (*pLuc-MCS/4MREa*) was the gift of D. Giedroc (Indiana University, USA). A vector expressing an NS5A-GFP fusion protein (*pNS5A-GFP*) was the gift of M. Harris (Leeds University, UK). These two vectors were utilized to generate an *MRE*-driven NS5A-GFP plasmid. Briefly, the luciferase gene was excised from *pLuc-MCS/4MREa* by XbaI restriction digest. In its place, an ex-Taq

polymerase PCR-amplified NS5A-GFP flanked with XbaI sites was inserted to create *p4MRE-NS5A-GFP*. The sequence of this construct was verified. The constitutively expressed firefly luciferase vector *pUHC13-3* was kindly provided by H. Bujard (Heidelberg, Germany). The tomato-expressing plasmid *pCMV-dTomato* was a gift from Z. Wills (University of Pittsburgh, USA). The empty vector plasmid *pCDNA3* was a gift from E. Levitan (University of Pittsburgh, USA). Plasmids *pRL-TK* (*Renilla* firefly; Promega, USA) and *pBK* (empty vector; Stratagene, USA) were purchased from commercial vendors. Table 1 summarizes the various plasmids employed in these studies and their specific uses.

Transfection and experimental procedures

We took advantage of the fact that plasmids co-transfected together in neuronal cultures have a high probability (~90%) of expressing together in any given cell (Santos and Aizenman, 2002). Cells were transfected in Dulbecco's minimum essential medium containing 2% HyClone (ThermoFisher, USA) calf serum (DME; 1ml) with 2 μ l Lipofectamine 2000 (Invitrogen, USA), 100 μ l Optimem (Gibco, USA), and 1.5 μ g DNA per 15.5 mm well. For *MRE*-driven luciferase time course experiments, cultures were transfected with 1 μ g *pLuc-MCS/4MREa*, 0.1 μ g of empty vector *pBK*, and 0.4 μ g of *Renilla* luciferase-expressing plasmid *pRL-TK* per 35 mm well. Twenty-four hours following transfection, the cultures were exposed for 10 min to 20 μ M CdCl₂, 50 μ M NMDA and 10 μ M glycine in phenol red-free MEM supplemented with 25 mM 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) and 0.01% bovine serum albumin (BSA) at 37° C, 5% CO₂ (MHB). Following exposure, the coverslip was

removed to a fresh well containing MHB and returned to the incubator. Firefly and *Renilla* luciferase signals were measured using the Dual Glo Luciferase Assay System (Promega, USA) at 0, 1, 3, and 5 hours post-treatment. Results are expressed as a ratio of firefly to *Renilla* luciferase signal as described previously (Hara and Aizenman, 2004). For cell viability (luciferase) experiments, cultures were transfected with 0.375 µg *pUHC13-3*, 0.75 µg *p4MRE-NS5A-GFP*, and 0.375 µg *pCDNA3* empty vector. Transfection mixture for control cultures contained additional empty vector in place of NS5A-expressing plasmid. Twenty-four hours after transfection, cultures were exposed continuously to 60 µM DL-*threo*-β-benzyloxyaspartic acid (TBOA; Tocris Bioscience, United Kingdom), a broad-spectrum glutamate uptake inhibitor (Shimamoto et al., 1998). Firefly luciferase signal, as an index of cell viability (Boeckman and Aizenman, 1996; Rameau et al., 2000; Aras et al., 2008), was measured at 24 hours using Steadylite Plus Reporter Gene Assay System (PerkinElmer, USA). This assay relies on the expression of luciferase by live neurons and it closely correlates with the number of viable cells as described in detail and validated in a previously published methods paper (Aras et al., 2008).

For confocal microscopy and electrophysiological measurements, cultures were transfected with 0.75 µg *p4MRE-NS5A-GFP*, 0.03 µg *pCMV-dTomato* and 0.72 µg *pCDNA3* empty vector. Transfection mixture for control cultures contained additional empty vector in place of NS5A-expressing plasmid. For visualization of NS5A-GFP expression or cellular integrity, cells were treated at 24 hr post-transfection with vehicle or either the Cd²⁺/NMDA/glycine mixture or TBOA as described above. Images were

obtained with a Nikon A1+ confocal microscope using a 20X objective. Five–ten optical sections (0.5 μm) were acquired to generate a maximum intensity projection image. The injurious stimulus for electrophysiological experiments was a 2 hr exposure to 60 μM TBOA at 37°C, 5% CO_2 . The solution was then slowly removed and cultures were carefully but thoroughly rinsed with MHB. Cells were then incubated for 2-4 hr (37°C) in MHB until electrophysiological measurements were conducted. The brief TBOA exposure was necessary to maintain cell integrity during the electrophysiological studies.

Quantification of NS5A-GFP-positive neurons following Cd^{2+} exposure

To analyze differences in GFP (488 nm) expression in *p4MRE-NS5A-GFP* -transfected neurons treated with vehicle or Cd^{2+} /NMDA/glycine, neurons (round soma, presence of dendrites) were first identified by visualization under dTomato red filter (561 nm). Regions of interest (ROIs) that represent neuronal somas were selected in Nikon NIS-Elements Advanced Research software, utilizing the autoselect-ROI feature to consistently identify cell bodies in each imaging field. Background fluorescence was then subtracted from each image. From these refined ROIs, automated measurements of mean GFP intensity were obtained and imported into Microsoft Excel for further analysis. Vehicle-treated neurons (unexposed to Cd^{2+} /NMDA/glycine) were utilized to determine a standardized mean GFP intensity threshold for analysis. From these images, a GFP intensity of 166.7 relative fluorescent units (mean \pm 1 standard deviation) was set as the threshold for identifying a GFP-positive neuron in either the vehicle or Cd^{2+} /NMDA/glycine-treated group. These values were utilized to obtain a

mean percentage of GFP-positive neurons per treatment, expressed as a function of all dTomato-positive neurons in each field. The final data points were inputted into GraphPad Prism for statistical analysis. An unpaired, two-tailed t-test was performed to analyze differences in each sample mean.

Dendritic bleb analysis

Glass coverslips containing neuronal cell cultures were placed in a recording chamber containing MHB. Live images were obtained via Nikon A1R microscope at 20× magnification, 48 hours after transfection and 24 hours after 60 μ M TBOA treatment. Four field images were taken randomly from each coverslip. Z-stack series images were obtained with 2.325 μ m step intervals and ranged from 12-24 steps per image. Maximum intensity projections were generated from each Z-stack. The object count feature on the Nikon NIS-Elements Advanced Research software (Nikon Instruments Inc., NY, USA) was utilized to assess quantitatively the number of blebs per field (Li et al., 2016). While not all blebs present in a field belonged to one cell, the size of the field was always held constant across groups. The object count parameters were as follows: smooth: 1x; clean: 3x; separate: 1x; area: 0-30 μ m²; circularity: 0.5-1.0; mean intensity: 400-4095. Every experiment yielded an average number of bleb counts/field (403 mm²) for each coverslip. All images during a particular experiment were gathered on the same day (e.g. NS5A-TBOA, NS5A-Vehicle, Vector-TBOA, Vector-Vehicle). Each transfection and subsequent imaging was repeated in 3 independent experiments, with n = 24 image fields obtained per treatment group. Four image fields for a given coverslip were averaged to obtain a value of n = 6 field for each group. These data were utilized to

obtain the sample mean for each treatment. For analysis of each treatment, the Vector-Vehicle group was used as background signal, as there was no apparent blebbing in these groups. These values, likely reflecting counts of unusually large spines that may have been incorrectly counted as blebs, or other artifacts, were subtracted from the experimental group values.

Electrophysiological recordings

Whole-cell voltage-clamp currents from rat cortical neurons were measured with Axopatch 200B amplifier and pClamp software (Molecular Devices, USA) using 3-5 M Ω electrodes and a 10kHz low-pass filter (Justice et al., 2017). The extracellular solution contained (in mM): 2 MgCl₂, 2.5 KCl, 115 NaCl, 10 HEPES, 10 D-glucose, 1 CaCl₂, and 0.25 μ M tetrodotoxin, pH 7.2. The electrode solution contained (in mM): 100 K-gluconate, 1 MgCl₂, 10 KCl, 1 CaCl₂, 2.2 MgCl₂-ATP, 0.33 GTP, 11 EGTA, and 10 HEPES, pH 7.2. Series resistance was compensated (~80%) in all cases. Despite the extensive dendritic arbors our neurons display, potential space clamp problems are mitigated by the fact that Kv2.1 channels are restricted to the soma and very proximal processes (Maletic-Savatic et al., 1995). K⁺ currents were evoked with a series of 200 ms voltage steps from a holding potential of -80 to +80 mV in 10 mV increments. A single 30 ms prepulse to +10 mV was used before depolarization in order to inactivate A-type K⁺ currents. Mean steady-state delayed rectifier currents were measured relative to baseline at 180-190 milliseconds after the initiation of each voltage step. Current amplitudes were normalized to cell capacitance values to generate the current density values presented in this study.

Data presentation and statistical analysis

All data are expressed as mean \pm S.E.M. and statistical analyses were performed using GraphPad Prism software (GraphPad, San Diego, CA, USA). The specific statistical analysis used and number of repetitions is specified for each figure and they include pair-wise comparisons, as well as analyses of variance with post-hoc pair-wise comparisons, appropriately corrected for multiple comparisons. Spreadsheets containing all raw data are available upon request from the corresponding author (E.A.).

Results

Rapid expression of MRE-driven gene products

The basic scheme behind the design of the novel neuroprotective strategy described in this study is depicted in Figure 1A. Reactive oxygen species, or other pro-oxidants such as dithiols (Maret and Vallee, 1998; Aizenman et al., 2000), as well as reactive nitrogen species (St Croix et al., 2002; Zhang et al., 2004; Knoch et al., 2008), trigger the liberation of Zn^{2+} from metal-binding proteins such as MT. Indeed, given its very negative (<-365 mV) redox potential, MT is well-poised to serve as a primary cellular Zn^{2+} donor in spite of its relatively high affinity ($K_d=1 \times 10^{-14}$ M at neutral pH) for the metal (Maret and Vallee, 1998). The liberated Zn^{2+} , in turn, binds to and promotes translocation of MTF-1 to the nucleus, inducing the activation of *MRE*-driven expression of the ectopic NS5A-GFP fusion protein (hereinafter referred to as “NS5A”). Injured neurons, destined to die, begin the process of exocytotic insertion of Kv2.1 channels in the plasma membrane to facilitate cytosolic K^+ efflux within 3 hours following injury stimulus (McLaughlin et al., 2001; Pal et al., 2003). Cells expressing NS5A, however, are prevented from enacting this step and are given the opportunity to recover from the injurious insult, thereby surviving.

We previously reported that the enhanced K^+ currents observed in dying neurons begin to appear relatively slowly, at approximately 3 hours following an acute, brief lethal oxidative stimulus (McLaughlin et al., 2001). This delay in the current surge allows for a relatively comfortable temporal window for the expression of neuroprotective *MRE*-driven products. In order to confirm this, we exposed neurons previously transfected

with either *p4MRE-NS5A-GFP* or *pLuc-MCS/4MREa* to a brief Cd^{2+} stimulus. Cd^{2+} is known to permeate through N-methyl-D-aspartate (NMDA) ionotropic glutamate receptor channels (Usai et al., 1999) and readily displace Zn^{2+} from MT due to its much higher affinity for the metalloprotein (Waalkes et al., 1984) (Fig. 1B). The use of a non-toxic Cd^{2+} stimulus allowed us to measure the time course of *MRE*-driven expression induced by a defined temporal event without the complication of inducing neurotoxicity. Within 3-5 hours following a brief exposure to 20 μM Cd^{2+} (in the presence of 50 μM NMDA and 10 μM glycine, co-agonists at the NMDAR) we observed relative robust expression of NS5A (GFP fluorescence) in significantly more transfected neurons, when compared to vehicle-exposed cells (Figs. 1C, 1D). This result suggests relatively small background activity of the ectopic gene, but relatively rapid translation of the *MRE*-driven protein upon Zn^{2+} liberation. To accurately quantify the time course of this effect, we utilized the *MRE*-driven, luciferase expressing plasmid in a separate set of cultures (Hara and Aizenman, 2004). Following a similar, brief exposure to Cd^{2+} in the presence of NMDA and glycine, we measured luciferase expression at several time points, noting pronounced, significant increases in signal within 3-5 hours following Cd^{2+} exposure (Fig. 1D). At the 3 hour time point, luciferase expression was close to 5 times the baseline signal observed immediately or 1 hour following the Cd^{2+} exposure, while at 5 hours the signal additionally doubled to levels approximately 10 times what was observed at baseline (Fig. 1D). Altogether, these results strongly suggest that *MRE*-driven proteins can be expressed at a sufficiently rapid rate to likely prevent the completion of injurious cellular cascade allowed by a Kv2.1-dependent K^+ current surge.

***MRE*-driven expression of NS5A prevents K⁺ current surge**

In order to investigate whether *MRE*-driven expression of NS5A is effective in preventing the enhancement of cell death-enabling K⁺ currents, we performed whole-cell patch clamp recordings in *p4MRE-NS5A-GFP*-transfected cortical neurons previously exposed to the glutamate uptake inhibitor TBOA (60 μM). We chose glutamate uptake inhibition as the injurious stimulus as this method generates a relatively “slow” (a few hours) excitotoxic injury that induces a Kv2.1-mediated cell death process (Yeh et al., 2017). This is likely due to the fact that glutamate uptake inhibition preferentially damages neurons via NMDA receptor activation (Blitzblau et al., 1996), an established source of reactive oxygen species (Blitzblau et al., 1996), intracellular zinc liberation (Dineley et al., 2008; Granzotto and Sensi, 2015), and Kv2.1-mediated excitotoxicity (Yao et al., 2009). We utilized a 2 hour exposure to TBOA and visually confirmed expression of NS5A as a GFP fluorescent signal 4 hours after the initiation of the treatment (Fig. 2A). Recordings were then obtained from cells transfected with the *MRE*-driven NS5A construct or with empty vector at approximately 4-6 hours after the initiation of a 2 hour TBOA exposure (see Experimental Procedures). We observed a dramatic enhancement in K⁺ current densities in vector expressing cells exposed to TBOA, when compared to vehicle (Figs. 2B, 2C). Indeed, measurements at the +30 mV voltage step demonstrated a significant doubling of current density in the injured cells (Fig. 2B). Notably, the TBOA-mediated K⁺ current surge did not occur in cells transfected with the *MRE*-driven NS5A construct, suggesting that Zn²⁺-induced expression of the viral protein was sufficient to prevent the cell death-enhancement of Kv2.1-mediated currents (Figs. 2B, 2C). Importantly, current densities in *p4MRE-NS5A*-

GFP-transfected cells, regardless of the presence or absence of TBOA treatment, were not different from empty-vector expressing cells under control conditions (Figs. 2B, 2C), indicating that the rapid expression of NS5A in injured cells was sufficient to hinder the development of enhanced, cell death-facilitating K^+ currents without affecting normal current levels. Finally, we observed very similar channel voltage activation profiles in all of the experimental groups (Fig. 2D), suggesting that *i.* the observed changes in Kv2.1 function following TBOA exposure are distinct from other forms of channel modulation not directly related to cell death (Mohapatra et al., 2007); and *ii.* NS5A expression, as reported earlier (Norris et al., 2012; Clemens et al., 2015), does not substantially affect normal channel function. The half-maximal activation voltages for all experimental groups were (in mV): vector/vehicle, 21.09 ± 2.79 (n=12); vector/TBOA, 14.58 ± 1.26 (n=12); NS5A/vehicle, 20.14 ± 2.57 (n=12); NS5A/TBOA 18.78 ± 2.80 (n=10).

***MRE*-driven expression of NS5A is neuroprotective**

The observed inhibition of K^+ current surges in injured neurons by *MRE*-driven NS5A expression suggests that this same process would render neurons resistant to a lethal injury and improve viability. To test this, we exposed vector or *p4MRE-NS5A-GFP*-transfected neurons to an overnight treatment with 60 μ M TBOA. Viability was assessed via a luciferase expression assay that we have previously characterized for transfected cells, where luciferase activity tightly correlates to the number of live neurons in the culture (Aras et al., 2008). Please note that the luciferase-expressing vector used in these studies is constitutively expressed and not *MRE*-driven (Table 1). Figure 3A shows the results from a single experiment, with raw luciferase activity values

(as relative light units, RLU). TBOA induce approximately a 50% decrease in viability in vector expressing cells. In contrast, neurons previously transfected with the NS5A-expressing plasmid were able to better withstand this insult. Results are summarized for 4 independent experiments in Fig. 3B, with viability expressed as % of control (TBOA/vehicle) for each transfection (vector or *p4MRE-NS5A-GFP*). Finally, we visualized this phenomenon in *pCMV-dTomato* co-transfected neurons (Fig. 3C). Vector expressing cells exposed overnight to TBOA showed substantial dendritic blebbing, not dissimilar from that produced by gain of function mutations of NMDA receptor subunits reported earlier (Li et al., 2016; Ogden et al., 2017). In contrast, cells rescued by NS5A expression maintain their integrity in the presence of the excitotoxin. We quantified the extent of dendritic blebbing by an automated, unbiased procedure (Ogden et al., 2017) (described in detail in Materials and Methods section) and observed a significant decrease in blebs in neurons expressing *p4MRE-NS5A-GFP*, demonstrating, via an independent analysis, the neuroprotective actions of the *MRE*-driven expression of the viral protein. These exciting results strongly suggest that the MTF-1/*MRE* system can be adapted to express neuroprotective molecules, at least when directed to Kv2.1-facilitated forms of cell death.

Discussion

Although several mechanisms have been proposed to account for the demise of neurons in neurodegenerative disorders, the fact remains that we do not generally know how or when any given neuron or group of neurons will die in the course of a disease. This is a critical problem to solve, as designing optimal new therapies for neurodegenerative disorders would ideally target specific cell death cascade processes nearer to the moment they are activated during pathogenesis. Addressing this specific issue, we present an adaptation of two important signaling pathway components. These have been harnessed towards the design, construction and implementation of a novel neuroprotective method that targets, when activated, an apparent requisite element in oxidant-induced cell death: the loss of intracellular K^+ (Shah and Aizenman, 2014). The first critical component of the neuroprotective strategy described here is the HCV protein NS5A, while the second one is the zinc-sensing MTF-1/*MRE* gene expression system. Most importantly, however, is the fact that a number of studies support the notion that a major conduit for cellular K^+ loss in a variety of injured neuronal populations, including cortical, nigral, hippocampal, striatal, and cerebellar granule neurons, is the voltage-dependent delayed rectifier K^+ channel Kv2.1 (Pal et al., 2003; Redman et al., 2006; Dallas et al., 2011; Shepherd et al., 2012; Zhou et al., 2012; Yeh et al., 2017; Liu et al., 2018), a previously-described target of NS5A (Mankouri et al., 2009; Norris et al., 2012). As a brief aside, although Kv2.1 has classically been connected with the activation of apoptotic programs (Yu, 2003), other studies have implicated Kv2.1 in oxidant-induced cell death processes not necessarily associated with classical apoptosis (Ito et al., 2017), including toxin-mediated dopaminergic cell

death (Redman et al., 2006; Chao et al., 2018), suggesting a wider applicability of our proposed work. Also, cellular K^+ loss may enable neurodegenerative cascades associated with either histone deacetylase (D'Mello, 2009; Lin et al., 2017; Sixto-Lopez et al., 2018) or NLRP3 inflammasome activation (He et al., 2016; Zhou et al., 2016), although Kv2.1 has not yet been directly associated with these processes.

Investigating the mechanism of HCV persistence in the liver, Harris and co-workers discovered that hepatocytes also utilize Kv2.1 to undergo programmed cell death (Mankouri et al., 2009). This process, however, is halted in viral-infected cells, as HCV evolved a function within one of its ten encoded proteins, namely NS5A, to block the increase in Kv2.1 surface expression during the apoptotic program (Mankouri et al., 2009). Harris et al. proposed this as a mechanism for aiding HCV in establishing a chronic infection in an organ with relatively rapid cell turnover. As mentioned earlier, after obtaining an NS5A-expressing plasmid from the Harris laboratory, we showed it to be highly neuroprotective against oxidative injury in cortical neurons (Norris et al., 2012). Moreover, we demonstrated that, in neurons, NS5A worked by preventing a key Src phosphorylation step of Kv2.1, necessary for the channel's apoptotic insertion in the cell membrane (Redman et al., 2009; Norris et al., 2012; He et al., 2015). Critically, overexpression of NS5A in neurons had no substantial effect on their resting membrane potential, input resistance, threshold synaptic conductance, and firing frequency as a function of current (Norris et al., 2012). These observations encouraged us to use NS5A in an *MRE*-driven vector, essentially attempting to mimic part of a mechanism that evolved in a virus to prevent cell death, which, astonishingly, targeted Kv2.1. It is

worth noting at this point that NS5A is also the site of action of ledipasvir, a principal component of a highly successful HCV antiviral drug (Pawlotsky, 2013). We have found, however, that the presence of ledipasvir does not influence the activity of NS5A on the Kv2.1 channel, suggesting that other components of the viral protein are critical for K⁺ channel inhibition (Clemens et al., 2015).

NS5A is a ~447 amino acid, multi-component protein that also contains a zinc finger domain near its N-terminal (He et al., 2006). Since zinc chelators can prevent K⁺ current enhancement following oxidative injury (McLaughlin et al., 2001), it is conceivable that *MRE*-driven NS5A expression could also function as a chelator of this metal. However, several lines of evidence previously discounted the possibility of this protein acting as a physiological zinc buffer in our model: *i.* In the original description of the effects of NS5A on Kv2.1 in hepatocytes, Harris and co-workers showed that polyproline to alanine mutations near the C-terminal did not inhibit the channel, with the zinc finger remaining intact (Mankouri et al., 2009); *ii.* Some NS5A genotypes do not seem to inhibit Kv2.1 function, yet all genotypes contain a zinc finger domain (Norris et al., 2012); and *iii.* Inhibition of phosphorylation of NS5A by casein kinase II prevents the effects of the viral protein on Kv2.1. The casein kinase II phosphorylation site(s) are also very close to the C-terminal, well away from the zinc finger domain. As such, the evidence strongly points to the inhibition of Src phosphorylation of Kv2.1 as a principal mechanism of NS5A-mediated neuroprotection (Norris et al., 2012).

Injury-triggered translation of cytoprotective proteins has been explored previously. Human heme-oxygenase, driven by the hypoxia response element (*HRE*) promoter was successfully demonstrated to induce cardioprotection following ischemic injury (Pachori et al., 2004). Our results suggest that the 4 *MRE* tandem sequences in our vector are sufficient to rapidly trigger expression of gene products and, most importantly, generate a neuroprotective protein within the cells that has a specific target, namely Kv2.1. We foresee translating this technique in the near future with neurotropic viral vectors, such as the blood-brain-barrier permeable AAV9 (Saraiva et al., 2016), in order to test the effectiveness of this strategy in *in vivo* models of chronic/progressive models of neurodegenerative disorders, including genetic animal models. The results presented here strongly suggest that a molecular form of “on demand” neuroprotection may be a viable alternative or complementary strategy to combat neurodegeneration in humans in the years ahead.

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Authorship Contributions

Participated in research design: Aizenman, E., Palladino, M., Justice, J., Manjooran, D., Yang, Yeh, C-Y.

Conducted experiments: Justice, J., Manjooran, D., Hartnett-Scott, K., Schulien, A., Kosobucki, G., Mammen, S.

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Footnote:

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Figure Legends

Fig. 1. Rapid neuronal expression of *MRE*-driven products. (A) Model for overall scheme presented here. Oxidative injury induces liberation of zinc from metallothionein (MT). The liberated zinc, in turn, activates MTF-1/*MRE*-driven expression of the Kv2.1-targeted protective molecule NS5A-GFP to prevent apoptogenic increase in Kv2.1-mediated K^+ currents. (B) Rapid activation of *MRE*-driven expression initiated by influx of Cd^{2+} (20 μ M, in the presence of 50 μ M NMDA and 10 μ M glycine for 10 min), which leads to displacement of zinc from MT and activation of the MTF-1/*MRE* system, driving the expression of firefly luciferase or NS5A-GFP in cortical neurons previously transfected with either *pLuc-MCS/4MREa* or *p4MRE-NS5A-GFP*. (C) Confocal images of cortical neurons co-transfected with *pCMV-dTomato* and *p4MRE-NS5A-GFP* were treated with either vehicle (Veh) or 20 μ M $CdCl_2$ (in the presence of 50 μ M NMDA and 10 μ M glycine for 10 min). Top panels: images reflect weak, basal expression of GFP 5 hr following exposure to vehicle treatment. Bottom panels: images show four GFP-positive neurons in the field, 5 hr following Cd^{2+} /NMDA/glycine exposure, demonstrating robust expression of NS5A-GFP. Arrows indicate neurons classified as GFP-positive by procedures described in the methods section. All images were obtained with identical laser excitation power and image acquisition settings. Scale bar, 100 μ m. (D) Results show the mean percentage of GFP-positive neurons, as a proportion of all neurons visualized via dTomato fluorescence, per image field (403 mm^2) for each treatment. Values represent a total of three independent transfections, 10-13 visualized fields per condition (**p < 0.001; unpaired T test). (E) Quantification of *MRE*-driven luciferase

expression in neurons following Cd^{2+} stimulation as described above. Cells were co-transfected with *pLuc-MCS/4MREa* and the constitutively expressed construct *pRL-BK* (*Renilla* luciferase). *MRE*-driven firefly luciferase units (relative light units, RLU) were normalized to *Renilla* expression and are presented as a function of post- Cd^{2+} exposure interval period. A one-way analysis of variance ($p=0.003$) with post-hoc Dunnett's multiple comparisons to the 0 hr time point revealed a significant increase in luciferase expression at the 3 hr ($**p<0.01$) and 5 hr ($*p<0.05$) time points. Results represent the mean \pm S.E.M. of 3 independent experiments, each performed in quadruplicate.

Fig. 2. *MRE*-driven NS5A expression suppresses enhanced K^+ currents following excitotoxic injury. (A) Fluorescent image of a cortical neuron expressing dTomato and NS5A-GFP 4 hr following a 2 hr 60 TBOA treatment (and subsequent 2 hr wash). Scale bar = 20 μm . (B) Mean K^+ current density (pA/pF) profiles (top) and mean \pm S.E.M values (bottom) at a -80 mV to +30 mV voltage step for neurons exposed to either vehicle or 60 μM TBOA as detailed above. Cells were either transfected with empty vector or *p4MRE-NS5A-GFP* (pA/pF). A one-way analysis of variance ($p < 0.0001$) with Sidak's post-hoc comparisons between means revealed a significant increase in K^+ current density in empty vector-transfected cells treated with TBOA ($n=12$) when compared to vehicle ($n=12$; $***p<0.001$). In contrast, no differences were observed between treatment groups in *p4MRE-NS5A-GFP*-expressing cells. Scale bars = 100 pA/pF; 50 ms. (B) Full current density-voltage relationships for all groups denoted in (A) above; note the increase in current densities in TBOA-exposed, empty vector-transfected cells when compared to all other groups and the lack of change in overall

profile in NS5A-expressing cells. (C) Normalized conductance (G/G_{max})-voltage relationships for all experimental groups. Note the similar activation profiles for all cells. Half-maximal activation voltages ($V_{1/2}$) are detailed in the text.

Fig. 3. *MRE-driven NS5A is neuroprotective.* (A) Results from a single experiment performed in quadruplicate denoting luciferase activity (in relative light units, RLU) as an index of viability as described previously (Aras et al., 2008). Neurons transfected with *pUHC13-3* and with either empty vector or *p4MRE-NS5A-GFP* were exposed overnight to either vehicle or 60 μM TBOA. Luciferase assays revealed a significant decrease in viability in vector-expressing cells treated with TBOA, which was not observed in NS5A-expressing cells. Statistical analysis was performed by a one-way analysis of variance followed by Sidak's multiple comparisons tests (* $p < 0.05$). (B) Pooled data for 4 independent experiments similar to that shown in (A), each performed in quadruplicate with viability expressed as % of control (TBOA/vehicle, for both vector and *MRE-driven* NS5A-expressing cells). A significant increase in viability was observed in *MRE-driven* NS5A-expressing cells when compared to vector-transfected neurons (* $p < 0.05$, two-tailed t-test). (C) Visualization of neuroprotective actions of *MRE-driven* NS5A expression. A separate set of cultures were co-transfected with *pCMV-dTomato* and imaged with a confocal microscope to capture neuronal integrity in NS5A-expressing neurons exposed to TBOA, when compared to vector-expressing cells. Note the lack of neurite blebbing in NS5A-expressing cells, when compared to vector-expressing cells. Scale bar, 100 μm . (D) Quantification of dendritic damage by TBOA exposure. Data represent the average number of dendritic swellings (blebs) per confocal imaging field

(403 mm²). Neurons were transfected with either empty vector or *p4MRE-NS5A-GFP* and subsequently exposed to either vehicle (MHB) or 60 μM TBOA for 24 h in three independent experiments similar to (A). Please see Materials and Methods section for detailed description of procedures. A significant decrease in dendritic blebs was found in the NS5A-expressing group treated with TBOA, compared to the vector-expressing group. Analyzed via one-way ANOVA followed by Sidak's multiple comparison test (**p < 0.01).

Table 1. Plasmids utilized in this study.

Plasmid	Expressed Protein	Experimental Use	Relevant Figures
<i>p4MRE-NS5A-GFP</i>	MRE-driven NS5A-GFP fusion protein	MRE-driven NS5A-GFP time course; live confocal imaging; electrophysiology, cell viability assays	Fig 1C-D; Fig 2A-D; Fig 3A-D
<i>pLuc-MCS/4MREa</i>	MRE-driven firefly luciferase	MRE-driven luciferase time course	Fig 1E
<i>pCMVdTomato</i>	dTomato red fluorescent protein	Live confocal imaging; neuronal visualization; dendritic bleb quantification	Fig 1C-D; Fig 2A; Fig 3C-D
<i>pUHC13-3</i>	Constitutively expressed Firefly luciferase	cell viability assays	Fig 3A-B
pRL-TK	Constitutively expressed <i>Renilla</i> luciferase	cell viability assays	Fig 1E
<i>pBK</i> ; <i>pCDNA3</i> ; <i>pRGB4</i>	Empty vectors	Experimental controls	All





