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Establishing the relationship between *in vitro* potency, pharmacokinetic and pharmacodynamic parameters in a series of orally available, hydroxyethylaminederived β-secretase inhibitors

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PK/PD evaluation of HEA-derived β -secretase inhibitors

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Non-standard abbreviations: AD, Alzheimer's Disease; Aβ, amyloid-β peptide; HEA, hydroxythethylamine; APP, amyloid precursor protein; BACE1, β-site APP-cleaving enzyme 1; P-gp, P-glycoprotein; Compound 1, N-((2S,3R)-1-(benzo[d][1,3]dioxol-5-yl)-3-hydroxy-4-(((S)-6'-neopentyl-3',4'-dihydrospiro[cyclobutane-1,2'-pyrano[2,3-b]pyridin]-4'-yl)amino)butan-2-yl)-2-methoxyacetamide; Compound 2, (R)-N-((2S,3R)-3-hydroxy-4-(((S)-6'-neopentyl-3',4'-dihydrospiro[cyclobutane-1,2'-pyrano[2,3-b]pyridin]-4'-yl)amino)butan-2-yl)-2-methoxyacetamide; Compound 2, (R)-N-((2S,3R)-3-hydroxy-4-(((S)-6'-neopentyl-3',4'-dihydrospiro[cyclobutane-1,2'-pyrano[2,3-b]pyridin]-4'-yl)amino)-1-(3-(thiazol-2-yl)phenyl)butan-2-yl)-2-methoxypropanamide

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Abstract

Sequential proteolytic cleavage of the amyloid precursor protein (APP) by betasite APP-cleaving enzyme 1 (BACE1) and the gamma-secretase complex produces the peptide A^β which is believed to play a critical role in the pathology of Alzheimer's disease (AD). The aspartyl protease BACE1 catalyzes the rate-limiting step in the production of AB, and as such it is considered to be an important target for drug development in AD. The development of a BACE1 inhibitor therapeutic has proven difficult. The active site of BACE1 is relatively large. Consequently, to achieve sufficient potency, many BACE1 inhibitors have required unfavorable physicochemical properties such as high molecular weight and polar surface area that are detrimental to efficient passage across the blood-brain barrier. Using a rational drug design approach we have designed and developed a new series of hydroxyethylamine (HEA)-based inhibitors of BACE1 capable of lowering A β levels in brains of rats after oral administration. Herein we describe the *in vitro* and *in vivo* characterization of 2 of these molecules as well as the overall relationship of compound properties (e.g. in vitro permeability, P-glycoprotein (P-gp) efflux, metabolic stability and pharmacological potency) to the *in vivo* pharmacodynamic effect with more than 100 compounds across the chemical series. We demonstrate that high in vitro potency for BACE1 was not sufficient to provide central efficacy. A combination of potency, high permeability, low P-gp mediated efflux and low clearance was required for compounds to produce robust central A β reduction after oral dosing.

Introduction

Alzheimer's disease (AD), a progressive neurodegenerative disorder, is the most common form of dementia that affects 4-8% of the elderly population worldwide. The neuropathological features of AD are the presence of extracellular amyloid plagues and intracellular neurofibrillary tangles (NFT) in the hippocampus and cortical grey matter of the brain (Citron, 2010). The core constituent of the amyloid plaques, also known as senile plaques, is a small 4 kDa amyloid- β peptide (A β). Assembly and aggregation of Aβ into soluble oligomers and amyloid fibrils is believed by many to play a key role in the etiology of AD and therefore strategies to lower A β production have been pursued with great interest in the AD field over the past 2 decades (Hardy and Selkoe, 2002). Aβ is a fragment of a much larger precursor protein, the amyloid precursor protein (APP). Sequential proteolytic cleavage of APP by beta-site APP-cleaving enzyme 1 (BACE1) and the gamma-secretase complex produces the Aß peptide. The aspartyl protease BACE1 catalyzes the rate-limiting step in the production of Aβ, and is therefore considered to be an important target for drug development in AD (Vassar and Kandalepas, 2011).

The development of BACE1 inhibitor therapeutics has been challenging. The xray structure of BACE1 co-crystallized with a transition-state analog inhibitor has been useful for the design of BACE1 inhibitors with good *in vitro* potency (Hong et al., 2000). However, the large active site of the enzyme has made it difficult to find small molecules that are both potent inhibitors of the enzyme and also possess the appropriate pharmacokinetic properties to enable inhibition of Aβ production in the brain (Hong et

al., 2000; Stachel et al., 2009; Turner et al., 2001). Nonetheless, over the last decade, much progress has been made across industry and academia in designing BACE1 inhibitors with physicochemical properties that allow for effective A β lowering *in vivo* (Ghosh et al., 2012). In the last few years, a number of groups have succeeded in making potent BACE1 inhibitors capable of lowering central A β in APP transgenic mice, rats, guinea pigs, monkeys and man (Chakrabarti et al., 2010; Charrier et al., 2009; Fukumoto et al., 2010; Malamas et al., 2010; May et al., 2011; Sankaranarayanan et al., 2009; Truong et al., 2010). Using a rational drug design approach we have designed and developed a new series of hydroxyethylamine-based inhibitors of BACE1 that can potently reduce A β levels in brain and cerebrospinal fluid (CSF) after oral administration (Dineen et al., 2012; Kaller et al., 2012; Weiss et al., 2012).

In this report, we describe the *in vitro* and *in vivo* characterization of 2 of these molecules as well as the overall relationship of compound properties (e.g. *in vitro* permeability, P-gp mediated efflux, metabolic stability and pharmacological potency) to the *in vivo* pharmacodynamic effect across the chemical series. We demonstrate that high *in vitro* potency for BACE1 was not sufficient to provide central efficacy. In fact, no single parameter on its own was able to provide an absolute *in vitro* surrogate for *in vivo* potency; compounds required a balanced PK profile that consisted of low metabolic clearance, high permeability and low efflux in order to produce robust central A β reduction after oral dosing.

Materials and Methods

Human recombinant BACE1 enzymatic assay in FRET format

The extracellular domain of human BACE1 was expressed in CHO cells as previously described (Vassar et al., 1999). The enzymatic activity of recombinant BACE1 was measured using a fluorescence resonance energy transfer (FRET) assay according to published methods (Yang et al., 2004). The substrate was a synthetic peptide that contained the BACE1 cleavage site (Turner et al., 2001) and fluorophore and quencher dyes at the termini of the peptide. Compounds were pre-incubated with the enzyme at pH 4.5 for 60 min at room temperature, and the subsequent reaction was initiated by addition of the substrate. After one hour, the reaction was stopped by raising the pH above the enzyme active range (> 7). The enhancement of fluorescence intensity upon enzymatic cleavage of the FRET substrate was measured on a Safire II microplate reader (Tecan, Männedorf, Switzerland).

Passive permeability and rat P-gp mediated efflux ratio

Transcellular transport was measured using LLC-PK1 cell monolayers as described previously (Booth-Genthe et al., 2006) with slight modifications. LLC-PK1 cells were plated on porous (1.0 μ m) polycarbonate 96-well membrane filters (Millipore Corp., Billerica, MA) in a feeder tray of medium. The transport experiment was initiated on the 6th day after plating by replacing the buffer in each compartment with Hepesbuffered Hank's balanced salt solution containing 0.1% BSA with and without 5 μ M compound in triplicate. Transcellular transport of ³H-Digoxin at 0.5 μ M was also evaluated as a positive control for P-gp. The monolayer integrity was also tested using

¹⁴C-Mannitol, a paracellular diffusion indicator. After 2 hours, aliquots were taken from both apical and basolateral chambers and analyzed for drug by LC-MS/MS on an API4000 (Applied Biosystem, Foster City, CA) triple quadruple mass spectrometer interfaced with turbo lonSpray operated in positive mode using Analyst 1.4.2 software.

Efflux was measured in LLC-PK1 cells transfected with rat mdr1A/1B. Efflux ratio (ER) was calculated from the basolateral-to-apical permeability divided by the apical-to-basolateral permeability.

Microsomal stability – Intrinsic clearance in rat liver microsomes (RLM CLint)

Compounds (1 μ M) were incubated with rat liver microsomes (0.25 mg/ml in 67 mM phosphate buffer, pH 7.4) from rat at 37°C for 30 minutes with or without 1 mM NADPH in a total volume of 0.2 ml. The final concentration of DMSO in the incubation was < 0.1%. Incubations were stopped by addition of 200 μ l of ice-cold acetonitrile containing 0.5% formic acid and an internal standard (500 ng/ml) followed by centrifugation at 3,100 rpm for 20 minutes. The supernatants were analyzed directly (without any further sample clean-up) by high performance liquid chromatography (HPLC) and mass spectrometric detection.

Plasma protein binding

Compounds were spiked into plasma to a final concentration of 5 µg/ml (0.1% DMSO final), gently mixed and incubated at 37°C for 15 minutes. Aliquots of incubated plasma (0.5 ml) were transferred to polycarbonate tubes and centrifuged at 627,000 RCF for 3 h at 37°C. The centrifugation process pellets 99.2% of plasma proteins

(Nakai, 2004). The supernatants (0.1 ml) were transferred into 0.1 ml of blank 4% plasma in plasma water. An additional aliquot of each compound spiked plasma sample was diluted 1:50 in plasma water (Bioreclamation Inc.) and retained for analysis. Standard curve and analytical quality control (QC) samples were constructed in 2% plasma in plasma water. 50 µl of internal standard (500 ng/ml in acetonitrile) was added to 50 µl of unknown samples, standards and QCs. An additional 250 µl acetonitrile ensured precipitation of protein with vortexing. Samples, standards and QCs were contrifuged to pellet proteins and a 250 µl aliquot removed to a clean deep well plate. Samples were either analyzed directly or following drying under nitrogen and reconstitution in 0.1 ml methanol/water (1:1 v:v).

Whole-cell Aβ assay

HEK293 (human embryonic kidney cells) stably transfected with APP695 containing the Swedish familial Alzheimer's disease (FAD) mutation (APP_{K595N/M596L}) were used to test compounds in a whole-cell assay. Cells were incubated 16-18 h with compounds at concentrations ranging from 0.0005 to 10 μ M. Conditioned media was removed and analyzed for A β levels as described below.

Aβ40 measurements and data analysis

Samples were analyzed for Aβ40 levels by sandwich immunoassay according to a previously published protocol (Best et al., 2005). Briefly, 96-well avidin plates (MesoScale Discovery, Inc. (MSD)) were coated with biotinylated-anti-Aβ antibody, 4G8 (Covance) which recognizes an epitope within the mid-region of the Aβ peptide (amino

acids 17-24). Samples were co-incubated in the plate overnight at 4°C along with a ruthenium-labeled anti-Aβ antibody specific for the C-terminal region of Aβ40 (ConFab40; Amgen). Plates were then washed, 150 µl/well read buffer T (MSD) was added and plates were read immediately on a Sector6000 imager according to manufacturer's recommended protocol (MSD). All samples were assayed in triplicate and analyzed using GraphPad Prism v. 5.04 (GraphPad Software Inc., LaJolla, CA). PD data was analyzed by one-way ANOVA and Dunnett's multiple comparison test. Dose-response data were fit using nonlinear regression analysis and EC₅₀s were calculated based on curve fitting.

In vivo pharmacokinetics studies

Femoral catheterized male Sprague-Dawley rats (250-275 g) were purchased from Taconic and were maintained on a 12 hour light/dark cycle with unrestricted access to food and water until use. Rats were fasted 7-12 hours prior to oral dose administration. The animals were dosed with compounds either orally as a solution or suspension in 2% HPMC and 1% Tween-80, pH 2 (10 mg/kg; 10 ml/kg dose volume) or intravenously (IV bolus) in DMSO at 2 mg/kg. Approximately 0.20 ml of whole blood was collected via the femoral vein catheter at 0.25, 0.5, 1, 2, 4, 6, 12, 16 and 24 hours post dose. Blood withdrawal volumes were within the IACUC Global Standards. Whole blood was centrifuged for plasma collection and stored at approximately -70°C until analysis.

In vivo pharmacodynamics studies

Male Sprague-Dawley rats (175-200 g) were dosed orally with compounds in 2% HPMC and 1% Tween-80, pH 2 at a volume of 10 ml/kg. For a typical compound screen, tissue samples were collected at a single 4 hour time point. Rats were euthanized with CO₂ inhalation for 2 minutes and the cisterna magna was quickly exposed by removing the skin and muscle above it. CSF (50-100 µl) was collected with a 30-gauge needle through the dura membrane covering the cisterna magna. CSF samples with visible blood contaminates were discarded. Blood was withdrawn by cardiac puncture and plasma obtained by centrifugation for drug concentration analysis. Brains were removed and, along with the CSF, immediately frozen on dry ice and stored at -80°C until use. The frozen brains were subsequently homogenized in 10 volumes (w/v) of freshly made 0.5% Triton X-100 in TBS in the presence of protease inhibitors. The homogenates were centrifuged at 355,000 x g for 30 min at 4°C. Pellets were discarded and supernatants stored at -80°C.

Measurement of plasma, CSF and brain drug concentration

Aliquots of plasma (50 μ I) were combined with 300 μ I of acetonitrile containing a structurally related internal standard (IS), vortexed and centrifuged 1,900 x g for 5 min. Supernatant (250 μ I) was transferred into a 96 well plate for sample analysis. Aliquots of CSF (25 μ I) were combined with 25 μ I of acetonitrile. An additional 150 μ I of acetonitrile containing a structurally related IS was added to each sample, vortexed and centrifuged 1,900 x g for 5 min. Supernatant (150 μ I) was transferred into a 96 well

Brain tissue samples were homogenized in 4 volumes of water (volume: tissue weight ratio) using a Covaris Acoustic Homogenizer. Aliquots of 50 μ l of homogenate were combined with 300 μ l of acetonitrile containing a structurally related IS, vortexed and centrifuged 1,900 x g for 5 min. Supernatant (250 μ l) was transferred into a 96 well plate for sample analysis.

For plasma, CSF and brain homogenate, analytical standards were prepared in blank tissue or homogenate (for brain) and treated in an identical manner to the unknowns. Both standards and unknowns were measured by liquid chromatography mass spectrometry using Atmospheric Chemical Ionization (APCI) and multiple reaction monitoring in the positive ion mode.

Determination of plasma pharmacokinetic parameters

Individual plasma concentration-time data were analyzed by non-compartmental methods using Small Molecules Discovery Assay (SMDA) Watson[®] (version 7.0.0.01, InnaPhase Corp., Philadelphia, PA). Calculations were performed prior to rounding and nominal sampling times were used in the pharmacokinetic analysis. All pharmacokinetic parameters and summary statistics are reported to 3 significant figures, except for T_{max}. Oral bioavailability was calculated as F_{oral} (%) = 100 X [(PO AUC_{0-inf}/PO dose)/(IV AUC_{0-inf}/IV dose)].

Results

Using a combination of rational design and classical iterative optimization, we have established a clear structure-activity relationship (SAR) for BACE1 with a new series of potent, hydroxyethylamine (HEA)-based inhibitors (Dineen et al., 2012; Kaller et al., 2012; Weiss et al., 2012). Two lead compounds, N-((2S,3R)-1-(benzo[d][1,3]dioxol-5-yl)-3-hydroxy-4-(((S)-6'-neopentyl-3',4'-dihydrospiro[cyclobutane-1.2'-pyrano[2.3-b]pyridin]-4'-yl)amino)butan-2-yl)-2-methoxyacetamide (Compound 1) and (R)-N-((2S,3R)-3-hydroxy-4-(((S)-6'-neopentyl-3',4'-dihydrospiro[cyclobutane-1,2'pyrano[2,3-b]pyridin]-4'-yl)amino)-1-(3-(thiazol-2-yl)phenyl)butan-2-yl)-2methoxypropanamide (Compound 2) (Figure 1), were potent inhibitors in a FRETbased BACE1 enzyme assay, with IC₅₀ values of 5.4 nM and 5.5 nM, respectively (Table 1). These compounds also showed significant inhibition of Aß production in a cell-based assay using HEK293 cells stably transfected with APP containing the Swedish familial Alzheimer's disease (FAD) mutation (APPsw). In this assay, **Compound 1** had an IC₅₀ of 16.8 nM and **Compound 2**, an IC₅₀ of 9.6 nM resulting in cell:enzyme potency ratios of 3.1 and 1.8, respectively. In order to select appropriate candidates for in vivo pharmacodynamic (PD) studies in rats, all compounds were evaluated in a set of in vitro pharmacokinetic (PK) assays for passive permeability, rat P-gp mediated efflux and metabolic stability in rat liver microsomes (RLM). Both compounds showed high passive permeability with values of 25 x 10^{-6} cm/s and 17 x 10⁻⁶ cm/s for **Compound 1** and **Compound 2**, respectively. **Compound 1** showed high efflux via P-gp with an efflux ratio (ER) of 16.2 whereas Compound 2 showed little or no P-gp mediated efflux with a ratio of 1.7. Additionally, **Compound 1** was less

metabolically stable than **Compound 2**. The intrinsic clearance measured in rat liver microsomes (RLM CL_{int}) was 140 µl/min/mg for Compound 1 compared with 51 µl/min/mg for **Compound 2**. In vivo, both **Compound 1** and **Compound 2** displayed moderate clearance rates after intravenous (IV) dosing at 2 mg/kg in rats (1.25 L/h/kg and 0.87 L/h/kg, respectively). Oral dosing at 10 mg/kg in rats demonstrated that **Compound 1** and **Compound 2** are readily absorbed with plasma half-lives of 2.0 h and 1.0 h, respectively. The apparent bioavailability of both compounds was greater than 100%, a property that is attributed to non-linear pharmacokinetics (e.g. via saturable metabolism) at the 10 mg/kg oral dose relative to the lower 2 mg/kg IV dose (Table 1). Maximal plasma concentrations of 4.5 µM and 8.9 µM were achieved at 2 h and 5 h, respectively for **Compound 1** and **Compound 2** (data not shown). Combined with the fraction of drug unbound in plasma of 0.024 (**Compound 1**) and 0.014 (**Compound 2**), the unbound C_{max} was 0.108 μ M and 0.125 μ M for **Compound 1** and **Compound 2**, respectively. The unbound plasma C_{max} was 6-fold and 13-fold greater than the *in vitro* cell IC_{50} , and 20-fold and 23-fold greater than the enzyme IC_{50} (Table 1) for **Compound 1** and **Compound 2**, respectively.

To investigate the PD properties of BACE1 inhibitors *in vivo*, compounds were administered in a single oral dose to Sprague-Dawley rats and A β 40 levels measured in CSF and brain tissue. Substantial A β 40 lowering in both compartments was observed in a dose-dependent manner for both compounds 4 hours post drug administration (Figure 2). For **Compound 1**, the total plasma EC₅₀ values for CSF (CSF EC₅₀) and brain (brain EC₅₀) A β 40 lowering were 5.8 μ M and 17.0 μ M, respectively. For **Compound 2**, CSF EC₅₀ and brain EC₅₀ values were 1.6 μ M and 4.5 μ M, respectively. Factoring in

protein binding in plasma, the estimated unbound plasma EC₅₀ for CSF Aβ40 lowering was 0.140 μM or approximately 8-fold higher than the BACE cell IC₅₀ for **Compound 1** and 0.023 μ M or approximately 2-fold higher than the BACE cell IC₅₀ for **Compound 2**. The EC₅₀s calculated for both CSF and brain Aβ40 lowering were greater for **Compound 1** than those observed for **Compound 2**, consistent with the higher efflux ratio of **Compound 1**. A time course experiment was also conducted with **Compound** 2 to further evaluate the PK-PD relationship for this compound (Figure 3). Rats were dosed at 30 mg/kg and samples collected at the indicated time points and analyzed for both drug and AB40 levels. A 30 mg/kg dose was chosen because it was predicted to produce a transient, robust inhibition of CSF Aß levels based on prior PK and PD data (not shown). There was a clear relationship between unbound plasma drug concentrations, CSF drug concentrations and A β lowering in the brain and CSF. Significant A β 40 reduction was observed in CSF at 2 h and in brain 1 h after dosing (p < 0.01). The maximum reduction in A β 40 levels were observed at 4 h in both CSF (86%) and brain (73%). A β 40 levels in both CSF and brain returned to baseline 12 h after dosing. Note that the measurement of drug in CSF at the 12 h time point fell below the limit of detection (0.002 μ M). The dynamic ranges of measurement for drug and AB40 in CSF, plasma and brain homogenate, including limits of detection, are shown in Supplemental Table 2.

Assessment of > 100 compounds that were analyzed *in vivo* provided insights into how various *in vitro* characteristics translated into PD effects *in vivo*. *In vivo* PD dose response curves were generated for 48 compounds. A comparison of the CSF EC_{50} for Aβ40 reduction with the brain EC_{50} for these compounds (Figure 4) displayed a

linear relationship with good correlation between the A β 40 reduction in the 2 compartments (r² = 0.778; p < 0.0001; n = 48). A plot of *in vitro* cell potency versus *in vivo* PD effect indicated no relationship between A β inhibition *in vitro* and *in vivo* (r² = 0.008; not significant; Figure 5A). This is likely due to the wide ranges of metabolic stability, permeability and efflux ratios associated with these compounds. However, when *in vivo* exposure was factored in, a clear relationship was observed. As the ratio of plasma drug levels relative to *in vitro* potency increased, the degree of CSF A β lowering increased (4 h time point following a single 30 mg/kg oral dose of each compound) (r² = 0.26; p < 0.0001) (Figure 5B).

In order to more clearly illustrate the impact of several key *in vitro* PK parameters on *in vivo* potency, compound PD effects were binned into 3 categories: inactive (CSF A β reduction $\leq 25\%$), moderately active (CSF A β reduction of 25-50%) and highly active (CSF A β reduction > 50%). The percentage of compounds in each activity bin was examined as function of *in vitro* PK parameters (Figure 6). To mitigate the influence of pharmacological potency, this evaluation included only compounds with potencies of < 30 nM in the cell-based assay (n = 104). Figure 6A shows a clear relationship between permeability and CSF A β reduction, with the most permeable compounds producing the largest reduction in CSF A β levels. In the set of compounds with permeability values > 20 x 10⁻⁶ cm/sec, 48% were highly active, and 26% were inactive. In contrast, 75% of compounds with passive permeability values < 10 x 10⁻⁶ cm/sec were inactive and none were highly active. The effect of rat P-gp efflux on *in vivo* potency was also pronounced (Figure 6B). As the efflux ratio (ER) increased, the percentage of active compounds decreased and the percentage of inactive compounds increased. Nearly all compounds

(97.6%) that showed no significant P-gp mediated efflux (ER < 3) demonstrated central nervous system (CNS) activity (\geq 25% A β reduction); 74% inhibited CSF A β by more than 50%. The majority of compounds (86.7%) that showed moderate P-gp mediated efflux (ER = 3-10) were active in the CNS; 67% were highly active. The percentage of highly active compounds dropped significantly once efflux ratios exceeded 10. Only 9% of compounds with efflux ratios between 10 and 30, and no compounds with efflux ratios > 30 were highly active. This efflux effect was also apparent in the moderately active compound group. A similar trend was observed for compound stability measured in rat liver microsomes (Figure 6C). As RLM CL_{int} values increased, the percentage of active compounds decreased and the percentage of inactive compounds increased. Sixty-five percent of compounds with RLM CL_{int} values < 100 µl/min/mg were highly active as opposed to only 14% of compounds with RLM CL_{int} values > 100 µl/min/mg.

Within the 3 activity bins, average values for permeability, efflux ratio and RLM CL_{int} were calculated and compared (Supplemental Table 1). As expected, an inverse relationship was observed between efflux ratio and CSF A β reduction. Mean efflux ratios ranged from 32.2 in the inactive bin to 10.3 in the moderately active bin to 2.8 in the highly active bin with mean differences between the inactive and highly active bins reaching statistical significance (adjusted p-value = 0.0004). Likewise, an inverse relationship was also observed for RLM CL_{int} values and CSF A β reduction. Mean RLM CL_{int} values ranged from 194.7 μ /min/mg in the inactive bin to 172.6 μ /min/mg in the moderately active bin to 92.9 μ /min/mg in the highly active bin. Mean differences between the inactive and highly active bins (adjusted p-value < 0.0001) and between the moderately active and highly active bins (adjusted p-value < 0.0002) reached

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statistical significance. With this set of compounds, the range of permeability values covered in these studies was relatively narrow, (mean permeability of the inactive group was $19.0 \ 10^{-6}$ cm/s compared with $22.5 \ 10^{-6}$ cm/s for the highly active group), however, overall the data suggests that high permeability is necessary but not sufficient to drive A β reduction in the brain.

Discussion

One of the biggest challenges encountered with small molecule BACE1 inhibitors is obtaining good passive permeability across the blood-brain barrier (BBB) while avoiding P-gp-mediated efflux. BACE inhibitors that are subject to P-gp efflux would be predicted to deliver unbound drug levels in the brain significantly lower than unbound drug levels in the plasma based on basic physiological principles governing permeation of small molecules across the blood-brain barrier and supported by published data on marketed drugs (Mahar Doan et al., 2002). Indeed, recent reports show that BACE1 inhibitors that are also good P-gp substrates can effectively lower brain A^β only in the presence of a P-gp inhibitor or in P-gp knock-out mice (Hussain et al., 2007; Sankaranarayanan et al., 2009; Thompson et al., 2011). Much is now understood regarding the relationship of various physicochemical properties of small molecules (eq. cLogP, polar surface area, number of hydrogen-bond donors, etc.) to P-gp efflux which has enabled medicinal chemists to engineer molecules with desirable efflux properties (Hitchcock, 2012). In addition to compound properties that permit good blood-brain barrier permeation, an ideal BACE1 inhibitor therapeutic would also be orally bioavailable and have sufficiently low hepatic clearance to enable it to achieve and maintain adequate plasma concentrations. Indeed aspartyl protease inhibitors in general (e.g. HIV, BACE1 and renin) have tended to suffer from high in vivo clearance and poor in vitro metabolic stability in hepatic microsomal assays amended with the appropriate co-factors to support oxidative metabolism. This phenomenon can likely be attributed to the peptidomimetic nature of these aspartyl protease inhibitors. In this

report, we have carefully explored the PK-PD relationship across a large series of novel, orally bioavailable, brain-penetrant BACE1 inhibitors.

Compound 1 and **2** are both potent inhibitors of BACE1 in enzyme and cellbased assays. Both compounds have high *in vitro* permeability and moderate *in vivo* clearance in rat. **Compound 1** and **2** both have oral bioavailability > 100% due to nonlinear pharmacokinetics and saturable metabolism. However, **Compound 2** has a low efflux ratio, while **Compound 1** has high efflux, allowing us to assess the effect of efflux on Aβ40 inhibition in the CSF and brain. As expected, the total plasma EC₅₀ values for CSF and brain Aβ40 inhibition for **Compound 1** were 3.6- and 3.8-fold higher than the corresponding values for **Compound 2**. Furthermore, after correction for plasma protein binding, the ratio between the *in vivo* unbound plasma EC₅₀ for CSF Aβ40 inhibition and the *in vitro* cell IC₅₀ was much higher for **Compound 1** than for **Compound 2** (8-fold vs 2-fold), consistent with a significant reduction in central efficacy caused by P-gp mediated efflux.

Although both compounds showed dose-dependent reduction of $A\beta$ levels in brain homogenate and CSF after oral dosing, in both cases the apparent EC₅₀ for brain effect was larger than the EC₅₀ determined from CSF data, suggesting differential potency in the two CNS compartments. This apparent brain/CSF shift has been observed by others with both BACE1 and gamma secretase inhibitors (Dineen et al., 2012; Hawkins et al., 2011; Lu et al., 2011; Sankaranarayanan et al., 2009; Weiss et al., 2012). There are several possible explanations for this observation. The first is that each compartment has active BACE1 and generates $A\beta$ at least partially independently from one another (Crossgrove et al., 2007). In this model it would be possible for

different inhibitor potencies *in vivo* due to different exposure of drug in the 2 compartments. However, evidence suggests that A β is produced primarily in brain tissue. Following secretion from neurons, A β that is not enzymatically degraded is thought to drain through the extracellular space into CSF, presumably with some time lag. In studies using a single administration of compound, the amount of A β inhibition varies with drug concentration, as shown in Figure 3. The amount of A β reduction observed in CSF may reflect the amount of A β reduction in brain at an earlier point in time. If A β is assessed at a point in time during which drug levels in the brain compartment are decreasing, the apparent inhibition in CSF will exceed that observed in brain tissue. If A β is measured at a time during which drug levels are increasing in brain, the reverse would be true. Recent PK-PD modeling of A β reduction in brain and CSF following secretase inhibition supports this disparity in A β turnover kinetics between the two compartments (Lu et al., 2012).

Drug development programs often focus on trying to improve compound potency. Early HEA-based inhibitors showed good inhibitory potency in biochemical and cellbased assays, however they were not effective *in vivo* (Freskos et al., 2007; Kortum et al., 2007; Maillard et al., 2007; Stachel et al., 2004). Our data shows that although potency is clearly important, within a series of 134 compounds with potency < 100 nM (and most < 30 nM), there was no correlation between *in vivo* PD efficacy and *in vitro* potency. Further analysis of PK-PD data from 104 potent compounds (all < 30 nM cell IC_{50}) showed the importance of efflux, permeability and microsomal clearance for the efficacy of BACE1 inhibitors in the brain. Not surprisingly for this target, there was a very strong inverse relationship between P-gp mediated efflux and CSF and brain Aβ40

inhibition. No compounds with efflux ratios over 30, and only 9% of compounds with efflux ratios between 10 and 30 showed over 50% inhibition of CSF AB40 levels. In contrast, 74% of compounds with efflux ratios less than 3 produced greater than 50% A β 40 inhibition in CSF. Similarly, no compounds with permeability less than 10 x 10⁻⁶ cm/s produced efficacy greater than 50% AB40 inhibition in CSF, and only 25% demonstrated efficacy in the 25-50% inhibition range. Finally, the importance of metabolic stability was also evident in this set of BACE1 inhibitors as the fraction of highly active compounds with RLM CL_{int} values < 100 μ l/min/mg (65%) was significantly greater than the percentage of highly active compounds with RLM CL_{int} values > 100 μ l/min/mg (14%). The optimal BACE1 inhibitor based on this data set would have a cell IC₅₀ value less than 30 nM, P-gp efflux ratio less than 3, permeability greater than 20 x 10⁻⁶ cm/s and RLM CL_{int} less than 50 µl/min/mg. Seven compounds met all 4 criteria and they inhibited CSF A^β40 levels by 61-80% 4 hours after a single 30 mg/kg oral dose, with a mean reduction of 70%. The EC₅₀s for CSF A β 40 inhibition for the same 7 compounds ranged from 1.3 μ M to 6.5 μ M. A translation of this approach to guiding A β reduction in the clinic should be possible as human versions of all 3 in vitro PK assays described here are available.

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Authorship Contributions

Participated in research design: Wood, Wen, Hitchcock, Citron, Hickman, Zhong and Williamson

Conducted experiments: *Zhang, Zhu, Babu-Khan, Chen, Pham, Esmay and Luo* Contributed new reagents or analytic tools: *Dineen, Kaller, Weiss and Zhong* Performed data analysis: *Wood, Wen, Babu-Khan, Chen, Pham, Esmay, Luo, Hitchcock, Hickman and Williamson*

Wrote or contributed to the writing of the manuscript: Wood, Wen, Chen, Pham, Esmay, Dineen, Kaller, Weiss, Hitchcock, Citron, Zhong, Hickman and Williamson

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Footnotes

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Figure Legends

Figure 1 Structures of Compound 1 and Compound 2, hydroxylethylamine (HEA)derived BACE1 inhibitors.

Figure 2 Compounds 1 and **2** demonstrated concentration dependent lowering of CSF (filled circles) and brain (open triangles) A β 40 levels relative to vehicle controls when dosed orally to wild type Sprague-Dawley rats. Each symbol represents a measurement from an individual rat (n = 5/dose group). Compounds were dosed at various concentrations in 2% HPMC and 1% Tween-80 at pH 2. A β and compound concentrations were measured 4 h post-dose.

Figure 3 Time course experiment demonstrating pharmacokinetic-pharmacodynamic (PK-PD) relationship for **Compound 2**. Rats were dosed at 30 mg/kg and samples were analyzed for both drug and A β 40 levels at indicated time points. **A)** PK-PD relationship between A β 40 (filled circles, black line) and drug levels in CSF (open squares, grey line). Note that the measurement of drug in CSF at the 12h time point fell below our limit of detection (0.002 μ M; see Supplemental Table 2) **B)** PK-PD relationship between A β 40 (filled circles, black line) levels in brain and unbound plasma drug levels (open squares, grey line). Data plotted are averages with standard deviations (n = 5).

Figure 4 *In vivo* dose response curves were generated for 48 compounds and EC₅₀s for brain and CSF A β lowering were calculated. A comparison of CSF EC₅₀ and brain EC₅₀ for these compounds shows a positive, linear relationship between the 2 compartments (r² = 0.778; p < 0.0001; n = 48).

Figure 5 A) Comparison of *in vitro* potency (cell IC₅₀) with *in vivo* PD effect indicated no clear relationship between A β inhibition *in vitro* and *in vivo* (r² = 0.008; p = 0.3111). **B)** Comparison of plasma drug concentration (total) relative to *in vitro* potency and *in vivo* PD effect showed a clear relationship (r² = 0.26; p < 0.0001). All compounds (n = 134) were dosed orally at 30 mg/kg and CSF A β 40 and plasma drug levels were measured 4 h post-dose.

Figure 6 Compound PD effects were binned into 3 categories: inactive (CSF A β reduction $\leq 25\%$; black bars), moderately active (CSF A β reduction of 25-50%; dark grey bars) and highly active (CSF A β reduction > 50%; light grey bars). The percentage of compounds in each activity bin was calculated as function of **A**) passive permeability (Permeability), **B**) P-gp mediated efflux (Efflux Ratio) and **C**) metabolic stability in rat liver microsomes (RLM CL_{int}).

Table 1

Pharmacokinetic and pharmacodynamic properties of Compound 1 and

Compound 2.

	Compound 1	Compound 2
BACE1 FRET IC ₅₀ (nM)	5.4	5.5
BACE1 Cell IC ₅₀ (nM)	16.8	9.6
Permeability (10 ⁶ cm/s)	25	17
Rat P-gp Efflux Ratio	16.2	1.7
RLM CL _{int} (μl/min/mg)	140	51
Rat (IV) Clearance (L/h/kg)	1.25	0.87
%F	> 100	> 100
Rat (Oral) T _{1/2} (h)	2.0	1.0

Figure 1.

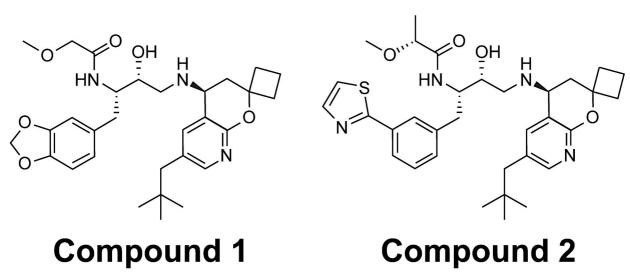


Figure 2.

Compound 1

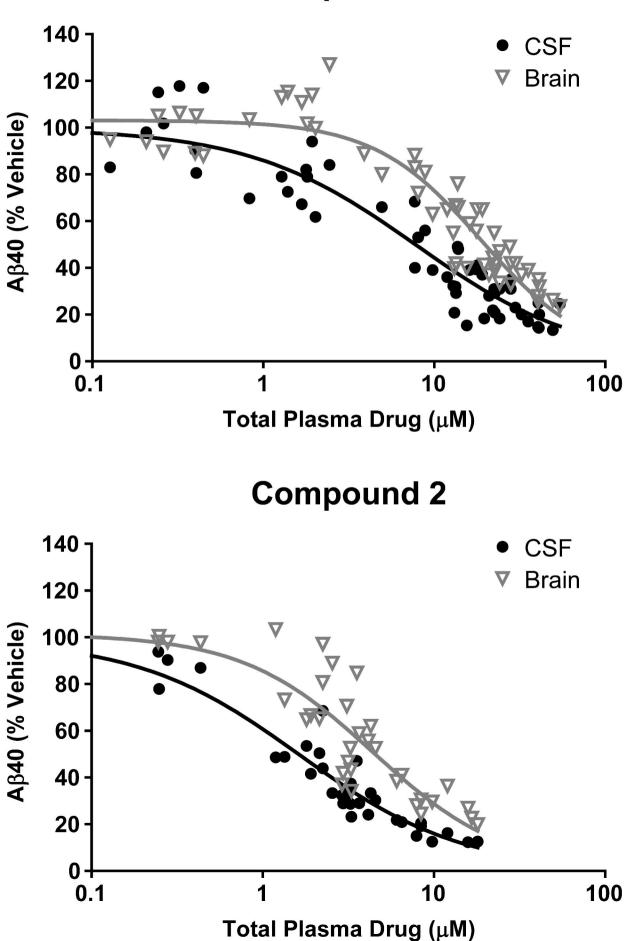
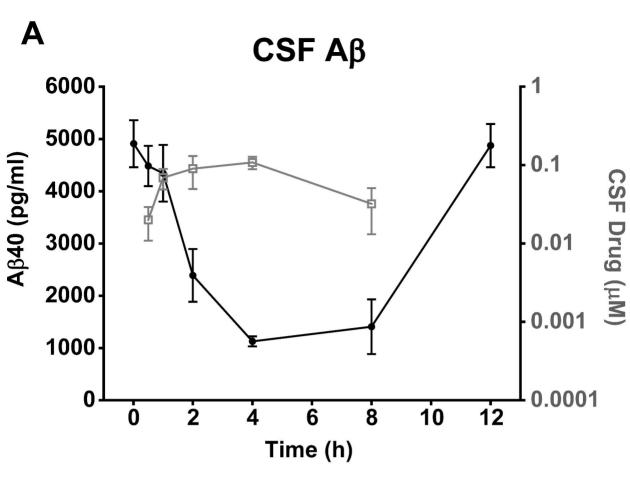


Figure 3.



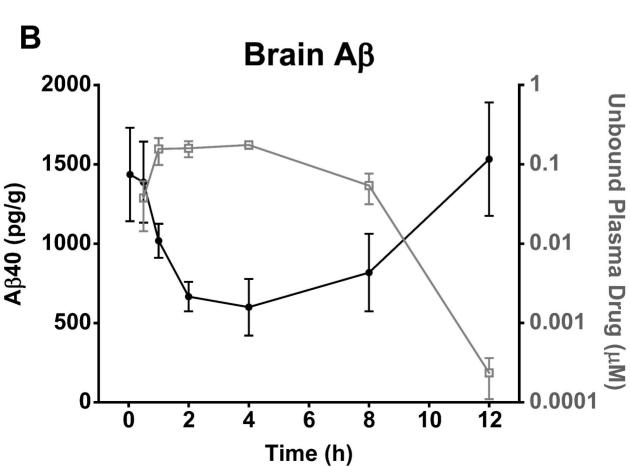


Figure 4.

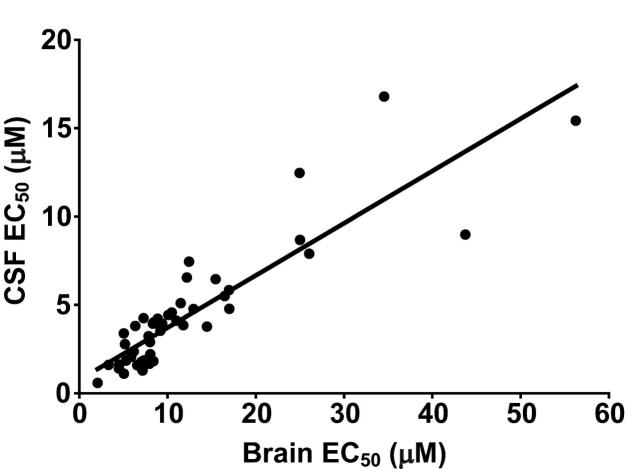
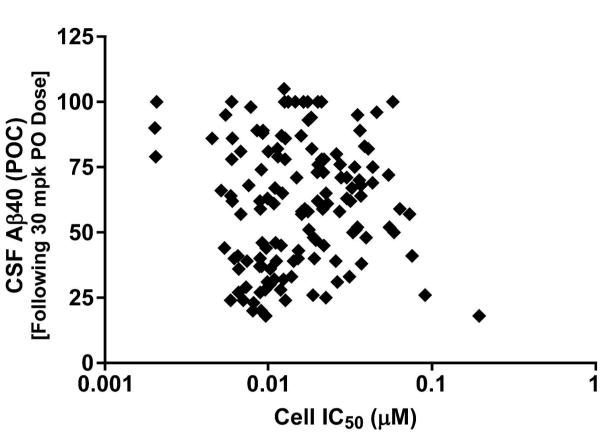


Figure 5.





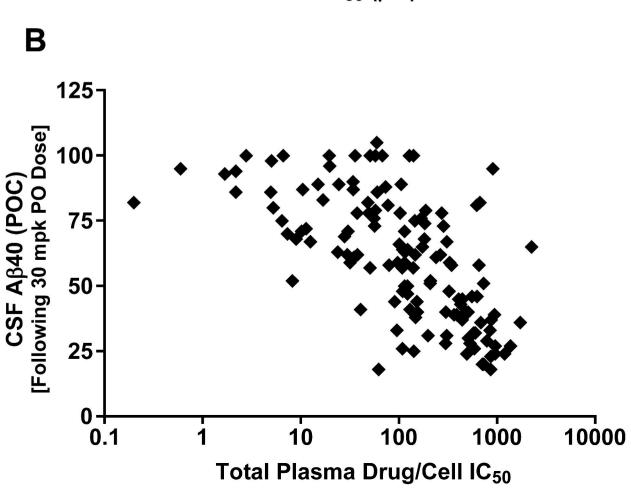


Figure 6.

