TITLE: Transgenic Mouse Models Resistant to Diet-Induced Metabolic Disease: Is Energy Balance the Key?

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RUNNING TITLE: Insulin sensitivity and energy balance

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DOCUMENT STATISTICS

Text pages: 14
Tables: 0
Figures: 1
References: 67
Words in Abstract: 107
Words in Introduction: 303
Words in Discussion: N/A

ABREVIATIONS

IRS, insulin receptor substrate; PTP1B, protein-tyrosine phosphatase 1B; AMPK, AMP-activated protein kinase; S6K1, S6 Kinase 1; mTOR, mammalian target of rapamycin; c-Cbl, Casitas b-lineage lymphoma; PEPCK, phosphoenolpyruvate carboxykinase; FTO, fat mass and obesity associated protein; VO2, oxygen consumption; SNPs, small nucleotide polymorphisms; body mass index (BMI); PGC-1α, peroxisome proliferator-activated receptor gamma coactivator 1α; RIP140, receptor interacting protein 140; UCP1, uncoupling protein 1; SRC, steroid receptor coactivators; PPARγ, peroxisome proliferator-activated receptor gamma
ABSTRACT

The prevalence and economic burden of obesity and type 2 diabetes is a driving force for the discovery of molecular targets to improve insulin sensitivity and glycemic control. Here we review several transgenic mouse models that identify promising targets, ranging from proteins involved in the insulin signaling pathway, alterations of genes affecting energy metabolism, and transcriptional metabolic regulators. Despite the diverse endpoints in each model, a common thread that emerges is the necessity for maintenance of energy balance, suggesting pharmacotherapy must target the development of drugs that decrease energy intake, accelerate energy expenditure in a well controlled manner, or augment natural compensatory responses to positive energy balance.
Introduction.

A progressive decline in the sensitivity to insulin is an early defect in the etiology of type 2 diabetes. Identifying potential therapeutic targets to improve insulin sensitivity is a major goal of academic and industry research efforts as the prevalence and economic burden of obesity and type 2 diabetes continue to rise globally (Farag and Gaballa, 2011) (Dixon, 2010). From 2008-2010, 728 U.S. patent applications were filed for specific anti-diabetic interventions, targeting various components of the glucose metabolism and insulin signaling pathways (Carpino and Goodwin, 2010). Many of these targets have been identified initially through genetic models in which a single protein has been under or overexpressed and found to generate a phenotype that is protected against diet-induced obesity and/or insulin resistance. The long-term objective is to develop and validate molecules that modulate the target protein’s function with the expectation of improving glycemic control to either prevent the onset and/or attenuate the progression of diabetes. However, despite enormous investment by federal agencies, private foundations and the pharmaceutical industry, prescription drugs currently available for treating hyperglycemia are limited, have significant shortcomings in terms of efficacy, and often require combinatorial therapy to achieve accepted treatment goals (Moller, 2012). The process of new drug development has brought to light thousands of potential therapeutic molecules, >90% of which typically fail in the preclinical phases. A number of factors have contributed to the high attrition rate of pipeline molecules, not the least of which is that many seemingly promising targets have not been adequately vetted either conceptually or experimentally. The current perspective will review some of the more promising targets identified by basic
research in the past several years, discuss potential common but often less appreciated mechanisms to emerge from these studies, and provide an assessment of potential therapeutic strategies for moving forward for the prevention/treatment of diabetes.

**Knockout Models Involving the Insulin Signaling Pathway**

*Insulin receptor phosphorylation.* Insulin binding activates tyrosine kinase activity intrinsic to the β-subunit of the insulin receptor, eliciting tyrosine phosphorylation of the receptor itself as well as the insulin receptor substrate (IRS) docking proteins (Fig. 1). A series of phosphatases associated with the insulin receptor are responsible for ‘turning off’ insulin-stimulated cell signaling under normal conditions (Worm et al., 1999) and display enhanced activity in numerous insulin-resistant states (McGuire et al., 1991; Hauguel-de Mouzon et al., 1993; Ahmad and Goldstein, 1995).

Protein-tyrosine phosphatase 1B (PTP1B) is a ubiquitously expressed tyrosine phosphatase that negatively regulates insulin signaling under normal conditions (Tonks, 2003). Blocking PTP1B action therefore represents a potential target for enhancing insulin action and potentially improving glycemic control in insulin resistant states. Consistent with this hypothesis, two independently developed lines of PTP1B-deficient mice (PTP1B−/−) were found to have improved glucose tolerance and enhanced insulin sensitivity compared with wild-type controls, even when consuming a high fat diet (Elchebly et al., 1999; Klaman et al., 2000b). However, PTP1B−/− mice are also characterized by a marked increase in total daily energy expenditure and, as such, are resistant to weight gain despite a significant increase in food intake. The increase in energy expenditure appears to be mediated by a centrally-driven increase in activity...
level, as neuronal-specific, but not muscle or liver-specific (Delibegovic et al., 2007; Agouni et al., 2011), PTP1B\(^{-/-}\) mice are more physically active than PTP1B\(^{+/+}\) mice and are protected from high fat diet-induced weight gain, adiposity, and insulin resistance (Bence et al., 2006), similar to whole-body PTP1B\(^{-/-}\) mice (Klaman et al., 2000a). Interestingly, the activity of AMP-activated protein kinase (AMPK), a ubiquitously expressed protein activated by increased energy demand, is decreased in the hypothalamic nuclei of neuronal and whole-body PTP1B\(^{-/-}\) mice (Xue et al., 2009), raising the exciting possibility that a disruption in fuel sensing in neuronal cells induced by PTP1B inhibition may alter physical activity behavior. In this same study (Xue et al., 2009), AMPK activity as well as the expression of oxidative metabolism genes were increased in skeletal muscle. Curiously, these responses were attributed to altered neuronal signaling leading to an increase in AMP in muscle and were proposed to at least partially account for the increase in energy expenditure (Xue et al., 2009), seemingly overlooking the more plausible explanation that the adaptive responses in muscle were simply driven by the increased activity level in these animals (i.e., similar to exercise training). Regardless, PTB1B inhibitors are currently being developed as potential pharmacological therapy for type 2 diabetes (Johnson et al., 2002; Thareja et al., 2010). However, the improvements in glucose homeostasis induced by whole body or neuronal PTP1B deficiency in mice appear to be most likely mediated by PTP1B actions in the brain controlling physical activity rather than the consequence of a direct effect in muscle.
Insulin signaling to protein synthesis. Insulin signaling also leads to the activation of protein synthesis which is mediated in part by S6 Kinase 1 (S6K1), an effector of the mammalian target of rapamycin (mTOR) (Um et al., 2006). S6K1 has also been implicated as a negative regulator of insulin signaling by leading either directly or indirectly to inhibitory serine phosphorylation of IRS1 during nutrient overload (Um et al., 2004; Tremblay et al., 2005). Targeting inhibition of negative regulators of insulin signaling, such as S6K1, could lead to enhanced insulin sensitivity and thus is a potentially attractive strategy for the treatment of insulin resistance. Indeed, S6K1−/− mice were found to be protected from high fat diet-induced obesity and insulin resistance despite similar or even slightly greater rates of food intake. Insulin signaling was maintained in muscle, liver, and adipose tissue in S6K1−/− mice, which was attributed to enhanced β-oxidation due to increased mitochondrial content in muscle and associated elevated metabolic rate (Um et al., 2004).

Casitas b-lineage lymphoma (c-Cbl) is a multiadaptor protein with several protein-protein interaction domains including a tyrosine kinase binding domain, an SH2 domain, and a RING finger domain containing intrinsic E3 ubiquitin ligase activity that is known to target tyrosine kinase growth factor receptors for degradation (Schmidt and Dikic, 2005; Thien and Langdon, 2005). c-Cbl has also been identified as a key protein in the alternate pathway of insulin signaling to GLUT4 translocation (i.e. non-PI3K-Akt) in 3T3-L1 adipocytes (Liu et al., 2003; Saltiel and Pessin, 2003), seemingly counter to its role as a negative regulator of growth factor signaling (Fig. 1). c-Cbl−/− mice have decreased adiposity despite hyperphagia, and have improved whole-body insulin action resulting from increased muscle glucose uptake (Molero et al., 2004). When fed a high-
fat diet, c-Cbl<sup>−/−</sup> mice are protected from diet-induced weight gain and insulin resistance (Molero et al., 2006b). While these findings are consistent with the notion of increased insulin receptor stability due to loss of a putative negative regulator, c-Cbl<sup>−/−</sup> mice are also characterized by a profound increase in energy expenditure that is paralleled by increased mitochondrial density and respiratory capacity (Molero et al., 2004). Interestingly, transgenic c-Cbl mice with mutated RING finger, but not mice with mutated PI3K binding domain, still display increased energy expenditure and are protected from high fat diet-induced obesity and insulin resistance (Molero et al., 2006a). Increased muscle AMPK activity and whole-body flux through β-oxidation were also evident and interpreted as driving the increase in energy expenditure, implying activation of a thermogenic mechanism (Molero et al., 2006a). However, these mice also display a marked increase in activity level (Molero et al., 2004; Molero et al., 2006b).

**Alterations of Genes Affecting Energy Metabolism**

**PEPCK.** The phosphoenolpyruvate carboxykinase (PEPCK) gene is expressed primarily in liver and kidney where it catalyzes the first highly regulated step in gluconeogenesis, converting oxalacetate to phosphoenolpyruvate. Offspring of mice with the PEPCK gene ablated (PEPCK<sup>−/−</sup>) only survive for 2 days following birth (She et al., 2000). Perinatal studies revealed PEPCK<sup>−/−</sup> mice were severely hypoglycemic with depleted hepatic glycogen stores (Hakimi et al., 2005), congruent with results in a hepatic-specific PEPCK<sup>−/−</sup> line (She et al., 2000; She et al., 2003), demonstrating PEPCK expression in liver is essential for glucose homeostasis.
Fasting hyperglycemia occurs with insulin resistance, and the decline of insulin sensitivity in the liver leads to unregulated transcription of PEPCK and elevated hepatic glucose production (Hanson and Reshef, 1997). So, it is not surprising that transgenic mice that overexpress PEPCK are hyperglycemic with impaired glucose tolerance (Valera et al., 1994). PEPCK involvement in regulating gluconeogenesis in the liver is well established. However, while not widely discussed, PEPCK activity is present at low levels in other tissues that are not involved in the production of glucose (e.g. adipose tissue, skeletal muscle). In these tissues, PEPCK is thought to play a role in glyceroneogeneisis by synthesizing glycerol 3-phosphate, a precursor required for reesterficiation of free fatty acids (FFAs), ultimately leading to fat storage (Ballard et al., 1967). Franckhauser and associates (Franckhauser et al., 2002) developed a transgenic mouse model that overexpressed PEPCK in adipose tissue, hypothesizing that the increase glyceroneogenesis and fat storage would lower circulating FFA. These mice did in fact weigh more and showed increased fat pad mass compared to their wild-type littermate counterparts, despite similar food intake. Even with the obese phenotype, glucose tolerance was normal and insulin sensitivity was slightly increased compared to wild-type controls. The adipose-specific overexpression of PEPCK essentially created an obese model in the absence of insulin resistance. However, when challenged with a high fat diet the transgenic mice showed the same glucose intolerance and insulin resistance as the high-fat fed controls (Franckhauser et al., 2006). While mice that overexpressed PEPCK in adipose tissue were not protected from diet-induced insulin resistance, studies involving the overexpression of PEPCK in skeletal muscle revealed an entirely different phenotype.
The muscle-specific overexpressor of cytosolic PEPCK (PEPCK-\textsuperscript{C\textsubscript{mus}}) created a transgenic mouse line of endurance athletes. These transgenic mice display a remarkable endurance capacity, running 30 times longer than their wild-type counterparts during a treadmill test to exhaustion (Hakimi et al., 2007). The PEPCK-\textsuperscript{C\textsubscript{mus}} mice are also characterized by extremely high rates of spontaneous activity, consume 60% more food but weigh nearly 50% less with 10% the body fat of wild-type littermates. They have five-times higher triglyceride content in their skeletal muscle and yet are extremely insulin sensitive (Hakimi et al., 2007), reminiscent of the “athletes’ paradox” that is evident in endurance athletes (Goodpaster et al., 2001). The overexpressing PEPCK-\textsuperscript{C\textsubscript{mus}} mice rely primarily on fatty acid for fuel and have much higher levels of mitochondria in their skeletal muscle. The mechanism by which overexpression of PEPCK in muscle produces such a remarkable phenotype is unknown. Interestingly, rats bred for high endurance running capacity are also consistently more active and have elevated PEPCK expression in muscle (Waters et al., 2008; Novak et al., 2010). It is tempting to speculate elevated PEPCK-\textsuperscript{C\textsubscript{mus}} in muscle activates some type of cataplerotic futile cycle that accelerates energy turnover which, in turn, is communicated to the brain to stimulate locomotor activity. This would explain the increase in mitochondrial biogenesis and increased endurance capacity. It has also been suggested that because the brains of highly active rats are more sensitive and/or responsive to neuropeptides that stimulate activity, it is possible skeletal muscle may produce a factor that modulates the brain circuitry controlling activity (Novak et al., 2010).
Modifications of Transcriptional Metabolic Regulators

*Obesity susceptibility genes.* Single nucleotide polymorphism (SNP) variants in the fat mass and obesity associated gene (*FTO*) are correlated with obesity and increased BMI (Scuteri et al., 2007). *FTO* is ubiquitously expressed, but highly concentrated in the arcuate nucleus of the hypothalamus—the center for appetite regulation (Wardle et al., 2008). The *FTO* gene encodes for an AlkB-like 2-oxoglutarate-dependent nucleic acid demethylase (Gerken et al., 2007), but the direct role *FTO* plays in metabolism is still unclear.

Patients with high-risk *FTO* alleles have one of the SNP variants on intron 1 of the *FTO* gene, which correlates with increased body weight and increased daily energy intake or appetite (Speakman et al., 2008; Wardle et al., 2008). Over the past several years *FTO* has became a high interest ‘obesity gene target’, dubbed the most common obesity susceptibility gene in the Caucasian population (Wardle et al., 2008). In normal mice, *FTO* mRNA levels in the arcuate nucleus are decreased following a 48 hr fast and elevated following feeding (Gerken et al., 2007), so it was unclear whether *FTO* was positively or negatively regulated by energy intake. Transgenic mice that overexpress *FTO* by 1 (FTO-3) or 2 (FTO-4) additional copies have increased body weight, food intake, and fat mass compared to their wild-type littermates (Church et al., 2010). When placed on a high fat diet, *FTO*-overexpressors have a greater percentage increase in food intake, with a direct relationship between number of additional copies of *FTO* and increased body weight and fat mass.

Interestingly, two loss of function models also suggest that *FTO* plays an important role in regulating food intake and energy expenditure. Deleting the *FTO* gene
protects against the development of obesity. Mice deficient in FTO (FTO\(^{-/-}\)), or mice harboring a dominant missense mutation that partially alters function (i.e., analogous to humans carrying at risk SNPs in the FTO gene), have reduced body weight and fat mass with similar or even higher rates of food intake (Church et al., 2009; Fischer et al., 2009). These mice are also resistant to high fat diet-induced obesity and insulin resistance, apparently as a consequence of a marked increase in energy expenditure (elevated oxygen consumption rate, VO\(_2\)) in the face of similar or even reduced spontaneous locomotor activity. The increase in energy expenditure could not be attributed to any difference in thyroid hormone or expression of uncoupling protein 1 in brown adipose tissue, but was associated with increased sympathetic tone (Fischer et al., 2009). Collectively, findings from these various mouse models suggest that elevated FTO activity is associated with increased body weight that is mediated primarily by increased food intake whereas loss or partial loss of FTO activity protects against diet induced obesity by increasing energy expenditure, potentially by inducing some type of futile energy cycle. It is worth reiterating that the small nucleotide polymorphisms (SNPs) identified within the FTO gene most strongly associated with the increased risk of obesity are located within the first intron of the gene (Speakman et al., 2008; Wardle et al., 2008), the functional impact of which is unknown. Interestingly, in two more recent studies in which dietary fat intake and physical activity were factored in failed to find any association between the high-risk FTO alleles and obesity or body mass index (BMI) in humans (Sonestedt et al., 2009; Razquin et al., 2010), suggesting lifestyle factors, or increases in energy demand, can modify the susceptibility to obesity despite unfavorable genetic predisposition.
Transcriptional coregulators. The balance of transcriptional coregulators—enhancers and repressors—plays a large role in regulating the expression of genes involved in metabolic pathways, ultimately controlling energy homeostasis. In general, transcriptional coregulators serve to enhance or repress the remodeling of chromatin and/or the assembly of the transcriptional machinery in the promoter region of target genes. The peroxisome proliferator-activated receptor gamma coactivator 1α (PGC-1α) is perhaps the best-known coactivator because of its well defined role in promoting adaptive thermogenesis in brown adipose tissue and metabolic programs that promote oxidative metabolism in other tissues, including muscle and liver (Puigserver and Spiegelman, 2003). In contrast to PGC-1α, receptor interacting protein 140 (RIP140) is a recently identified nuclear receptor corepressor that represses transcription of metabolic genes through histone deacetylase -dependent and –independent mechanisms (Christian et al., 2006). RIP140 is constitutively expressed at high levels in metabolic tissues including adipose tissue, muscle and liver (Leonardsson et al., 2004), suggesting that repression of RIP140 could promote increased expression of a favorable metabolic gene program in these tissues. Indeed, RIP140 null mice are characterized by upregulation of numerous genes involved in oxidative metabolism in both white adipose tissue and skeletal muscle, have reduced body fat and total body weight on chow or high fat diets despite no difference in food intake, and are protected from both aging and high fat diet-induced insulin resistance (Leonardsson et al., 2004; Powelka et al., 2006; Seth et al., 2007a). As predicted from the apparent change in energy homeostasis, RIP140-null mice display a consistently elevated rate of oxygen
consumption and energy expenditure in both the light and dark cycle relative to wild-type littermates (Seth et al., 2007b). The mechanism by which the absence of RIP140 increases energy expenditure is unclear. Interestingly however, several genes whose expression is normally restricted to brown adipose tissue, including uncoupling protein 1 (UCP1), dramatically increase in expression in white adipose tissue (Leonardsson et al., 2004), raising the possibility activation of thermogenesis in white adipose tissue could account for at least a portion of the increase in energy expenditure. The absence of RIP140 also elicits a marked increase in oxidative enzyme gene expression in the normally glycolytic fast twitch muscles, increasing overall mitochondrial respiratory capacity (Seth et al., 2007b). Although it is tempting to speculate the upregulation of genes involved in oxidative metabolism may account for the elevated energy expenditure in RIP140-null mice (Rosell et al., 2011), it is important to recognize that energy expenditure is a demand driven process and that an increase in oxidative capacity will increase, not decrease, energetic efficiency (Muoio and Neufer, (in press)). The extent to which such changes in the metabolic profile in muscle may influence spontaneous locomotor activity and thus contribute to the increase in energy expenditure was unfortunately not determined. Nevertheless, suppression of RIP140 has been suggested as a potential therapeutic target for the treatment in metabolic disorders associated with nutritional overload (Rosell et al., 2011).

Transcriptional coregulators interact directly with transcription factors to either enhance or repress transcription. Many of the coregulators facilitate the remodeling of chromatin structure to allow specific transcription factors and the basal transcription machinery to gain access to the promoter region of target genes. Steroid receptor
coactivators (SRC), also known as p160 proteins, interact with nuclear hormone receptors upon ligand binding (Aranda and Pascual, 2001). Three members of the SRC family, including SRC-1 (also known as NcoA-1), SRC-2 (also known as TIF2, GRIP1 or NCOA2), and SRC-3 (also known as p/CIP, AIB1, ACTR, RAC3 or TRAM-1) have been implicated in the control of energy balance, primarily by regulating the interaction with transcription factors, such as peroxisome proliferator-activated receptor gamma (PPARγ), and other coregulators, such as PGC-1α, that control the expression of genes involved in energy metabolism (Picard et al., 2002). Interestingly, SRC-2−/− and SRC-3−/− mice are protected from diet-induced obesity whereas SRC-1−/− mice are more susceptible to weight gain (Picard et al., 2002; Coste et al., 2008). Whole body SRC-2−/− and SRC-3−/− mice, as well as skeletal muscle specific SRC-2 knockout mice, are characterized by increased energy expenditure and higher body temperatures, responses that appear to be mediated by increased UCP expression and activity in mitochondria of both brown adipose tissue and skeletal muscle (Picard et al., 2002; Coste et al., 2008; Duteil et al., 2010). Normally, SRC-2 and SRC-3 help to maintain optimal efficiency between mitochondrial respiration and ATP synthesis by antagonizing the actions of SRC-1 and/or PGC-1α, both of which promote UCP expression and thermogenesis. Thus, the absence of SRC-2 or SRC-3 leaves SRC-1 unopposed, generating an increase in energy expenditure and activation of mitochondrial biogenesis. In fact, knocking out SRC-2 specifically in muscle is sufficient to protect against high fat diet-induced obesity and insulin resistance, suggesting that decreasing SRC-2 and/or increasing SRC-1 in skeletal muscle may represent viable strategies to counteract nutritional overload metabolic disorders (Duteil et al., 2010).
Conclusions and Perspectives.

The transgenic models highlighted in this review are just a few examples of genetic manipulations that have been found to protect against diet-induced insulin resistance and/or obesity. The general premise has been that developing pharmaceutical approaches that target those insulin signaling, metabolic, and/or transcriptional regulatory proteins found to be protective represents a viable strategy for improving insulin-stimulated glucose uptake and glycemic control in insulin resistant or diabetic patients. Interestingly however, despite the diversity of proteins and cellular processes targeted by genetic manipulation, an increase in energy expenditure, either via thermogenesis, futile energy cycling or increased activity level, has emerged as a common mechanism to explain the protection against diet-induced metabolic dysfunction in these studies. In fact, increased energy expenditure was identified over 10 years ago as a common underlying protective mechanism in various genetic models developed up to that point (Chen and Farese, 2001; Reitman, 2002). Thus collectively, these findings are entirely consistent with what seems intuitively obvious – that if energy surplus is the underlying cause of metabolic disease, then restoration of energy balance is ultimately going to be required to reverse these diseases.

This is consistent with the principles of cellular bioenergetics – that energy expenditure in all cells is determined by the rate of energy demand, not energy supply. Available evidence to date suggest that when cells consistently experience nutritional overload, the elevated fuel supply creates an increase in reducing pressure that is sensed by the mitochondria and counterbalanced by an increase in mitochondrial H$_2$O$_2$.
emission which, via redox signaling networks, leads to a decrease in cellular insulin sensitivity (Fisher-Wellman and Neufer, 2012). Thus for insulin sensitive tissues, the development of insulin resistance is a natural defense mechanism against nutritional overload. This implies targeting a single insulin signaling protein, transcription factor/coregulator or metabolic reaction to increase insulin-stimulated glucose uptake, in the absence of an increase in metabolic demand, will ultimately place a greater metabolic burden on those tissues. Compensatory strategies to either attenuate oxidant production or supplement existing redox buffering systems represents a potential therapeutic approach to improve insulin sensitivity. Metformin for example, the most widely prescribed drug for the treatment of type 2 diabetes, is thought to improve insulin sensitivity by partially activating the energy sensing kinase AMPK and/or by partially attenuating mitochondrial \( \text{H}_2\text{O}_2 \) emission (Zhou et al., 2001; Batandier et al., 2006; Kane et al., 2010). Regardless of the target however, compensatory approaches by definition do not block the underlying problem and therefore are unlikely to reverse metabolic disease in the long run unless metabolic balance at the cellular and whole body level is also restored. Therefore, strategies to restore metabolic balance by targeting energy supply (i.e., appetite control, nutrient absorption) and/or energy expenditure (i.e., uncouplers, futile cycles, activity behavior) systems likely represent the most viable pharmacological approaches for those individuals that are unable to sustain lifestyle modifications.

**Authorship Contributions**

*Wrote or contributed to the writing of the manuscript.* Gilliam and Neufer.
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emission without affecting respiratory capacity in skeletal muscle of obese rats.


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Footnotes

The authors are supported by grants from the United States National Institutes of Health, National Institute of Diabetes and Digestive and Kidney Diseases [R01 DK073488 and R01 DK074825]

Figure Legends

**Fig 1.** Downstream molecular signals of the insulin receptor pathway. Proteins outlined in gray are manipulated in knockout mouse models and discussed in detail in the current review. APS, adaptor proteins associated with pleckstrin homology and SH2 domains; AS160, Akt substrate of 160 kDa; CAP, c-Cbl-associated protein; c-Cbl, casitas b-lineage lymphoma; C3G, Crk SH3-binding guanine nucleotide-releasing factor; IRS, insulin receptor substrate; mTOR, mammalian target of rapamycin; PEPCK, phosphoenolpyruvate carboxykinase; PDK1, phosphoinositide-dependent kinase 1; PI3K, phosphoinositide 3-kinase; PI(3,4)P₂, phosphatidylinositol-3,4-biphosphate; PI(3,4,5)P₃, phosphatidylinositol-3,4,5-triphosphate; PKC, protein kinase c; PTP1B, protein tyrosine phosphatase 1B; RIP140, receptor interacting protein 140; SRC, steriod receptor coactivators.