Anti-PCSK9 Antibody Pharmacokinetics and Low-Density Lipoprotein-Cholesterol Pharmacodynamics in Nonhuman Primates Are Antigen Affinity-Dependent and Exhibit Limited Sensitivity to Neonatal Fc Receptor-Binding Enhancement

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ABSTRACT

Proprotein convertase subtilisin/kexin type 9 (PCSK9) has emerged as an attractive therapeutic target for cardiovascular disease. Monoclonal antibodies (mAbs) that bind PCSK9 and prevent PCSK9:low-density lipoprotein receptor complex formation reduce serum low-density lipoprotein-cholesterol (LDL-C) in vivo. PCSK9-mediated lysosomal degradation of bound mAb, however, dramatically reduces mAb exposure and limits duration of effect. Administration of high-affinity mAb1:PCSK9 complex (1:2) to mice resulted in significantly lower mAb1 exposure compared with mAb1 dosed alone in normal mice or in PCSK9 knockout mice lacking antigen. To identify mAb-binding characteristics that minimize lysosomal disposition, the pharmacokinetic behavior of four mAbs representing a diverse range of PCSK9-binding affinities at neutral (serum) and acidic (endosomal) pH was evaluated in cynomolgus monkeys. Results revealed an inverse correlation between affinity and both mAb exposure and duration of LDL-C lowering. High-affinity mAb1 exhibited the lowest exposure and shortest duration of action (6 days), whereas mAb2 displayed prolonged exposure and LDL-C reduction (51 days) as a consequence of lower affinity and pH-sensitive PCSK9 binding. mAbs with shorter endosomal PCSK9:mAb complex dissociation half-lives (~20 seconds) produced optimal exposure-response profiles. Interestingly, incorporation of previously reported Fc-region amino acid substitutions or novel loop-insertion peptides that enhance in vitro neonatal Fc receptor binding, led to only modest pharmacokinetic improvements for mAbs with pH-dependent PCSK9 binding, with only limited augmentation of pharmacodynamic activity relative to native mAbs. A pivotal role for PCSK9 in mAb clearance was demonstrated, more broadly suggesting that therapeutic mAb-binding characteristics require optimization based on target pharmacology.

Introduction

Morbidity and mortality rates remain high in patients with cardiovascular disease (CVD) despite the utility of widely prescribed statin medications, which upregulate expression of low-density lipoprotein receptor (LDLR) in the liver and reduce circulating cholesterol containing low-density lipoprotein (LDL) in the liver and reduce circulating cholesterol containing low-density lipoprotein (LDL-C) (Stancu and Sima, 2001; Grundy et al., 2004), an established risk factor for the disease. Because aggressive plasma LDL-C lowering is associated with reduced risk for CVD (Baigent et al., 2010), novel therapies resulting in even lower LDL-C may further reduce disease risk. Proprotein convertase subtilisin/kexin type 9 (PCSK9), a serine protease consisting of a prodomain, catalytic domain, and C-terminal cysteine-histidine rich domain (Piper et al., 2007), has been implicated as an important regulator of LDL-C (Horton et al., 2007). PCSK9 protein is expressed primarily in the liver and secreted into circulation (Seidah et al., 2003), where it binds LDLR on the liver cell surface. The resulting LDLR:PCSK9 complex enters the cell and is transported to the lysosome compartment and degraded (Lagace et al., 2006). In contrast, internalized LDLR:LDL-C complex dissociates within the endosome, allowing for LDLR transport back to the

ABBREVIATIONS: \(A_{\text{baseline}}\), baseline area; \(A_{\text{NGC}}\), area under the LDL-C effect profiles; ANOVA, analysis of variance; AUC, area under the serum concentration-time curve; CDR, complementarity-determining region; CVD, cardiovascular disease; ECA, effect curve area; ELISA, enzyme-linked immunosorbent assay; Fab, fragment, antigen-binding; FACS, flow-activated cell sorting; Fc, fragment, crystallizable; FcRn, neonatal Fc receptor; KO, knockout; LDL-C, low-density lipoprotein-cholesterol; LDLR, low-density lipoprotein receptor; LLOQ, lower limit of quantification; mAb, monoclonal antibody; NCA, noncompartmental analysis; PCSK9, proprotein convertase subtilisin/kexin type 9; PD, pharmacodynamic(s); PK, pharmacokinetic(s); SPR, surface plasmon resonance; \(t_{1/2}\), apparent terminal half-life associated with \(\lambda_{2}\); TMD, target-mediated disposition; WT, wild-type.
cell surface, whereas LDL-C is processed in the lysosome (Brown et al., 1997). Human subjects with PCSK9 gain-of-function and loss-of-function mutations have higher and lower circulating LDL-C, and higher and lower CVD risk, respectively, than subjects with wild-type (WT) PCSK9 (Abifadel et al., 2003; Cohen et al., 2006).

PCSK9-specific monoclonal antibodies (mAbs) have been generated that inhibit interaction between PCSK9 and LDLR, preventing PCSK9-mediated LDLR degradation in preclinical species (Chan et al., 2009; Ni et al., 2011; Liang et al., 2012). These neutralizing mAbs increase hepatocyte LDLR levels and concomitantly increase hepatic LDL-C clearance, serving to reduce plasma LDL-C levels. Inhibition of PCSK9 function by mAb intervention or other modality, such as adnectins or small interfering RNA, has also resulted in LDL-C reduction in human subjects (Hooper and Burnett, 2013; Stein and Raal, 2014). Clinically, anti-PCSK9 mAbs have shown promise as monotherapy, in combination with other treatments such as statins (Robinson et al., 2014), which are known to increase PCSK9 expression (Careskey et al., 2008), or as treatment in statin-intolerant populations (Stroes et al., 2014).

Like LDLR, anti-PCSK9 mAbs are hypothesized to undergo lysosomal degradation when bound to PCSK9, and are therefore subjected to target-mediated disposition (TMD). As a consequence of TMD, pharmacokinetic (PK) properties of therapeutics can be negatively impacted (Levy, 1994), often leading to the requirement for higher doses or more frequent administration to maintain pharmacodynamic (PD) effect. Dose-dependent PK, a hallmark of TMD, has recently been described for a high-affinity ($K_D = 0.120 \text{nM}$) anti-PCSK9 mAb (Chaparro-Riggers et al., 2012). The mechanism of PCSK9-mediated degradation of LDLR or bound antibody is not fully understood, but other PCSK9-interacting proteins, such as amyloid precursor–like protein 2, have been implicated (DeVay et al., 2013).

Attempts to minimize TMD impact have focused on engineering mAb antigen-binding properties to promote pH-dependent release of antigen in acidified endosomes. This approach has been successfully applied to a mAb recognizing interleukin-6 receptor (a cell membrane–associated target) and PCSK9 (a soluble protein ligand), in which improvements in nonhuman primate PK and PD were observed (Igawa et al., 2010; Chaparro-Riggers et al., 2012). Modulation of pH-dependent interactions with neonatal Fc receptor (FcRn) (Roopenian and Akilesh, 2007) may also help minimize TMD impact. IgG-FcRn interactions are pH-dependent, characterized by high-affinity binding at pH 5.5 in the endosome to promote recycling by protecting mAbs from catabolism, followed by extracellular release at pH 7.4 as a result of reduced affinity. Numerous examples have been described in which IgG Fc-region amino acid substitutions enhance pH-dependent FcRn binding, leading to improved PK properties in preclinical species (Dall’Acqua et al., 2002, 2006; Hinton et al., 2004; Zalevsky et al., 2010; Finch et al., 2011) or humans (Robbie et al., 2013). An effort combining pH-dependent antigen affinity optimization with enhanced FcRn binding has been reported for tocilizumab (Igawa et al., 2010).

This current work describes an endeavor to identify kinetic aspects of pH-dependent PCSK9 binding that are most crucial for retaining desired mAb PK properties and eliciting optimal PD outcomes. Results from the characterization of a panel of four PCSK9–specific mAbs are presented, wherein affinity for PCSK9 and degree of pH-sensitive binding varied across the panel. mAb PK attributes and LDL-C reduction in nonhuman primates served as primary endpoints for evaluation. Combination of pH-sensitive antigen binding with improved FcRn binding was also assessed. Selected mAbs containing previously described Fc-region amino acid substitutions YTE (Dall’Acqua et al., 2002) or LS (Zalevsky et al., 2010) were studied alongside two novel peptide Fc loop-insertion variants with improved in vitro FcRn affinity. Overall, antibody engineering to optimize pH-dependent PCSK9 binding was demonstrated to have a more significant impact on anti-PCSK9 mAb PK and PD than enhanced FcRn binding.

### Materials and Methods

#### Anti-PCSK9 mAb Generation and Characterization

The fully human mAb1 was generated as previously described (Chan et al., 2009). In brief, mice engineered to express human IgG antibodies were immunized with recombinant human PCSK9 antigen (Amgen, South San Francisco, CA). Hybridomas were generated, and the resulting mAbs were evaluated for PCSK9 binding and inhibition of the PCSK9:LDLR interaction. Characterization of human PCSK9-binding affinity, cross-reactivity to mouse and cynomolgus monkey PCSK9, and activity in a cell-based low-density lipoprotein uptake assay led to identification of multiple antibodies, from which mAb1 was selected.

Antibody mAb2 was identified in a subsequent screen of the aforementioned hybridoma mAbs (ranked initially by inhibition of the PCSK9:LDLR interaction), in which pH-dependent binding to human PCSK9 was added as a selection criterion. Biotin-labeled human PCSK9 was attached to neutravidin-coated 384-well plates, and spent hybridoma supernatant was diluted and added to the wells. The pH was adjusted to either 7.4 or 6.0, and the plate was incubated at room temperature for 2 hours. Antibody binding to PCSK9 was measured by enzyme-linked immunosorbent assay (ELISA) using a peroxidase-labeled anti-human IgG Fc antibody. mAb2 exhibited greater binding at pH 7.4 relative to 6.0 by a factor of ~2 under the conditions of the assay.

Additional efforts to generate anti-PCSK9 antibodies with specific PCSK9-binding properties included an analysis of a mAb2:PCSK9 co-crystal structure to identify complementarity-determining region (CDR)–derived amino acid residues within 6 Å of PCSK9. A total of 34 residues met this criterion. Using a computational genetic algorithm for protein design (Pokala and Handel, 2005), PCSK9-binding free energy (bound–unbound) was determined for native mAb2 to arrive at a baseline $\Delta G$ for ligand binding. Amino acid substitutions at each of the 34 identified positions were subsequently modeled to determine their effect on binding free energy (mutated-parental), giving rise to a series of $\Delta\Delta G$ values. Calculations were performed for more than 140,000 unique amino acid combinations to identify those that lowered the bound energy state. A panel of 168 of the lowest $\Delta\Delta G$ variant-containing mAbs was cloned, expressed, and tested for cynomolgus monkey PCSK9-binding affinity at pH 7.4 and 5.5 by surface plasmon resonance (SPR) analysis. Both mAb3 and mAb4 were identified as a result of this screen.

All of the selected antibodies, mAb1, mAb2, mAb3, and mAb4, were of the IgG2 subclass. The IgG2 control mAb used for in vivo mouse and cynomolgus monkey PK/PD experiments was a human anti-keyhole limpet hemocyanin mAb (Amgen).

#### Antibody:PCSK9 Complex Formation and Isolation

High-affinity anti-PCSK9 antibodies formed mono- and bivalent antigen complexes that were sufficiently stable to allow for analytical purification. mAb1 and recombinant human PCSK9 were diluted into 1× phosphate-buffered saline to final concentrations of 0.4 and 0.7 mg/ml, respectively, and incubated at 4°C for 2 hours. Bivalent mAb:huPCSK9 complex was isolated by resolving gel filtration using an ÄKTAexplorer 100 Air workstation (GE Healthcare Bio-Sciences, Pittsburgh, PA) coupled to a 2.6 × 60-cm HiLoad 26/60 Superdex 200 prep grade column (GE Healthcare Bio-Sciences). Eluent was 1× phosphate-buffered saline at a 3 ml/min flow rate. Eluted fractions exhibiting the
expected bivalent complex molecular mass were pooled, and protein concentration was determined by A280 absorbance on a Spectramax M2e microplate reader (Molecular Devices, Sunnyvale, CA). Polydispersity was assessed by dynamic light scattering. Analyses were performed in triplicate with multiple 4- to 10-second acquisitions on a DynaPro Plate Reader II (Wyatt Technology, Santa Barbara, CA). The mAb1:PCSK9 complex molecular mass was estimated using hydrodynamic radius values calculated from the Stokes-Einstein equation. A molecular mass estimate of 368 kDa confirmed binding stoichiometry of 1:2 in the resolved complex (similar analyses for mAb1 and human PCSK9 yielded molecular mass estimates of 205 and 87 kDa, respectively).

**Antibody Fab:PCSK9 Binding Affinity Characterization.** Generation of antigen-binding fragments (Fabs) from mAb1–4 was performed using a Pierce Fab preparation kit (Thermo Fisher Scientific, Waltham, MA). Kinetic rate coefficients for mAb1, mAb2, mAb3, and mAb4 Fab binding to recombinant cynomolgus monkey mAb1 (Amgen) at pH 7.4 or 5.5 were recovered from SPR-binding experiments performed with a ProteOn XPR36 Protein Interaction Array System using a NLC sensor chip (Bio-Rad Laboratories, Hercules, CA). Analytes were injected at 25°C over multiple PCSK9-biotin-captured surfaces at concentrations of 0.137–33.3 nM (pH 7.4) or 0.137–900 nM (pH 5.5). The association phase was collected for 480 seconds, and the dissociation phase was collected until the data returned to baseline (with a maximum of 7200 seconds). Data were aligned and double-referenced using ProteOn Manager, v3.1.06 (Bio-Rad Laboratories, Campbell, ACT, Australia). The dissociation phase was fit globally to a first-order exponential decay equation to recover the respective dissociation rate coefficients, which were subsequently used as fixed parameters in the global analyses of the respective association phase data. $R_{\text{max}}$ values were grouped for each PCSK9 surface. Complex dissociation half-lives at pH 5.5 were determined using $k_d$ values derived from the kinetic analyses ($ln2/k_d$).

**Anti-PCSK9 mAb Fc-Region Modifications for Enhanced FcRn Binding.** IgG Fc-region amino acid substitutions M525Y/S254T/T256E, designated YTE (Dall’Acqua et al., 2002), and M428L/N434S, designated LS (Zalevsky et al., 2010), were previously shown to enhance FcRn-binding affinity of IgG in vitro and improve PK properties of mAbs in nonhuman primates (Dall’Acqua et al., 2006; Zalevsky et al., 2010). These mutations were introduced into the IgG2 heavy chains of mAb1 and mAb2 by site-directed mutagenesis using a QuikChange kit (Agilent Technologies, Santa Clara, CA). Plasmids containing heavy chain or light chain cassettes were cotransfected into Chinese hamster ovary cells for stable expression. Antibodies were purified on a Pierce Fab preparation kit (Thermo Fisher Scientific), with Protein A or Protein G affinity chromatography. Antibody concentration was determined by UV spectrophotometry.

**Novel Fc Region Loop insertion variants (loop insertions) with enhanced FcRn binding were engineered with the aid of yeast display technology and incorporated into the IgG2 Fc heavy chain domain 3 regions of mAb2 and mAb3. A double-stranded DNA cassette, C-L5, encoding a combinatorial library of GGC-XXXXX-CGG was constructed by a standard cloning method, where X was any naturally occurring amino acid (except for cysteine) encoded by the 19 trimer phosphoramidites (Glen Research, Sterling, VA). This library was introduced into a yeast display vector resulting in the L5 Fc library. Diversity was ~5 × 10^5 variants. Saccharomyces cerevisiae yeast cells (~1.5 × 10^8) were incubated with recombinant human FcRn (Amgen) and screened by two rounds using magnetic activated cell sorting (MACS MicroBeads; Miltenyi Biotec; San Diego, CA) and one round of flow-activated cell sorting (FACS: BD Biosciences, San Jose, CA) at pH 5.5. The screening process produced a population of cells expressing Fc region that bound FcRn with higher affinity than WT Fc at pH 5.5. A subset of the FACS-sorted yeast was plated, selected, grown, and further analyzed for FcRn binding by FACS. Extensive cell lines showing high affinity to human FcRn at pH 5.5 and low affinity at pH 7.4 were selected. Several peptide variants were identified from the cell screen, and two with high pH 5.5 affinity, designated 8-(PVLFFN)-119 (AFEFY-), were shuttled into mammalian expression vectors encoding human IgG2 for incorporation into anti-PCSK9 mAbs. Binding to recombinant cynomolgus monkey FcRn (Amgen) was similar to human FcRn for 8 and 119 peptide–containing mAbs (data not shown).

**mAb:FcRn-Binding Affinity Determination by SPR.** Binding of native and Fc-modified mAbs to cynomolgus monkey FcRn was investigated by SPR using a Biacore T200 instrument (GE Healthcare Bio-Sciences). Cynomolgus monkey FcRn (10 nM) was premixed with mAb (0.1–2000 nM) in sample buffer containing 10 mM sodium acetate, 150 mM sodium chloride, 0.005% polysorbate 20, and 0.1 mg/ml bovine serum albumin at a pH of 5.5. Samples were incubated at room temperature for 1 hour prior to injection over a human Fc surface at a flow rate of 10 μl/min. The Fc surface was generated by immobilizing recombinant human Fc (Amgen) on a CM5 chip using amine coupling (~4000 RU). Analyses were run with the Fc surface in the second flow cell, whereas the first flow cell served as blank control. Sample buffer lacking bovine serum albumin was used as running buffer. Raw data were collected using BIAdvantage v2.0 software (GE Healthcare Bio-Sciences), and analysis was performed using GraphPad Prism v6 (GraphPad Software, La Jolla, CA). Uninhibited cynomolgus monkey FcRn binding to human Fc (100% signal) was determined in the absence of mAbs in solution. Decreasing FcRn-binding response observed as a consequence of increasing mAb concentrations indicated that FcRn bound to the mAbs in solution, effectively blocking FcRn from binding to immobilized Fc. Plots of the FcRn-binding signal versus antibody concentration were analyzed by nonlinear regression (assuming one-site competition) for each mAb to generate EC_{50} values. These values were used as a relative means of comparing Fc-modified and native mAb affinity for cynomolgus monkey FcRn.

**Rodent In Vivo PK and PD Studies.** Male C57BL/6 mice (Mus musculus), 20 weeks of age, were cared for in accordance with the Guide for the Care and Use of Laboratory Animals (National Research Council, 2011). Animals were group-housed at an American Association for the Accreditation of Laboratory Animal Care–accredited facility in ventilated microisolator housing on corn cob bedding. All research protocols were approved by the Institutional Animal Care and Use Committee. Male KO mice were obtained from Jackson Laboratories (West Sacramento, CA), and male WT mice were obtained from Harlan (Indianapolis, IN) and reverse osmosis–purified water via automatic watering system. Animals were maintained on a 12-hour light/dark photoperiod, and were free of ectoparasites, endoparasites, and known enteric and respiratory pathogens.

A knockout (KO) mouse model was used to investigate the extent to which endogenous PCSK9 played a role in the disposition of mAb1, as measured by relative changes in systemic PK in PCSK9 KO mice compared with C57BL/6 WT mice. Male KO mice were obtained from Ogezne (Bentley, WA, Australia), and male WT mice were obtained from Jackson Laboratories (West Sacramento, CA). Serum levels of PCSK9 were determined using an anti-PCSK9 ELISA (R&D Systems, Minneapolis, MN). IgG2 control or mAb1 was administered to WT and KO animals (n = 15/group) via bolus tail vein injection at a dose of 3 mg/kg. Whole blood was collected via tail nick in subsets of animals (n = 5) from each group at various time points postinjection (protocol 1: 15 minutes and 3, 14, 24, and 42 days; protocol 2: 4 hours and 7, 17, 28, and 49 days; protocol 3: 24 hours and 10, 21, 35, and 56 days); thus, each animal was subjected to a maximum of five blood collections over a 56-day period (n = 5/time point). Blood samples were processed to serum for antibody concentration determination and PK analyses.

The impact of PCSK9 on antibody disposition in vivo was further explored by administering mAb1 to normal mice as a bivalent complex with human PCSK9. The mAb1:PCSK9 complex (1:2 stoichiometry) was generated in vitro using recombinant proteins and isolated prior to in vivo dosing, as described above. Either mAb1:PCSK9 complex or mAb1 alone, as a control, was administered intravenously to male C57BL/6 mice via bolus tail vein injection at molar equivalent doses of mAb1 (20 or 10 mg/kg, respectively). Blood samples (n = 5/time point) were collected at 1, 6, 10, 24, 48, and 96 hours and 7, 10, 14, and 21 days.
with processing to serum for mAb1 concentration determination and PK analyses. Relative changes in systemic mAb1 PK when dosed as a complex or alone served as an indicator of the influence of exogenous human PCSK9 on antibody disposition.

Nonhuman Primate In Vivo PK and PD Studies. Male cynomolgus monkeys (Macaca fascicularis), weighing 2–5 kg, were cared for in accordance with the Guide for the Care and Use of Laboratory Animals (National Research Council, 2011). Animals were housed individually at an indoor, American Association for the Accreditation of Laboratory Animal Care–accredited facility. All research protocols were approved by the Institutional Animal Care and Use Committee.

Animals were fed PMI Certified Primate Diet 5048 (Purina Mills, Inc., Richmond, IN) daily in amounts appropriate for the age and size of the animals, and they had ad libitum access to water processed through a reverse osmosis filter and delivered via automatic watering system. Animals were maintained on a 12-hour light/dark photoperiod in rooms at 64–84°F (30–70% humidity) and had access to enrichment opportunities. All animals were negative for simian retrovirus.

Nonhuman primates were used as an in vivo model to investigate anti-PCSK9 antibody PK and LDL-C PD. Data presented in this work were generated in three separate single-dose studies. In study 1, mAb1, mAb2, mAb3, mAb4, and IgG2 control antibodies were administered subcutaneously at a 0.5 mg/kg dose to naive male monkeys (n = 5/group). Subjects were randomized and placed into treatment groups based on body weight and serum LDL-C level measured 8 days prior to dosing. Following a predose blood collection, antibodies were dosed and additional blood samples were collected at 24, 48, 72, 96, 144, 216, 288, 360, 432, 504, 576, 648, 720, 792, 864, 936, 1008, 1080, 1152, and 1224 hours. Blood was processed to serum and stored at −80°C pending shipment to Amgen for PK and PD analyses. The in-life portion of the study was conducted at Valley Biosystems (West Sacramento, CA). In study 2, mAb1, mAb1 YTE, mAb1 LS, mAb2, mAb2 YTE, and mAb2 LS were administered intravenously at a dose of 1 mg/kg (variants) or 3 mg/kg (mAb1 and variants) via slow bolus injection to naive male monkeys (n = 4/group). Subjects were randomized and placed into treatment groups based on serum LDL-C level measured 9 days prior to dosing. Following a predose blood collection, antibodies were administered and blood samples were collected 0.25, 1, 4, 8, 12, 24, 72, 168, 240, 336, 408, 504, 576, 672, 744, 840, 1008, 1176, and 1344 hours for PK. Sampling was the same for the PD with the exception of predose, 0.25-, 4-, and 12-hour time points, which were omitted. Blood was processed to serum and stored at −80°C pending antibody concentration determination and PK analysis at Amgen. The in-life phase of the study and LDL-C analysis were performed by Charles River Laboratories (Reno, NV). In study 3, mAb2, mAb2_8, mAb2_119, mAb3, mAb3_8, and mAb3_119 were administered intravenously at a dose of 1 mg/kg via slow bolus injection to naive male monkeys (n = 4/group). Subjects were randomized and placed into treatment groups based on serum LDL-C level measured 7 days prior to dosing. After a predose collection, antibodies were administered and blood samples were collected at 0.25, 1, 4, 8, 12, 24, 72, 168, 240, 336, 408, 504, 576, 672, 744, 840, 1008, 1176, 1344, 1512, and 1680 hours for PK. Sampling was the same for PD with the exception of 8- and 12-hour time points, which were omitted. Blood was processed to serum and stored at −80°C pending antibody concentration determination and PK analysis at Amgen. The in-life phase of the study and LDL-C determinations were conducted at Charles River Laboratories.

Antibody PK. Serum concentrations of antibodies dosed to mice and nonhuman primates were determined by immunoassay using either a plate-based sandwich ELISA method or a microfluidic assay with fluorescent detection on a Gyrolab xp workstation (Gyros AB, Uppsala, Sweden). A polyclonal goat anti-human IgG and horseradish peroxidase–labeled goat anti-human IgG (Jackson Immunoresearch Laboratories, West Grove, PA) were used for analysis of mouse study samples. Cynomolgus monkey study samples were analyzed using a mouse anti-human Fc mAb and a horseradish peroxidase– or Alexa647–labeled anti-human Fc mAb (Amgen). Analyte concentrations were determined in Watson LIMS, v7.4 (Thermo Fisher Scientific), from data regression of optical density measurements derived from horse radish peroxidase–mediated colorimetric reactions or fluorescence values derived from Alexa647 fluorescence emissions converted to concentration values. Quantitation was based on a four-parameter logistic (4PL) regression of separately prepared standard curves. The lower limit of quantification (LLOQ) for the assays ranged from 34.4 to 48.8 ng/ml. Graphical presentation of mean concentration–time data (± S.D.) was prepared using SigmaPlot, v12.5 (Systat Software, San Jose, CA). Individual serum concentrations reported as below the LLOQ were set to zero for the purpose of calculating a mean value. Mean values were calculated if ≤50% of the observations were greater than the LLOQ.

PK analysis of mouse concentration data obtained from PCSK9 KO and WT mice was performed using Phoenix WinNonlin, v6.0.3.395 (Pharsight, Cary, NC). Area under the serum concentration–time curve (AUC) from time zero to the time of last quantifiable concentration, AUC from zero to a time of interest, systemic clearance (CL), mean residence time, and σt1/2 values were determined by noncompartmental analysis (NCA). Areas were estimated by linear trapezoidal lineal/log interpolation, and t1/2, was estimated from 504 hours (21 days) to either 1008 hours (42 days; mAb1 in WT mice) or 1344 hours (56 days). Due to the composite study design with intermittent sampling of each animal, PK were calculated based on mean concentrations (n = 5) for each time point. Parameters are reported to three significant figures, except for t1/2, which was reported to two significant figures.

PK analyses of monkey concentration data obtained from studies 1–3 were performed in Watson LIMS v7.4. In cases in which doses were administered intravenously (studies 2 and 3), the same PK parameters were determined as described previously for mouse. For subcutaneous administration (study 1), apparent CL and maximum observed antibody concentration were calculated. Areas were estimated by the linear log-linear trapezoidal method, and t1/2, was estimated during the log-linear terminal phase of the concentration–time profiles. All parameters were determined by NCA for individual animals, and mean values (±S.D.) were reported for each dose group (two significant figures for t1/2, and three significant figures for all other parameters). Within each study, one-way analysis of variance (ANOVA) was performed using SigmaPlot to determine whether clearance and half-life differed by treatment (pH-sensitive PCSK9 binding or enhanced FcRn binding). When significance was established (α = 0.05), a pairwise multiple comparison was performed using the Holm-Sidak method.

Given the pharmacology of PCSK9 and its role in trafficking LDLR to the lysosome (Lagace et al., 2006), it was initially hypothesized that anti-PCSK9 antibodies would be subjected to TMD and exhibit dose–dependent changes in CL. The impact of PCSK9 target on mAb PK was subsequently confirmed in mice (described in this work) and in cynomolgus monkeys (data not shown). Similar findings were recently described (Chaparro-Riggers et al., 2012). As the aims of the present investigation were specifically to explore relative differences in AUC exposure, CL, and apparent half-life between antibodies of varying target and FcRn affinity, an NCA approach was used to characterize antibody PK for comparison at identical doses. Differences in these parameters between antibodies were taken as evidence of influence of the target or FcRn on antibody disposition. AUC from time zero to the time of last quantifiable concentration and AUC from zero to infinite time were reported as a means to judge the extent to which exposure (and therefore CL) was effectively captured using the NCA approach.

PCSK9 and LDL-C PD. Serum levels of total PCSK9 at selected time points were measured using a Quantikine human PCSK9 immunoassay kit (R&D Systems). The assay had good accuracy and precision, and was qualified with a LLOQ of 1 ng/ml. Serum LDL-C was measured colorimetrically using either a Cobas Integra 480 (Roche Diagnostics, Indianapolis, IN) or Olympus AU640e (Olympus, Center Valley, PA) clinical chemistry analyzer. Graphical presentation of mean PCSK9 and LDL-C data (±S.E.M.) was prepared using SigmaPlot. Determination of the maximal LDL-C lowering (Emax) for individual subjects was made by calculating the difference between
the lowest observed LDL-C level achieved post-treatment and the corresponding LDL-C baseline, established by taking the mean of the day −8 or −9 and time 0 predose values (studies 1 and 3). LDL-C baseline for study 2 was taken to be the day −7 value, because the time 0 predose samples were not analyzed for PD. Overall pharmacological effect for individual subjects was assessed by calculating the LDL-C effect curve area (ECA). Baseline values as described above for individual subjects in each study were multiplied by the study sampling duration to define the baseline area (Abaseline). Area under the LDL-C effect profiles (AUCmax) was then estimated by NCA in Phoenix WinNonlin using the trapezoidal method with linear interpolation. The difference between Abaseline and ANGCA was the LDL-C ECA (Abaseline − ANGCA = ECA). Individual values of Emax and ECA in each dose group were used to calculate mean values (±S.E.M.).

Statistical analyses were performed on the LDL-C PD data to evaluate whether pH-sensitive PCSK9 binding or enhanced FcRn binding resulted in the following: 1) increased Emax or ECA; or 2) difference in LDL-C lowering time course. SAS for Windows Vista (Release 9.2; SAS Institute, Cary, NC) was used for the analyses, with inferential statistical tests conducted at the 5% level. The statistical methods were consistent across the three cynomolgus monkey studies and are described below.

An analysis of variance model was used to evaluate the effect of treatments (pH-sensitive PCSK9 binding or enhanced FcRn binding) on Emax and ECA. Data were log-transformed prior to the statistical analysis. A one-way homoscedastic ANOVA model (allowing for a common variance for all groups) and a one-way heteroscedastic ANOVA model (allowing for a different variance in each group) were compared, and the one with the lower Akaike Information Criterion value was chosen for analysis. Kenward and Roger’s method for calculation of degrees of freedom was used for inferential test statistics.

A repeated measure analysis of covariance (baseline as covariate) model was first used to evaluate the effect of treatments (pH-sensitive PCSK9 binding or enhanced FcRn binding) on the time course of LDL-C reduction. LDL-C was log-transformed prior to the statistical analysis. Variance and covariance structure of the repeated measures was selected on the basis of lowest Akaike Information Criterion among commonly used covariance structures, including compound symmetry, heterogeneous compound symmetry, first-order autoregressive, and heterogeneous first-order autoregressive. Kenward and Roger’s method for calculation of degrees of freedom was used for the inferential test statistics.

The effect of treatment group, effect of time, and the interaction between treatment group and time were estimated by the model. Comparisons among treatment groups at each postdose time point and comparisons between the postdose and predose time points were performed only when the interaction between treatment group and time was statistically significant, implying treatment-dependent time courses. The multiple comparisons among treatment groups were not adjusted. The multiple comparisons between postdose and predose time points were adjusted by multiplying the P value by the square root of the number of postdose time points.

**Results**

**PK of mAb1 in PCSK9 WT and KO Mice.** PK studies were performed in PCSK9 WT and KO mice with mAb1 (KD = 0.160 nM for mouse PCSK9) to evaluate the impact of target antigen on the systemic exposure of a high-affinity mAb (Chan et al., 2009). The serum concentration of endogenous PCSK9 in C57BL/6 WT mice was 70.9 ± 41.1 ng/ml (mean ± S.D.; n = 10), or ~1 nM, as measured by ELISA. No PCSK9 protein was detectable in PCSK9 KO mice (n = 5), confirming that the model was a valid tool for assessing mAb1 PK in the absence of PCSK9. Similar to results reported previously for antibody J10 (Chaparro-Riggers et al., 2012), the mAb1 concentration-time profile in WT mice differed greatly from that observed in PCSK9 KO mice (Fig. 1A). CL of mAb1 was ~4 times higher, and terminal half-life was ~4 times shorter in the presence of PCSK9 than in its absence (Table 1). AUC, CL, and half-life of mAb1 in KO mice were all similar to IgG2 control values in both WT and KO mice, emphasizing that inherent instability or other pharmacologic liability was not likely responsible for the unique mAb1 PK behavior in the WT strain.

Additionally, administration of mAb1 to WT mice in the form of an immune complex with exogenous (human) PCSK9 allowed for exploration of the effect of antigen on mAb1 PK at higher mAb doses (equivalent to 10 mg/kg), in which impact of TMD is otherwise expected to be minimal. The PK profile of mAb1 administered as complex varied significantly from that of mAb1 alone at an equivalent antibody dose (Fig. 1B). CL of mAb1 dosed as a complex was higher than mAb1 dosed alone by a factor of ~1.1 (Table 1). Immunoassay detection of mAb1 dosed as mAb1:PCSK9 complex relied on reagents specific for human Fc; therefore, the resulting mAb1 PK profile could potentially reflect multiple mAb1 species, PCSK9-bound or unbound. Overall, results from both mouse studies provided clear evidence of a role for PCSK9 in the disposition of neutralizing mAbs.

**Determination of Antibody Fab:PCSK9-Binding Kinetics and Affinities by SPR.** Kinetic data resulting from SPR analyses of mAb1-4 Fab binding to cynomolgus monkey PCSK9 at pH 7.4 and 5.5 (Supplemental Fig. 1) demonstrated varying degrees of pH sensitivity across the panel of Fabs (Table 2). Data indicated that mAb1 Fab bound PCSK9 with high affinity under both pH conditions (KD = 0.00596 and 0.0102 nM at pH 7.4 and 5.5, respectively). This similarity in KD values suggested that antigen binding was not affected by pH, as association rate constants were identical at both pHs, and dissociation rate constants differed by a factor of <2. mAb2 Fab bound PCSK9 with lower affinity (KD = 4.55 nM at pH 7.4) compared with mAb1 Fab as a result of reduced κd (~10 times lower) and increased k4 (~80 times higher). In contrast to mAb1 Fab, mAb2 Fab exhibited pH-dependent PCSK9 binding. At pH 5.5, a modest reduction in κd (<3 times) was observed compared with pH 7.4, whereas k4 increased by a factor of 60 from 11.2 × 10−10 to 670 × 10−10 s−1, resulting in a KD of 676 nM. The mAb2 Fab pH 5.5 κd corresponds to a complex dissociation half-life of 10 seconds, which was substantially different from the mAb1 Fab complex dissociation half-life of 28,000 seconds. Binding kinetics of mAb4 Fab were generally comparable to mAb2 Fab; PCSK9 binding was pH-dependent, and the κd at pH 5.5 translated to a similar complex dissociation half-life (13 seconds). Subtle differentiation between mAb4 and mAb2 Fab was observed with regard to affinity, in which mAb4 Fab bound PCSK9 with higher affinity at pH 7.4 and 5.5 (KD = 0.979 and 292 nM, respectively). mAb3 Fab exhibited higher affinity than mAb4 Fab at pH 7.4 and 5.5 (KD = 0.166 and 54.2 nM, respectively), but lower affinity compared with mAb1 Fab. Based upon κd/KD ratios calculated for each Fab, mAb3 had the highest degree of pH-dependent binding, followed by mAb4, mAb2, and mAb1 Fab. However, the pH 5.5 mAb3 Fab κd value of 88.7 × 10−4 s−1 translated to a complex dissociation half-life of 78 seconds, which was longer than mAb2 and mAb4 Fab. Overall, the diverse range of PCSK9-binding characteristics represented by the panel of antibodies warranted investigation in vivo to assess relative impact on PK attributes and LDL-C outcomes.

**PK of pH-Sensitive Antibodies and LDL-C PD in Monkeys.** The effects of pH-sensitive PCSK9 binding on PK
and PD responses, measured by changes in total PCSK9 and reduction in LDL-C relative to baseline levels, were evaluated in cynomolgus monkeys. Mean concentration-time profiles (± S.D.) for mAb1, mAb2, mAb3, mAb4, and IgG2 control are shown in Fig. 2A; mean concentration-time profiles for total PCSK9 (± S.E.M.) are displayed in Fig. 2B; and mean LDL-C PD profiles (± S.E.M.) are presented in Fig. 2C. Mean PK and PD data, as described in Materials and Methods, are summarized in Table 3. Summaries of the P values resulting from pairwise comparison of CL/F and $t_{1/2}$ and the adjusted P

**TABLE 1**
Pharmacokinetics of mAb1 and IgG2 control in C57BL/6 (WT) and PCSK9 $^{−/−}$ (KO) mice following intravenous administration

<table>
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<th>Mouse Strain</th>
<th>Test Article</th>
<th>Dose</th>
<th>$AUC_{inf}$</th>
<th>$AUC_{last}$</th>
<th>CL</th>
<th>$V_{ss}$</th>
<th>$t_{1/2}$</th>
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<td></td>
<td></td>
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<td>µg × h/ml</td>
<td>ml/h per kg</td>
<td>ml/kg</td>
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<td>5700</td>
<td>0.526</td>
<td>53.4</td>
<td>150</td>
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<td>IgG2 control</td>
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<td>19,700</td>
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<td>78.9</td>
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<td>KO</td>
<td>mAb1</td>
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<td>580</td>
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<td></td>
<td>IgG2 control</td>
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<td>20,900</td>
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<td>mAb1:PCSK9</td>
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<td>3350</td>
<td>3340</td>
<td>2.99</td>
<td>151</td>
<td>64</td>
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$AUC_{inf}$, AUC from zero to infinite time; $AUC_{last}$, AUC from time zero to the time of last quantifiable concentration; $V_{ss}$, volume of distribution at steady state.

$^a$Dose level refers to mAb1:PCSK9 complex prepared at a 1:2 stoichiometric ratio. The molecular mass ratio of mAb1:PCSK9 is ∼2:1; therefore, the mAb1 equivalent dose was 10 mg/kg.
values for LDL-C difference from baseline are presented in Supplemental Tables 1 and 2, respectively. Statistical analyses indicated that LDL-C profiles differed across treatments.

PK properties of the IgG2 control were consistent with expectation for a human antibody dosed to monkeys, based on observed CL/F (0.128 ml/h per kg) and t1/2,x (460 hours). Notably, mAb1 CL/F (1.37 ml/h per kg) was 11 times higher than IgG2 control, and half-life (49 hours) was 9 times shorter. The LDL-C ECA was 422 mg/dl, which differed significantly from mAb2, mAb3, and mAb4 ECA estimates (pairwise comparison from mAb2, mAb3, and mAb4 ECA estimates (pairwise comparison P values ranged from 0.002 to 0.015). The duration of LDL-C reduction (defined as the last time point at which LDL-C differed from predose baseline) for mAb1 was 6 days, shorter than all other antibodies dosed in the study. mAb2 AUC differed widely across the antibodies tested, differences in antigen affinity were subjected to competitive binding analysis prior to dosing in monkeys. EC50 values used to compare relative mAb FC affinities for cynomolgus monkey FcRn at endosomal pH (5.5) are presented in Table 4. Native mAb1, mAb2, mAb3, and mAb4 EC50 were reasonably comparable, ranging from 83.7 to 209 nM. This result was not unexpected, because the antibody IgG2-derived FC sequences were the same. Therefore, variability in the CDRs responsible for evoking different PCSK9-binding properties appeared to have limited impact on FcRn binding, although interesting examples in which Fab variation did affect FcRn binding have been described (Wang et al., 2011). Similarly, mAb binding to PCSK9 did not seem to affect mAb interaction with FcRn, as experiments conducted with mAb1:PCSK9 complex indicated that human FcRn affinity was comparable to mAb1 alone (data not shown).

Fe variants of mAb1 that incorporated the previously described YTE and LS amino acid substitutions (see Materials and Methods) exhibited EC50 of 6.48 and 16.1 nM, respectively, which corresponded to increases in FcRn affinity by a factor of 7–16 compared with native mAb1. Likewise, FcRn affinities of mAb2_YTE and mAb2_LS improved by a factor of 7–11 relative to native mAb2. In both examples, YTE mutations resulted in modestly larger affinity improvements relative to the LS mutations, and this improvement was not influenced meaningfully by CDR sequence. The novel FC loop-insertion variants, 8 and 119, afforded a greater shift in FcRn-binding affinity in mAb2 than the YTE and LS point mutations. mAb2_8 and mAb2_119 EC50 (3.09 and 4.44 nM, respectively) were 68 and 48 times lower than the native mAb2 EC50 (209 nM) measured in the same experiment. The 8 and 119 loop-insertion variants of mAb3 exhibited 3.59 and 3.62 nM EC50 values, respectively, which corresponded to an affinity improvement on the order of 35 times compared with native mAb3. An in vitro assessment of FcRn affinities at pH

<table>
<thead>
<tr>
<th>Antibody Fab</th>
<th>pH</th>
<th>k_d,7.4 \times 10^7 M^{-1} s^{-1}</th>
<th>k_d,5.5 \times 10^7 M^{-1} s^{-1}</th>
<th>t_{1/2,\text{dis}}</th>
<th>k_d,5.5/k_d,7.4</th>
<th>K_D</th>
<th>K_{D,5.5}/K_{D,7.4}</th>
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<tbody>
<tr>
<td>mAb1</td>
<td>7.4</td>
<td>23.5 (0.009)</td>
<td>0.137 (0.0003)</td>
<td>51,000</td>
<td>0.00596 (0.000002)</td>
<td>1.7</td>
<td>7.4 (0.0007)</td>
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<tr>
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<td>7.4</td>
<td>2.47 (0.005)</td>
<td>11.2 (0.05)</td>
<td>620</td>
<td>4.55 (0.02)</td>
<td>1.7</td>
<td>7.50 (0.04)</td>
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<td>mAb3</td>
<td>5.5</td>
<td>0.991 (0.007)</td>
<td>670 (5)</td>
<td>10</td>
<td>60             (5)</td>
<td>1.66 (0.0001)</td>
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<tr>
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<td>5.87 (0.004)</td>
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<td>0.166 (0.0001)</td>
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<td>1.64 (0.004)</td>
<td>88.7 (1.1)</td>
<td>78</td>
<td>91             (1)</td>
<td>54.2 (0.1)</td>
<td>330</td>
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<tr>
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<td>7.66 (0.01)</td>
<td>7.50 (0.04)</td>
<td>7200</td>
<td>0.0102 (0.000008)</td>
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<td>3.00 (0.0004)</td>
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<td>1.86 (0.006)</td>
<td>542 (4)</td>
<td>13</td>
<td>72             (9)</td>
<td>292 (9)</td>
<td>300</td>
</tr>
</tbody>
</table>

* t_{1/2,\text{dis}} complex dissociation half-life (Ln2/k_d). The S.D. to the 1:1 binding model fit is indicated in parentheses.

Total serum PCSK9 concentrations were elevated and returned to near predose levels in a time course similar to LDL-C for mAbs1–4 (Fig. 2B). The increase in antigen levels was generally consistent with effects observed for other mAbs neutralizing soluble targets (Davda and Hansen, 2010). This indicated that target engagement by the antibodies was associated with the pharmacological response. The degree of PCSK9 increase was variable across the antibodies, suggesting the magnitude of increase may be a function of differential antigen affinity.

Relative Anti-PCSK9 Antibody FC-Binding Affinities for Cynomolgus Monkey FcRn. Native mAbs and FC variants containing modifications to enhance FcRn-binding affinity were subjected to competitive binding analysis prior to dosing in monkeys. EC50 values used to compare relative mAb FC affinities for cynomolgus monkey FcRn at endosomal pH (5.5) are presented in Table 4. Native mAb1, mAb2, mAb3, and mAb4 EC50 were reasonably comparable, ranging from 83.7 to 209 nM. This result was not unexpected, because the antibody IgG2-derived FC sequences were the same. Therefore, variability in the CDRs responsible for evoking different PCSK9-binding properties appeared to have limited impact on FcRn binding, although interesting examples in which Fab variation did affect FcRn binding have been described (Wang et al., 2011). Similarly, mAb binding to PCSK9 did not seem to affect mAb interaction with FcRn, as experiments conducted with mAb1:PCSK9 complex indicated that human FcRn affinity was comparable to mAb1 alone (data not shown).

Fe variants of mAb1 that incorporated the previously described YTE and LS amino acid substitutions (see Materials and Methods) exhibited EC50 of 6.48 and 16.1 nM, respectively, which corresponded to increases in FcRn affinity by a factor of 7–16 compared with native mAb1. Likewise, FcRn affinities of mAb2_YTE and mAb2_LS improved by a factor of 7–11 relative to native mAb2. In both examples, YTE mutations resulted in modestly larger affinity improvements relative to the LS mutations, and this improvement was not influenced meaningfully by CDR sequence. The novel FC loop-insertion variants, 8 and 119, afforded a greater shift in FcRn-binding affinity in mAb2 than the YTE and LS point mutations. mAb2_8 and mAb2_119 EC50 (3.09 and 4.44 nM, respectively) were 68 and 48 times lower than the native mAb2 EC50 (209 nM) measured in the same experiment. The 8 and 119 loop-insertion variants of mAb3 exhibited 3.59 and 3.62 nM EC50 values, respectively, which corresponded to an affinity improvement on the order of 35 times compared with native mAb3. An in vitro assessment of FcRn affinities at pH
7.4 confirmed no FcRn binding or significantly reduced binding, as EC$_{50}$ either could not be determined under the conditions of the assay or were $\sim 100$–$1000$ times higher (right-shifted) than at pH 5.5 (data not shown). In vitro pH 5.5 FcRn-binding improvements offered by the panel of Fc variants were sufficient to warrant further investigation in vivo to assess impact on antibody PK and PD responses.

### PK of Fc-Variant Antibodies and LDL-C PD in Monkeys.

The effects of enhanced FcRn binding on PK and PD responses, measured by reduction in LDL-C relative to baseline levels, were evaluated in cynomolgus monkeys for mAbs of varying PCSK9 affinity. Mean concentration-time profiles (± S.D.) for mAb1, mAb1_YTE, mAb1_LS, mAb2, mAb2_YTE, and mAb2_LS are shown in Fig. 3A, and mean LDL-C PD profiles (± S.E.M.) are presented in Fig. 3B. Mean PK and PD data, as described in Materials and Methods, are summarized in Table 3. Summaries of the $P$ values resulting from pairwise comparison of CL/F and $t_{1/2,z}$ and the adjusted $P$ values for LDL-C difference from baseline are presented in Supplemental Tables 3 and 4, respectively. Statistical analyses indicated that LDL-C profiles differed across treatments.

For native mAb1, CL (0.683 ml/h per kg) was similar to the CL observed for YTE and LS variants (0.650 and 0.684 ml/h per kg, respectively). Likewise, half-life estimates were similar across the three antibodies, ranging from 42 to 52 hours. No statistical difference was detected in CL or half-life when comparing mAb1 with either variant. LDL-C PD profiles for mAb1 and its YTE and LS Fc variants were overlaid, with similar ECA values observed and identical durations of LDL-C lowering (10 days) for each. The mAbs exhibited no statistical difference in LDL-C lowering throughout the time course. These results demonstrated that improved FcRn binding had no meaningful impact on PK or PD for a high-affinity, pH-insensitive PCSK9 binder. For mAb2, with lower, pH-sensitive affinity, some degree of differentiation was observed between native antibody and the YTE and LS Fc point mutants with regard to CL and half-life. mAb2_YTE and mAb2_LS exhibited CL of 0.0931 and 0.118 ml/h per kg, respectively, compared with 0.168 ml/h per kg for native mAb2; however, this reduction in CL was not great enough to achieve statistical significance (Supplemental Table 3). Estimates of half-life for mAb2_YTE and mAb2_LS (470 and 420 hours, respectively) were $\approx 2$ times longer than mAb2 (270 hours). With regard to PD endpoints, the YTE and LS Fc point mutants exhibited similar $E_{\text{max}}$ values compared with native mAb, with ECAs trending higher for YTE and LS than for native mAb2. Although $E_{\text{max}}$ and ECA differences were not significant, statistical analysis of the duration of LDL-C lowering showed a modest prolongation of PD effect for both mAb2_YTE and mAb2_LS (42 and 56 days, respectively) compared with mAb2 (35 days). Statistical differentiation between the LDL-C time courses of mAb2 and both the YTE and LS Fc variants occurred at only two to three time points.

For native mAb3, CL (0.634 ml/h per kg) was significantly higher compared with CL observed for 8 and 119 Fc loop-insertion mutants (0.422 and 0.381 ml/h per kg, respectively;
### Table 3

<table>
<thead>
<tr>
<th>Antibody Dose (mg/kg)</th>
<th>AUC&lt;sub&gt;inf&lt;/sub&gt; (mg·h/ml)</th>
<th>AUC&lt;sub&gt;last&lt;/sub&gt; (mg·h/ml)</th>
<th>CL (mL/h per kg)</th>
<th>CL/F</th>
<th>t&lt;sub&gt;1/2,z&lt;/sub&gt; (h)</th>
<th>E&lt;sub&gt;max&lt;/sub&gt; (mL/h per kg)</th>
<th>ECA (mg/ml)</th>
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<td>1.54</td>
<td>0.073</td>
<td>5.07</td>
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**Antibody Pharmacokinetics**

- **E<sub>max</sub>**: Maximum observed antibody concentration.
- **ECA**: Volume of distribution at steady-state.

**Discussion**

Therapeutic antibodies that bind cell surface antigens subject to internalization are known to exhibit nonlinear PK that can be attributed to disposition mediated by target antigen (Levy, 1994). Although TMD is generally anticipated for drug targets expressed at the cell surface, a potential role for soluble targets to contribute to antibody clearance is less well established (Body et al., 2006; Tabrizi et al., 2010; Deng et al., 2012). PCSK9, a secreted soluble protein, downregulates LDLR by directing it to the lysosome for degradation following cellular uptake, thereby reducing endosomal recycling of LDLR. This pathway most likely involves amyloid precursor–like protein 2, which recently has been identified as a PCSK9-binding partner responsible for enhanced lysosomal distribution of PCSK9:LDLR complex (DeVay et al., 2013). Given the function of PCSK9 with regard to LDLR downregulation, it follows that PCSK9:mAb complex formation would serve as a clearance pathway for a therapeutic mAb with high PCSK9 affinity, as recently confirmed (Chaparro-Riggers et al., 2012).

Results from the mAb1 mouse PK studies (Fig. 1) clearly demonstrate that antibody association with PCSK9 leads to increased clearance and reduced systemic exposure. Whereas IgG2A control PK are similar in WT and PCSK9 KO mice, mAb1 AUC in WT mice is only 27% of the AUC observed in KO.
Because antigen dissociation in the endosomal environment is hypothesized to prolong mAb exposure and maximize the duration of LDL-C reduction, PCSK9-binding affinity at pH 5.5 is also a variable of significant interest. With the exception of mAb1, which has similar affinity at both pH 7.4 and 5.5 (0.00596 and 0.0102 nM, respectively), the panel of antibodies exhibits lower affinity at endosomal pH compared with serum pH ($K_{D,5.5}/K_{D,7.4} = 150–330$ for mAbs 2–4). In the absence of pH-dependent antigen binding, mAb1 AUC (370 µg × h/ml) and duration of LDL-C reduction (6 days) are markedly lower than the other mAbs (Table 3). By comparison, mAb2 ($K_{D,5.5} = 676$ nM) and mAb4 ($K_{D,5.5} = 292$ nM) AUCs are 11 and 6 times higher, and LDL-C reductions are 45 and 33 days longer than mAb1, respectively. Although mAb3 demonstrates the greatest degree of pH-sensitive PCSK9 binding ($K_{D,5.5}/K_{D,7.4} = 330$), its PK and PD attributes are less favorable relative to mAb2 and mAb4. Prioritization of mAb candidates, therefore, should be based on a careful assessment of the association and dissociation rate constants that are determinants of $K_D$, in addition to mice, in which PCSK9 is absent (Table 1). These results are consistent with those reported recently for a different anti-PCSK9 mAb (Chaparro-Riggers et al., 2012). Furthermore, mAb1 mouse exposure observed upon administration of bivalent mAb1:PCSK9 complex is only 9% of the exposure achieved by administration of mAb1 alone at an equivalent dose. Whereas the mouse model allows for a general characterization of PCSK9-mediated TMD in vivo, it offers limited utility for detailed investigation of how mAbs engineered to have reduced TMD will perform with respect to extent and duration of LDL-C reduction and translation thereof to human. This is largely due to the fact that the lipoprotein profile in mice consists primarily of HDL-C, whereas LDL-C is a more significant component in human and nonhuman primates (Getz and Reardon, 2012). Mouse serum PCSK9 levels, 50–150 ng/ml, are also lower than human and nonhuman primate levels of 250–500 ng/ml (Lagace et al., 2006; Chan et al., 2009; Tavori et al., 2013). Collectively, these factors afford a rationale for utilizing nonhuman primates to investigate mAb:PCSK9-binding attributes that deliver optimal mAb exposure profiles and pharmacological responses.

A panel of four anti-PCSK9 mAbs has been evaluated for PK properties and LDL-C lowering in monkeys. Due to sequence variation within the CDRs, antigen affinities differ greatly at pH 7.4 across the panel, with $K_D$ values in the range of 0.00596–4.55 nM (Table 2). This variation in PCSK9 affinity gives rise to notable relative differences between antibodies with respect to both PK and PD profiles (Fig. 2). A ranking of mAbs based on increasing exposure and duration of LDL-C reduction (Table 3) produces a rank order, mAb1 < mAb3 < mAb4 < mAb2, identical to that generated when antibodies were ranked according to increasing $K_D$, PCSK9 affinity at pH 7.4, therefore, is inversely correlated with both antibody exposure and duration of LDL-C lowering within the $K_D$ range explored. As expected, the IgG2 control mAb exhibits no pharmacological effect. Thus, one anticipates a point at which the relationship between lower affinity and increased duration of effect must reverse, as eventually binding will be too weak to effectively neutralize PCSK9. Based on the range of $K_D$ values represented in the mAb panel, this transition most likely occurs at some undetermined point beyond the upper $K_D$ bound of $\sim 5$ nM.

**TABLE 4**

<table>
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<tr>
<th>Antibody</th>
<th>$EC_{50}$</th>
<th>95% Confidence Interval</th>
<th>Native $EC_{50}$/Variant $EC_{50}$</th>
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<td>mAb1</td>
<td>105</td>
<td>86–130</td>
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<td>mAb1_LS</td>
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<td>15–18</td>
<td>7</td>
</tr>
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<td>mAb2_YTE</td>
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<td>11–13</td>
<td>11</td>
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<td>16–20</td>
<td>7</td>
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<tr>
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<td>120–370</td>
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<td>3.1–4.2</td>
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<td>IgG2 control</td>
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*Data from same experiment as YTE and LS variants.

*Data from same experiment as 8 and 119 loop-insertion variants.

Fig. 3. mAb1, mAb2, and respective YTE and LS Fc-variant PK and LDL-C PD following intravenous administration of a 1 (mAb2 and variants) or 3 (mAb1 and variants) mg/kg dose to cynomolgus monkeys ($n = 4$ group). (A) Mean (±S.E.M.) PK profiles; (B) mean (±S.E.M.) LDL-C responses. PD sampling for mAb1 and its variants was discontinued on day 56. Asterisks indicate time points at which Fc-variant mAb2 LDL-C differed from native mAb2 ($P < 0.05$).
The process of endosomal recycling has been studied looking at transferrin receptor-mediated processing of transferrin, indicating that the intracellular half-life of recycled transferrin is \( \sim 7.5 \) minutes (Hopkins and Trowbridge, 1983). These results are consistent with the dynamics of FcRn-mediated salvage of IgG evaluated by live cell imaging of FcRn-green fluorescent protein (Ober et al., 2004). Based on these data, a total transit time of \( \sim 11 \) minutes (intracellular half-life/in\( 2 \)) for endosomal sorting has recently been proposed (Chen and Balthasar, 2012), which is expected to apply to neutralizing anti-PCSK9 antibodies that dissociate from the soluble target in the acidified endosome. In the present study, differentiation in PK and PD between mAb4 and mAb3 (dissociation half-lives = 13 and 78 seconds, respectively) implies that endosomal dissociation half-lives under 20 seconds may be desirable.

Engineering of anti-PCSK9 mAbs to incorporate Fc-region mutations for enhanced FcRn recycling yields mixed results. mAb1 and mAb2 YTE and LS variants exhibit improved in vitro FcRn binding (7–16 times) relative to their native forms that is comparable to other YTE or LS variant mAbs (Kuo and Aveson, 2011). Despite this improvement, mAb1 variants display little discernable PK benefit and unaltered PD response (Fig. 3), suggesting any enhancement in FcRn recycling is masked by TMD. For mAb2, in which the role of TMD is minimized due to pH-sensitive PCSK9 binding, PK and PD profiles of YTE and LS Fc variants reveal only modest improvement: CL, terminal half-life, ECA, and \( E_{\text{max}} \) are not statistically differentiable from native mAb2 (Table 3). Overall duration of response for the Fc variants, however, appears to be increased by 7–21 days. This apparent discord may be related to variability in LDL-C concentrations as they return to predose levels in the native mAb2 group, in which a spike observed on day 49 (Fig. 3B) resulted in a more abrupt termination of PD effect for the native mAb than for either Fc variant (in which such an effect was not obvious). The collective data for mAb2 suggest enhanced FcRn binding conferred by YTE and LS Fc mutations does not result in robust enhancement of PD attributes. Interestingly, other examples of YTE and LS incorporation produce greater impact. Bevacizumab and cetuximab variants exhibit statistically differentiable degrees of tumor growth inhibition in mouse xenograft models resulting from antibody exposures that are 5–6 times greater than the native forms (Zalevsky et al., 2010). Because the magnitude of in vivo effect delivered by these Fc variants appears to be relatively modest in the present study, it raises the question of whether PCSK9 pharmacology renders FcRn-binding enhancement approaches less effective.

A new approach to modulate FcRn affinity has been explored through incorporation of novel small peptides into an IgG Fc-region loop that impart enhanced FcRn interactions to the mAb by a means distinct from the Fc point mutations. The extent of in vitro binding improvement for Fc loop-insertion variants 8 and 119 (35–68 times) is higher than for YTE and LS constructs (Table 4). This higher degree of in vitro binding affinity improvement, however, yields similar in vivo results to YTE and LS variants in the context of PCSK9 pharmacology. The 8 and 119 loop variants of mAb3 reveal significant reductions in CL with no effect on half-life (Table 3). An apparent 7-day extension of PD effect is accompanied by no improvement in ECA or \( E_{\text{max}} \) compared with native mAb3. Incorporation of the same Fc loops into mAb2 results in little discernable PK benefit, although some prolongation of PD effect similar to mAb3 (7 days) is observed. Together, data demonstrate there is no value

\[ K_{D,5.5}/K_{D,7.4} \]

ratio. Optimal attributes are most likely target-dependent.

An examination of the antigen-binding kinetics driving mAb affinity at pH 5.5, particularly the dissociation rate constants \( (k_d) \), reveals that mAb:PCSK9 complex dissociation rates relative to endosomal recycling timeframe are a more accurate predictor of activity duration than \( K_{D,5.5}/K_{D,7.4} \) ratios. Dissociation half-lives of 10 and 13 seconds at pH 5.5 for mAb2 and mAb4, respectively, are shorter compared with those for mAb3 (78 seconds) and, particularly, mAb1 (28,000 seconds), as shown in Table 2. Ranking of the four antibodies based on increasing complex dissociation half-life at endosomal pH produces an order, mAb2 increasing complex dissociation half-life at endosomal pH shown in Table 2. Ranking of the four antibodies based on increasing complex dissociation rates is that generated previously (mAb1 < mAb3 < mAb4 < mAb2) based on increasing exposure and duration of pharmacological effect. The inverse correlation strongly supports the contention that more rapid dissociation of PCSK9:antibody complex leads to a reduction in antibody CL and prolongation of LDL-C lowering.
in Fc engineering efforts to improve FcRn binding for high-affinity anti-PCSK9 mAbs, and perhaps only limited value in pursuing this approach for mAbs with pH-sensitive PCSK9 binding.

Overall, data presented in this study confirm that soluble target pharmacology can be an important determinant of mAb disposition, and emphasize that depending on the nature of the target, mAbs of highest affinity may not exhibit optimal effect in vivo. Observations are consistent with the hypothesis that pH-sensitive target binding enables rapid endosomal dissociation of the mAb:PCSK9 complex, leading to enhancement of LDL-C reduction in cynomolgus monkeys. Further attempts to improve PK and PD by engineering higher FcRn affinity in combination with pH-sensitive PCSK9 binding had little impact compared with modulation of PCSK9 binding alone. Interestingly, however, such an approach showed promise for an anti-interleukin-6 receptor mAb (Igawa et al., 2010), suggesting success in this approach may be target dependent. Complete understanding of target biology is critical in designing the optimal therapeutic.

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**Contributed new reagents or analytic tools:** Sun, Higbee, Tang, King, Piper, Ketchem.

**Performed data analysis:** Henne, Ason, Howard, Wang, Tang, Xu, Zhou, King, Piper, Ketchem, Michaels, Jackson.

**Wrote or contributed to the writing of the manuscript:** Jackson, Retter.

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